§5. Relation Categories and Functors.

In this section, we introduce an elementary axiomatization of additive relation category theory. Our approach partly follows the axiomatizations of MacLane [] and Puppe []. The differences are introduced to obtain technical advantages in the treatment of full subcategories and in comparing the categories with additive relation algebras.

Just as the abelian category R-Mod was regarded as a subcategory of R-Rel in \$1, we identify an exact subcategory of proper morphisms which are formally analogous to R-linear maps, by the method of Puppe [,\$?]. Also, the relationships between homomorphisms of additive relation algebras and structure-preserving functors of relation categories and exact subcategories are described.

- 5.1. Definition. Suppose a system \mathcal{C} is provided with category structures (objects, morphisms with domain and codomain, composition, unit morphisms), a converse operation # which determines a morphism $f^*:B\longrightarrow A$ corresponding to each morphism $f:A\longrightarrow B$ of \mathcal{C} , and for all objects A and B, $\mathcal{C}(A,B)$ is provided with binary operations \land_{AB} , \lor_{AB} and $+_{AB}$ and a unary operation $+_{AB}$, and designated morphisms \mathbf{O}_{AB} , \mathbf{I}_{AB} and \mathbf{O}_{AB} in $\mathcal{C}(A,B)$. If \mathcal{C} satisfies conditions 5.1a-i below, it is called a strongly exact relation category. If \mathcal{C} satisfies 5.1a-h, it is called an almost strongly exact relation category. (As usual, we will omit many subscripts. To avoid ambiguity, \mathbf{O} , \mathbf{I} and \mathbf{O} will abbreviate only equal subscript cases \mathbf{O}_{AA} , \mathbf{I}_{BB} , \mathbf{O}_{CC} , etc., not \mathbf{O}_{AB} , \mathbf{I}_{AB} or \mathbf{O}_{AB} if $\mathbf{A} \neq \mathbf{B}$ is possible.)
- 5.1a. C is a category.
- 5.1b. For all A and B in C, C(A,B) is a modular lattice under \land_{AB} and \lor_{AB} , with smallest element \mathbf{O}_{AB} and largest element \mathbf{I}_{AB} . (Let \leq_{AB} denote the partial order induced by this lattice.)
- 5.1c. Sum $+_{AB}$ is commutative and associative on C(A,B), and $0_{AB} = I0_{AB} = I_{AB}$ is a zero for addition: $f + 0_{AB} = f$ for $f:A \longrightarrow B$.
- 5.1d. For $f \le g$ and $h \le k$ in C(A,B), $f+h \le g+k$. For $f \le g$ in C(A,B) and

 $h \le k$ in C(B,C), $fh \le gk$.

- 5.1e. The converse operation is an involution that preserves the lattice operations. That is, for $f,g:A\longrightarrow B$ and $h:B\longrightarrow C$ in C, we have $f^{\#\#}=f$, $(gh)^{\#\#}=h^{\#\#}g^{\#\#}$, $(f\wedge g)^{\#\#}=f^{\#}\wedge g^{\#}$ and $(f\vee g)^{\#\#}=f^{\#}\vee g^{\#}$.
- 5.1f. For $f:A \longrightarrow B$ in C, $-f = (-1_A)f = f(-1_B)$, and -1_A is a negative unit: $1_A + (-1_A) = 0_{AA}$.
- 5.1g. For $f,g:A\longrightarrow B$ in C, $fI \wedge g \leq ff^{\#}g$ and $gf^{\#}f \leq Of \vee g$.
- 5.1h. For $f,g:A\longrightarrow B$ and $h,k:B\longrightarrow C$, $(f+g)h \ge fh+gh$ and $g(h+k) \le gh+gk$.
- 5.1i. If $e:A\longrightarrow A$ is in C such that $e=e^\#=ee$, then there exists $f:B\longrightarrow A$ for some B in C such that $ff^\#=1_B$ and $f^\#f=e$.

In §1, we described such structures for R-Rel, the category of R-modules and additive relations between them. We omit the proof of the next result.

5.2. Proposition. For any ring R with unit, R-Rel is a strongly exact relation category. A full subcategory of R-Rel which admits subobjects and quotient objects is a strongly exact relation category. Any full subcategory of an almost strongly exact relation category is an almost strongly exact relation category of R-Rel is an almost strongly exact relation category.

Obviously, the category definitions are closely related to our axioms for additive relation algebras (3.1).

5.3. Proposition. If \mathcal{C} is an almost strongly exact relation category, then each endomorphism algebra $\mathcal{C}(A,A)$ is an additive relation algebra with unit. If B is an additive relation algebra with unit, then there is an almost strongly exact relation category \mathcal{C}_{R} with one object X and $\mathcal{C}_{R}(X,X) = B$.

Proof: For $f:A\longrightarrow B$ in C, $f=fI \land f \leq ff^{\#}f \leq 0f \lor f=f$ using 5.1g. So, 3.1a,b,c,d,g,h can be shown to hold in C(A,A) for A in C. Also,

$$f = (1_A + (-1_A) + 1_A)f \ge f + (-f) + f \ge f(1_B + (-1_B) + 1_B) = f,$$

by 5.1f,h. Taking $f = -1_A$, $-(-1_A) = 1_A$ follows, and hence -(-f) = f, using 5.1f. Then $-1_A = -1_A(-1_A)^\# -1_A = (-1_A)^\#$, and so $-(f^\#) = (-f)^\#$ by 5.1e,f.

Some computation then shows that C(A,A) satisfies 3.1e.

In C(A,A), we have by 5.1b,d,f,h that

$$0 \le 0I0 = 0(1 + (-1)) \le 01 + 0(-1) = 0 + (-1)0 \le 0 + I0 = 0.$$

It follows that $\mathbf{0}$ is null in $\mathcal{C}(A,A)$, and similarly \mathbf{I} is null. Also, $\mathbf{0}$ and \mathbf{I} are symmetric by applying 5.1b,e. Now $\mathbf{0}g = \mathbf{0}g + \mathbf{0}$ by the arguments proving 3.4b,c,d,e, so $\mathbf{0}g \leq g+h$ using 5.1d, for $g,h:A\longrightarrow A$. Similarly, $g+h \leq g\mathbf{I}$. Also $g\mathbf{I}\mathbf{0}=g(1+(-1))\leq g+(-g)$, and so

$$gIOg \leq gIOg^{\#}g \leq Og \vee gIO \leq g + (-g),$$

using 5.1d,g. Dual arguments show that gIOg = g + (-g), and it follows that C(A,A) satisfies 3.1f. Then C(A,A) is an additive relation algebra with unit by 3.12, proving the first part. We omit the calculations proving the second part.

Much of the elementary theory of almost strongly exact relation categories can be adapted from similar results for additive relation algebras. Implicitly using 5.3, we can apply results of §3 below.

- 5.4. Definitions and Properties. For $f:A \longrightarrow B$ in C, f is null if fgf = f for all $g:B \longrightarrow A$ in C. For $d:A \longrightarrow A$ in C, d is symmetric if $d = d^{\#}$, and d is idempotent if d = dd. (Compare 3.4.)
- 5.4a. If $f:A\longrightarrow B$, then $f = ff^{\#}f = f + (-f) + f$ (see 5.3).
- 5.4b. If $f:A \longrightarrow B$, $x:B \longrightarrow C$ and $g:C \longrightarrow D$ such that x is null, then fxg is null. If $y:A \longrightarrow B$ and $z:C \longrightarrow D$ are null, then yhz = ykz for all $h,k:B \longrightarrow C$. If $w:A \longrightarrow B$ is null, then w = -w = w + w. (See 3.4b,c,d, and note that $w = ww^\#w = w(w^\#w + w^\#w) \le w + w$ by 5.1h.)
- 5.4c. For all A and B, O_{AB} and I_{AB} are null, and are symmetric if A = B. For all A, B and C, $O_{AC}O_{CB} = O_{AB}$, $I_{AC}I_{CB} = I_{AB}$, $I_{AC}O_{CB} = O_{AB}$ and $O_{AC}I_{CB} = O_{AB}$. (Note $O_{AB} = IO_{AB} \le IO_{AC}O_{CB} \le I_{AC}O_{CB} \le I_{AC}O_{CA}O_{AB} \le IO_{AB}$, etc. Using 5.4b and 3.13a, $O_{AB} = OO_{AB}$ and $O_{AB} = OO_{AB}$
- 5.4d. For $f:A\longrightarrow B$, $Of = O_{AB}f^{\#}f = O_{AB}I \wedge f$ and $fI = ff^{\#}I_{AB} = OI_{AB} \vee f$ since

 $Of \leq O_{AB}f^{\#}f \leq Off^{\#}f = Of, O_{AB}I \wedge f \leq O_{AB}O_{AB}^{\#}f = Of, etc.$ 5.4e. For all A in C, $(-1_A)(-1_A) = 1_A$ and $(-1_A)^\# = -1_A$. Also, $0(-1_A) = 1_A$ $0 = (-1_A)0$ and $I(-1_A) = I = (-1_A)I$. (Note $(-1)^2 = -(-1) = 1$ as in 5.3. Then $-1 = (-1)(-1)^{\#}(-1) = (-1)^{\#}$. For the rest, use 5.1f and 5.4b,c.) 5.4f. Suppose $f:A \longrightarrow B$. If $d:A \longrightarrow A$ is a symmetric idempotent, then df = $(d\mathbf{0}_{AB} \vee f) \wedge d\mathbf{I}_{AB}$. If e:B \longrightarrow B is a symmetric idempotent, then fe = $(O_{AB} e \lor f) \land I_{AB} e$. (Note $dI \land ff^{\#} \le dff^{\#}$, so $df \ge (dI_{AB} f^{\#} \land ff^{\#})f \ge dff^{\#}$) $(dI_{AB} \wedge f)f^{\#}f \ge hh^{\#}h = h$ for $h = dI_{AB} \wedge f$. Prove $df \le dO_{AB} \vee f$ similarly, then use modularity as in 3.10e. Take converses to obtain the second formula.) 5.4g. If $f,g:A\longrightarrow B$, then $O(f+g)=Of\vee Og$ and $(f+g)I=fI\wedge gI$. Since $0_{RA}f + 0 = 0_{RA}f$ by 3.4e, we have $0f \le 0_{AR}(0_{RA}f + 0_{RA}g) \le 0f \lor 0g \le f + g$ using 5.4c. Now $0g \le f + g$ similarly, so $h \le 0(f + g)$ for $h = 0f \lor 0g$ using 5.4d. But $O(f+g) \le Of + Og \le Oh + Oh = Oh \le h$ by 5.4b,c, proving the first part. The second part is dual.) 5.4h. If $f,g:A\longrightarrow B$ satisfy $f \leq g$, $Of \geq Og$ and $fI \geq gI$, then f = g. (By 5.1g) and the hypotheses, $g = fI \wedge g \leq ff^{\#}g \leq fg^{\#}g \leq 0g \vee f = f.$ 5.4i. Suppose A and B are R-modules. Then w:A-B is null in R-Rel iff there exist submodules A_0 of A and B_0 of B such that $w = A_0 \oplus B_0$. (For symmetric idempotents and symmetric null elements, see 3.4h.)

We give some further elementary results here, going beyond the analysis of §3.

5.5. Properties of Almost Strongly Exact Relation Categories.

5.5a. Suppose $f,g:A\longrightarrow B$ with $d=1_A \wedge ff^\# \wedge gg^\#$ and $e=1_B \vee f^\# f \vee g^\# g$. Then $dfeI=dI_{AB}=dgeI$, $Odfe=O_{AB}e=Odge$ and f+g=d(f+g)=df+dg=(f+g)e=fe+ge=dfe+dge. (Note that $dI_{AB}\geq dfeI\geq dfI=dff^\# I_{AB}\geq ddI_{AB}=dI_{AB}$ using 5.4c and 3.13j. So, $dfeI=dI_{AB}$, and $dgeI=dI_{AB}$ and $Odfe=O_{AB}e=Odge$ similarly. For h=f+g,

 $1_A \wedge hh^\# \leq 1 \wedge hII_{BA} = 1 \wedge (fI \wedge gI)I_{BA} \leq 1 \wedge ff^\#I \wedge gg^\#I = d,$ using 5.4c,g and 3.13i. Then $h = (1 \wedge hh^\#)hh^\#h \leq dh$ by 3.13i, and $he \leq h$

similarly. So, $h \le dh \le df + dg \le dfe + dge \le fe + ge \le he \le h$, using 5.1d,h.) 5.5b. For $f,g:A\longrightarrow B$, $(f \land g)0 = f0 + g0$ and $I(f \lor g) = If + Ig$. (For $h = f0 \land g0$ and k = f0 + g0, $(f \land g)0 = h = h + h \le k = f0I0 + g0I0 \le kI0 = (f0I \land g0I)0 \le h$, using 5.4b,c,d,g and 5.1h. The second part is dual.) 5.5c. If $f,g:A\longrightarrow B$ and $h,k:A\longrightarrow C$, then $(f+g)^{\#}(h+k) \le f^{\#}h \lor g^{\#}k$. If $f,g:B\longrightarrow A$ and $h,k:C\longrightarrow A$, then $fh^{\#} \land gk^{\#} \le (f+g)(h+k)^{\#}$. (Given $f,g:A\longrightarrow B$ and $h,k:A\longrightarrow C$, let $c = 1_A \land ff^{\#} \land gg^{\#}$ and $d = 1_A \land hh^{\#} \land kk^{\#}$. Then c and d are symmetric idempotents with $cd = dc = c \land d$ by 3.13j, and c(f+g) = f+g and d(h+k) = h+k by 5.5a. Let $f_0 = cdf$, $g_0 = cdg$, $h_0 = cdh$ and $k_0 = cdk$. Now $cdI = cd(1 \land ff^{\#} \land gg^{\#})I \le cdff^{\#}I \le f_0I_{RA} = f_0f_0^{\#}I \le cdI$,

and continuing we obtain $cdI = f_0 f_0^{\#} I = g_0 g_0^{\#} I = h_0 h_0^{\#} I = k_0 k_0^{\#} I$. Let $s = (f_0 + g_0)^{\#} (h_0 + k_0)$, $t = f_0^{\#} h_0 \vee g_0^{\#} k_0$ and $e = (f_0 + g_0)^{\#} (f_0 + g_0)$. Note that $s \le et$ because by 5.4b, f and the above,

 $\begin{aligned} & h_0 + k_0 \leq f_0 f_0^\# h_0 + g_0 g_0^\# k_0 \leq (f_0 + g_0) t. \\ & \text{Also et } \leq t \text{ by } 5.4 f, \text{ since } e \textbf{O}_{AC} = (f_0 + g_0)^\# \textbf{O}_{BC} = (\textbf{O}_{CB} f_0 \vee \textbf{O}_{CB} g_0)^\# = f^\# \textbf{O}_{BC} \vee g^\# \textbf{O}_{BC} \leq t \text{ using } 5.4 c, d, g. \end{aligned}$

 $(f+g)^{\#}(h+k) = [\operatorname{cd}(f+g)]^{\#}\operatorname{cd}(h+k) \leq s \leq \operatorname{et} \leq t \leq f^{\#}h \vee g^{\#}k$ using 5.1e,h, proving the first part. The second part is dual.) 5.5d. Suppose $f:A\longrightarrow B$ and $g,h:B\longrightarrow C$. If $Of^{\#}f \leq gg^{\#}$ or $Of^{\#}f \leq hh^{\#}$, then $f(g \wedge h) = fg \wedge fh$ and $f(g \vee h) = fg \vee fh$. If $k:C\longrightarrow D$ such that $g^{\#}g \leq kk^{\#}I$ or $h^{\#}h \leq kk^{\#}I$, then $(g \vee h)k = gk \vee hk$ and (g+h)k = gk + hk. (Given f,g,h and $Of^{\#}f \leq hh^{\#}$, let $e = 1_B \vee f^{\#}f$. Then $eO_{BC} = f^{\#}fOO_{BC} \leq hh^{\#}O_{BC} \leq h$, by 3.13i and 5.4c. So, eh = h using 5.4f and $e \geq 1$, and similarly f = fe. Then $e(g \wedge h) = eO_{BC} \vee (g \wedge h) = eg \wedge h$ by modularity and 5.4f. For $f = fg \wedge fh$, $ff^{\#}f = f = f(g \wedge h)$. For $f = fg \wedge fh$ and $f = f(g \wedge h) = f(g \wedge h)$ and $f = f(g \wedge h) = f(g \wedge h)$. For $f = f(g \wedge h)$ and $f = f(g \wedge h)$ and f = f

parts are similar or dual.)

5.5e. Suppose $f,g:A \longrightarrow B$. Then $O(f \lor g) = OI(f + (-g))$ and $(f \land g)I = (f + (-g))OI$. (For h = OI(f + (-g)) and k = f + g, we have $h = (1 + (-1))^{\#}(f + (-g)) \le 1^{\#}f \lor (-1)^{\#}(-g) = k$ by 5.5c and 5.4e, so $h \le Ok$ by 5.4d. Using 5.5d,

 $\begin{aligned} \mathbf{0} \& & \mathbf{0}_{AB} g^{\#}(f \vee g) = \mathbf{0}_{AB} (g^{\#} f \vee g^{\#} g) \leq \mathbf{0}_{AB} (1 \vee g^{\#} f \vee g^{\#} g) = \mathbf{0}_{AB} (1 \vee g^{\#} f), \\ \text{since } 1 \vee g^{\#} g = 1 \vee g^{\#} g \mathbf{0} \leq 1 \vee g^{\#} f \text{ by } 3.13i. \quad \text{For } t = g^{\#} f + (-1) \text{ then,} \end{aligned}$

 $0k \leq 0_{AB}(1 \vee (1 + I0)^{\#}(1 + t)) \leq 0_{AB}(1 \vee 1^{\#}1 \vee 0It) \leq 0_{AB}It$ by 5.5d since $0(1 \vee t^{\#}It) = 0It$ by 3.13i and 3.4c. Now $t \leq tI \leq g^{\#}fI \leq 0It$

 $g^{\#}I_{AB} = g^{\#}gI$ by 5.4d,g, so $I_{AB}t \leq I_{AB}g^{\#}gt \leq I_{AB}(g^{\#}f + (-g^{\#}g)) = I_{AB}g^{\#}(f + (-g)) \leq I(f + (-g)),$

using 5.4e, f and 5.5d. Then $0k \le h$ follows, and the rest similarly.)

Next, we consider functors preserving additive relation category structures.

- 5.6. Definitions and Properties. Suppose C and D are almost strongly exact relation categories. A relation functor $F: C \longrightarrow D$ is a functor such that for f,g in C(A,B), $F(f^{\#}) = (Ff)^{\#}$, $F(f \land g) = Ff \land Fg$, $F(f \lor g) = Ff \lor Fg$, F(f + g) = Ff + Fg and F(-f) = -Ff, and for C = F(A) and D = F(B), $F(O_{AB}) = O_{CD}$, $F(I_{AB}) = I_{CD}$ and $F(O_{AB}) = O_{CD}$.
- 5.6a. Composites of relation functors are relation functors, and the identity functor is a relation functor. Inclusion functors of full subcategories are relation functors.
- 5.6b. Suppose $F: \mathcal{C} \longrightarrow \mathcal{B}$ is a relation functor. Then F induces a τ_B -homomorphism $\mathcal{C}(A,A) \longrightarrow \mathcal{B}(F(A),F(A))$ for each A in \mathcal{C} . If F is an embedding functor, then F induces a one-one τ_B -homomorphism.
- 5.6c. A τ_B -homorphism f:B—C of additive relation algebras with unit can be regarded as a relation functor \mathcal{C}_R — \mathcal{C}_C (see 5.3).

The following result is adapted from [RARAM, 2.19, p. 73]. It gives a

convenient test for relation functors.

Proof: Assume the hypotheses, and suppose F(A) = C and $b = F(\mathbf{O}_{AA})$. By 5.1b,c,d and the hypotheses, $b+b \le b$ or $b+b \ge b$. Using 3.1e,f, $b+b \le b$ implies $b \le b+(-b) \le -b$, hence b = b+(-b) is null. Similarly, b is null if $b+b \ge b$, so $b = b\mathbf{O}b \le \mathbf{O}$ because $b \le \mathbf{1}_C$. This proves $F(\mathbf{O}_{AA}) = \mathbf{O}_{CC}$ in all cases, and $F(\mathbf{I}_{AA}) = \mathbf{I}_{CC}$ dually. It follows that $F(\mathbf{O}_{AB}) = \mathbf{O}_{CD}$, $F(\mathbf{I}_{AB}) = \mathbf{I}_{CD}$ and $F(\mathbf{O}_{AB}) = \mathbf{O}_{CD}$ for D = F(B), using 5.4b,c and 5.1c.

Suppose $f,g:A\longrightarrow B$ and $c=1_B\vee f^{\#}f$. By 3.13i and 5.4c,d, $Of=O_{AB}c$. So, $Of\vee g=O_{AB}c\vee g=gc$ by 5.4f, and

 $F(\mathbf{O}f \vee g) = F(g)F(c) = \mathbf{O}_{cn}F(c) \vee F(g) = \mathbf{O}F(f) \vee F(g).$

Similarly, $F(fI \land g) = F(f)I \land F(g)$. By 5.4g and the above,

 $OF(f+g) = F(Of \lor Og) = OF(f) \lor OF(g) = O(F(f) + F(g)).$

Similarly, F(f+g)I = (F(f)+F(g))I. If follows by 5.4h that F(f+g) = F(f)+F(g) if $F(f+g) \leq F(f)+F(g)$ or $F(f+g) \geq F(f)+F(g)$. By 5.5a, there exist $d \leq 1_A$ and $e \geq 1_B$ such that $dfeI = dI_{AB} = dgeI$, $Odfe = O_{AB}e = Odge$ and f+g=df+dg=dfe+dge. Using the final hypothesis of 5.7, F(dfe+dge) = F(dfe)+F(dge). Since $e \geq 1$, $F(df+dg) = F(dfe)+F(dge) \geq F(df)+F(dg)$, so F(df+dg) = F(df)+F(dg). Finally, $F(f+g) = F(df)+F(dg) \leq F(f)+F(g)$, so F(f+g) = F(f)+F(g).

Now $F(-1_A) = -1_{F(A)}$ using 5.1f and the above, and then F(-f) = F(f) follows. Clearly, $F(f \land g) \leq F(f) \land F(g)$. Since $O(f \land g) = (f^{\#}O + g^{\#}O)^{\#}$ by 5.5b and 5.1c, we have $OF(f \land g) = O(F(f) \land F(g))$ by the above. Similarly, $(f \land g)I = (f + (-g))OI$ by 5.5e, leading to $F(f \land g)I = (F(f) \land F(g))I$. Then $F(f \land g) = F(f) \land F(g)$ by 5.4h. Since $F(f \lor g) = F(f) \lor F(g)$ dually, F is a relation

functor.

We now show that almost strongly exact relation categories can be characterized as full subcategories of strongly exact relation categories. Given an almost strongly exact relation category \mathcal{C} , we construct a strongly exact relation category \mathcal{C} extending \mathcal{C} , where \mathcal{C} is minimal up to equivalence of relation categories. Similar constructions are in [GH]; the first journal publication of such a construction is by R. Vescan [Ve]. Essentially, we generalize the use of symmetric idempotents and the sets rel(c,d) of 3.16.

5.8. Definitions. Suppose $\mathcal C$ is an almost strongly exact relation category. Define $\mathcal C$ as follows:

The objects of \mathcal{C} are the symmetric idempotents $c:A\longrightarrow A$ of \mathcal{C} .

The morphisms C(c,d) for symmetric idempotents $c:A\longrightarrow A$ and $d:B\longrightarrow B$ of C are triples (c,f,d) such that $f:A\longrightarrow B$ and cf=f=fd in C.

The category structures and converses are given by:

$$\langle c, f, d \rangle \langle d, g, e \rangle = \langle c, fg, e \rangle$$
 from $\widetilde{C}(c, d) \wedge \widetilde{C}(d, e)$ to $\widetilde{C}(c, e)$, $\langle c, f, d \rangle^{\#} = \langle d, f^{\#}, c \rangle$ from $\widetilde{C}(c, d)$ to $\widetilde{C}(d, c)$, and $1_{c} = \langle c, c, c \rangle$ in $\widetilde{C}(c, c)$ for each object c.

The (0,I) lattice operations are defined in $\mathcal{C}(c,d)$ by:

$$(c,f,d) \lor (c,g,d) = (c,f \lor g,d), (c,f,d) \land (c,g,d) = (c,f \land g,d),$$

$$O_{cd} = \langle c, cO_{AB}d, d \rangle$$
 and $I_{cd} = \langle c, cI_{AB}d, d \rangle$.

Relational sum structures are defined in C(c,d) by:

$$\langle c, f, d \rangle + \langle c, g, d \rangle = \langle c, f + g, d \rangle, -\langle c, f, d \rangle = \langle c, -f, d \rangle$$
 and $0_{cd} = \langle c, c0_{AR}d, d \rangle.$

Define
$$H: \mathcal{C} \longrightarrow \mathcal{C}$$
 by $H(A) = 1_A$ and $H(f) = \langle 1_A, f, 1_B \rangle$ for $f: A \longrightarrow B$ in \mathcal{C} .

5.9. Proposition. Suppose C is an almost strongly exact relation category. Then $\overset{\sim}{\text{C}}$ is a strongly exact relation category, and H is an embedding relation functor which induces an isomorphism between C and the full subcategory of $\overset{\sim}{\text{C}}$

determined by the class of objects $\{1_A: A \text{ in } C\}$. If $F:C\longrightarrow D$ is a embedding relation functor from C into a strongly exact relation category D, then there exists an embedding relation functor $F:C\longrightarrow D$ such that F=HF.

Proof: Clearly, the category structures and converses in \mathcal{C} are well-defined. For $\langle c,f,d\rangle$ in $\widetilde{\mathcal{C}}(c,d)$, f=cfd implies $c\mathbf{0}_{AB}d\leq f\leq c\mathbf{I}_{AB}d$. Conversely, $c\mathbf{0}_{AB}d\leq f\leq c\mathbf{I}_{AB}d$ implies cf=f=fd (because $c\mathbf{I}\geq ff^{\#}$ implies $cff^{\#}\geq ff^{\#}$ by 3.13h, and so on). So, the $(\mathbf{0},\mathbf{I})$ lattice structures for $\widetilde{\mathcal{C}}(c,d)$ are well-defined. For $\langle c,f,d\rangle$ and $\langle c,g,d\rangle$ in $\widetilde{\mathcal{C}}(c,d)$, sum is well defined because $c\mathbf{0}_{AB}d=c\mathbf{0}_{AB}d+c\mathbf{0}_{AB}d\leq f+g$ by 5.4b and 5.1d, etc. Negation is well-defined using 5.1f, and $\mathbf{0}_{cd}$ is well-defined.

Calculations show that 5.1a,b,d,e,g,h are satisfied in \mathcal{C} , as are commutativity and associativity of sum from 5.1c. Now cf = f = fd implies $f = f + 0_{AB} \le f + 0_{AB} d \le (f + 0_{AB}) d = fd = f$ by 5.1f,h for \mathcal{C} , and so $f = f + 0_{AB} d \ge f + c0_{AB} d \ge c(f + 0_{AB} d) = f$, proving 5.1c for \mathcal{C} .

Clearly $-\langle c,f,d\rangle = (-1_c)\langle c,f,d\rangle = \langle c,f,d\rangle(-1_d)$ from 5.1f. Also, $0_{cd} = I_{cd} = I_$

Now suppose $e = \langle c,d,c \rangle$ is a symmetric idempotent of \widetilde{C} , so d is a symmetric idempotent of C. Then $f = \langle d,d,c \rangle$ in $\widetilde{C}(d,c)$ satisfies $ff^\# = 1_d$ and $f^\# f = e$, proving 5.1i for \widetilde{C} . So, \widetilde{C} is a strongly exact relation category.

We omit the proof of the following result, which is suggested by the

results of 5.2.

5.10. Proposition. Suppose R is a ring and C is a full subcategory of R-Rel with inclusion functor F. Then \widetilde{F} can be chosen to be a relation functor equivalence from \widetilde{C} into the full subcategory of R-Rel determined by the class of subquotients of objects of C.

We now turn to consideration of proper morphisms and the subcategories associated with them.

5.11. Definition and Properties. A morphism $f:A\longrightarrow B$ in C is called proper if $ff^{\#}\geq 1_A$ and $f^{\#}f\leq 1_B$.

Suppose $f:A\longrightarrow B$ in C, and $y:A\longrightarrow A$ and $z:B\longrightarrow B$ are symmetric null morphisms of C. Then $f^\#yf$ is called the *image* of y under f, and $fzf^\#$ is called the *preimage* of z under f.

For $f:A\longrightarrow B$ and $g:B\longrightarrow C$ in C, $\langle f,g\rangle$ is called exact if $f^*If=gOg^*$ (that is, the image of I under f equals the preimage of O under g).

- 5.11a. For $f:A\longrightarrow B$, f is proper iff $Of = O_{AB}$ and $fI = I_{AB}$. (Note $ff^{\#} \ge 1_{AB}$ implies $fI \ge ff^{\#}I_{AB} \ge I_{AB}$, and $fI = I_{AB}$ implies $ff^{\#}I = I$, hence $1_{AB} \le ff^{\#}$ by 5.1g, etc.)
- 5.11b. If $f,g:A\longrightarrow B$ are proper and $f \leq g$, then f = g (5.4h).
- 5.11c. For any A and B, 1_A , -1_A and 0_{AB} are proper. For proper f,g:A \longrightarrow B, -f and f+g are proper. If h:B \longrightarrow C is also proper, then gh is proper. If z:A \longrightarrow B is null and proper, then z = 0_{AB} . (Use 5.4b,c,e,g and 5.11a.)
- 5.11d. Images and preimages are symmetric and null. If $f:A\longrightarrow B$ and $g:B\longrightarrow C$, the image of $y:A\longrightarrow A$ under fg is the image of the image since $g^{\#}(f^{\#}yf)g=(fg)^{\#}yfg$, and similarly for preimages of $z:C\longrightarrow C$ under fg.
- 5.11e. If $\langle f,g \rangle$ is exact, then fg is null $(fg \le ff^{\#}Ifg = fg0g^{\#}g \le fg)$.
- 5.11f. In R-Rel, f:A-B is proper iff it is the graph of an R-linear homomorphism. A pair $\langle f,g \rangle$ of proper maps is exact in R-Rel iff the corresponding R-linear homomorphisms are exact in R-Mod. If h:A-B in R-Rel and y:A-A is symmetric and null, so that $y = A_0 \oplus A_0$ for some

submodule A_0 of A, then the image $\text{fyf}^\#$ equals $B_0 \oplus B_0$ for $B_0 = \{b \in B \colon \text{there exists a in } A_0 \text{ such that } \langle a,b \rangle \in f \},$

and similarly for preimages.

- 5.12. Definition and Properties. If C is a strongly exact relation category, let P(C) denote the system of all objects and all proper morphisms of C. If $F:C\longrightarrow B$ is a relation functor for some strongly exact relation category B, let P(F)(A) denote F(A) for A in C, and P(F)(f) denote F(f) if $f:A\longrightarrow B$ is proper in C.
- 5.12a. P(C) is a subcategory of C.
- 5.12b. P(F)(A) and P(F)(f) determine a functor $P(F):P(\mathcal{C})\longrightarrow P(\mathcal{D})$ (also see 5.14).
- 5.12c. For R a ring, R-Mod is isomorphic to P(R-Rel), by the usual identification of R-linear homomorphisms with their graphs (5.11f).
- 5.13. Definitions and Properties. A category is exact if it has null morphisms, kernels, cokernels, normal monomorphisms and conormal epimorphisms, and every morphism factors as an epimorphism followed by a monomorphism. An additive structure on a category Q is given by (additively written) abelian group structures on Q(A,B) for all A and B in Q, such that composition distributes over sum on the left and right whenever the appropriate composites are defined. (This has been called a preadditive structure by some authors.) An exact additive category is an exact category with an additive structure. If Q and Q are exact additive categories, then a functor $F:Q \longrightarrow Q$ is exact if it preserves exact sequences and is additive if it preserves sums.

Of course, an abelian category has a unique additive structure for which it is an exact additive category, and an exact functor of abelian categories is additive (see C?).

5.14. Proposition. If C is a strongly exact relation category, then P(C) is an exact additive category. If $F:C\longrightarrow B$ is a relation functor of strongly

exact relation categories, then $P(F):P(C)\longrightarrow P(D)$ is an exact and additive functor. If F is an embedding relation functor, then P(F) is also an embedding functor.

Proof: Assuming C is nonempty, choose C in C and z:X—C such that $zz^\#=1_X$ and $z^\#z=0$ by 5.1i. Since O is null and $1_X=zOz^\#$, 1_X is null. By 5.11c, X is a zero object of P(C) with respect to morphisms 0_{AX} and 0_{XB} , and $0_{AB}=0_{AX}0_{XB}$.

Given $f:A\longrightarrow B$ in $P(\mathcal{C})$, use 5.1i to select $k:K\longrightarrow A$ such that $kk^\#=1_K$ and $k^\#k=1_A \wedge fOf^\#$, and to select $h:C\longrightarrow B$ such that $hh^\#=1_C$ and $h^\#h=1_B \vee f^\#If$. Then k is a proper monomorphism and $h^\#$ is a proper epimorphism. Some calculation shows that k is a kernel of f and $h^\#$ is a cokernel of f in $P(\mathcal{C})$. We can verify that f is exact in f if f if f if f in f in f in f in f is a cokernel of f in f

Since the sum of proper morphisms is proper by 5.11c, $P(\mathcal{C})(A,B)$ is a commutative semigroup with zero 0_{AB} under $+_{AB}$ by 5.1c. Also, composition distributes over addition on the left and right in $P(\mathcal{C})$ by 5.1h and 5.11b. Finally, f+(-f) is null and proper, so -f is a negative for f in $P(\mathcal{C})(A,B)$ by 5.11c. Therefore, $P(\mathcal{C})$ is an additive category.

Suppose $F: \mathcal{C} \longrightarrow \mathcal{B}$ is a relation functor of strongly exact relation categories, and G = P(F). Clearly G is an additive functor, and G preserves exactness by the characterization $f^*If = gOg^*$ above. Finally, G is an embedding if F is an embedding.

Brinkmann and Puppe [BP] showed that a type of relation category $K(\mathcal{Q})$ could be constructed from any exact category \mathcal{Q} . Their analysis shows that $P(K(\mathcal{Q}))$ and \mathcal{Q} are isomorphic categories, and $K(P(\mathcal{C}))$ and \mathcal{C} are isomorphic as relation categories, if \mathcal{C} is such a relation category. Also, they construct a functor $K(\mathcal{G}):K(\mathcal{Q})\longrightarrow K(\mathcal{B})$ preserving appropriate relation category

structures from any exact functor G:A-B of exact categories.

In Chapter IV, the abstract P and K constructions will be studied for strongly exact relation categories. In this section, we construct only the relation functor $K(G):R-Rel\longrightarrow S-Rel$ from an exact functor $G:R-Mod\longrightarrow S-Mod$. The basis of the K construction is the observation that an additive relation $f:A\longrightarrow B$ in R-Rel can be uniquely identified with an R-linear homomorphism $h:A_0\longrightarrow B/B_0$ for suitable submodules A_0 of A and B_0 of B.

5.15. Definitions and Properties. For R a ring, identify R-Mod with P(R-Rel) as in 5.12c. For an additive relation $f:A\longrightarrow B$ in R-Rel, there exist A_0 in Su(A) and B_0 in Su(B) such that $fIf^{\#}=A_0\oplus A_0$ and $f^{\#}Of=B_0\oplus B_0$ (5.11f). Let $\kappa:A_0\longrightarrow A$ be the inclusion map and $\eta:B\longrightarrow B/B_0$ be the canonical quotient map. Define $h:A_0\longrightarrow B/B_0$ in R-Rel by $h=\kappa f\eta$. Some calculation shows that h is proper, with $h(a)=b+B_0$ iff $\langle a,b\rangle\in f$, and $f=\kappa^{\#}h\eta^{\#}$. The triple $\langle \kappa,h,\eta\rangle$, corresponding to the diagram

$$\begin{array}{ccc}
A & & B \\
\kappa & & \uparrow & & \uparrow \\
A_0 & & & B/B_0
\end{array}$$

in R-Mod, is called the standard representation of f in R-Mod.

Let $G:R-Mod\longrightarrow S-Mod$ be an exact functor for rings R and S. For R-modules A, let K(G)(A) = G(A) in S-Rel. For $f:A\longrightarrow B$ in R-Rel, define $K(G)(f):K(G)(A)\longrightarrow K(G)(B)$ in S-Rel by

$$K(G)(f) = G(\kappa)^{\#}G(h)G(\eta)^{\#},$$

where (κ, h, η) is the standard representation for f in R-Mod.

5.15a. If $f:A\longrightarrow B$ in R-Rel, then f is proper iff $\langle 1_A,f,1_B \rangle$ is the standard representation of f. (We identify $\eta:B\longrightarrow B/0$ with 1_B .)

5.15b. Suppose $f:A\longrightarrow B$ in R-Rel has standard representation $\langle \kappa,h,\eta \rangle$. If $f=gm^\#$ for g proper and m a monomorphism of R-Mod, then $\eta=1_B$. If $f=e^\#g$ for g proper and e an epimorphism of R-Mod, then $\kappa=1_A$.

To verify that K(G) is a relation functor, we first give some preparatory

material. The material on exact squares below is adapted partly from standard abelian category theory (see [Fr, pp. 37-38]), and partly from analysis of additive relation categories (see [Hi, §3] and [BP, §5]). All these results are easily proved by elementary calculations for R-Rel.

5.16. Definition and Properties. Suppose fg = hk for the diagram below in R-Mod.



Then $\langle f,g,h,k \rangle$ is called an exact square if $f^*h = gk^*$ in R-Rel. (Of course, $\langle h,k,f,g \rangle$ is then also an exact square by 5.1e.)

5.16a. If $\langle f,g,h,k \rangle$ is an exact square, then $A_0 = A_1 \vee A_2$ in Su(A) for $A_0 = Ker fg = Ker hk$, $A_1 = Ker f$ and $A_2 = Ker h$.

5.16b. If $\langle f,g,h,k \rangle$ is an exact square, then $D_0 = D_1 \wedge D_2$ in Su(D) for $D_0 = Im \ fg = Im \ hk$, $D_1 = Im \ g$ and $D_2 = Im \ k$.

5.16c. If fg = hk for monomorphisms f and k, then $\langle f, g, h, k \rangle$ is an exact square iff f is a kernel for the composite g(coker k).

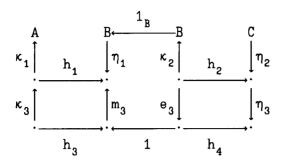
5.16d. If fg = hk for epimorphisms f and k, then $\langle f,g,h,k \rangle$ is an exact square iff k is a cokernel for (ker f)h.

5.17. Proposition. Suppose $G:R-Mod\longrightarrow S-Mod$ is an exact (additive) functor for rings R and S. Then $K(G):R-Rel\longrightarrow S-Rel$ is a relation functor, and P(K(G)) = G. If G is an exact embedding functor, then K(G) is also an embedding functor.

Proof: Assume the hypotheses, and let F denote K(G). By 5.15a, F(f) = G(f) if F is proper, and so $F(1_A) = 1_{F(A)}$ for A an R-module.

Suppose $f_1:A\longrightarrow B$ and $f_2:B\longrightarrow C$ in $R-\mathbf{Rel}$. Assume that f_i has standard representation $\langle \kappa_i,h_i,\eta_i \rangle$, for i=1,2. Factor $\kappa_2\eta_1$ as an epimorphism followed by monomorphism, say $\kappa_1\eta_2=e_3m_3$. By 5.15b, we can obtain standard representations $\langle \kappa_3,h_3,1 \rangle$ for $\eta_1m_3^{\#}$ and $\langle 1,h_4,\eta_3 \rangle$ for $e_3^{\#}\eta_2$. This leads to

the diagram of proper maps below.



Now $\kappa_3^{\#}h_3 = h_1m_3^{\#}$, and $\kappa_3h_1 \geq \kappa_3h_1m_3^{\#}m_3 = \kappa_3\kappa_3^{\#}h_3m_3 = h_3m_3$ implies $\kappa_3h_1 = h_3m_3$ by 5.4?, so $\langle \kappa_3, h_1, h_3, m_3 \rangle$ is an exact square. Similarly, $\langle e_3, h_4, h_2, \eta_3 \rangle$ is an exact square. We can suppose that $\eta_2\eta_3$ is a canonical map $C \longrightarrow C/C_0$ by using a Noether isomorphism, so that $\langle \kappa_3\kappa_1, h_3h_4, \eta_2\eta_3 \rangle$ is the standard representation for f_1f_2 . Since G is exact, $G(\eta_1)^{\#}G(\kappa_2)^{\#} = G(m_3)^{\#}G(e_3)^{\#}$ by 5.1e, $G(h_1)G(m_3)^{\#} = G(\kappa_3)^{\#}G(h_3)$ by 5.16c and $G(e_3)^{\#}G(h_2) = G(h_4)G(\eta_3)^{\#}$ by 5.16d, so:

$$F(f_1f_2) = G(\kappa_1)^{\#}G(\kappa_3)^{\#}G(h_3)G(h_4)G(\eta_3)^{\#}G(\eta_2)^{\#}$$

$$= G(\kappa_1)^{\#}G(h_1)G(\eta_1)^{\#}G(\kappa_2)^{\#}G(h_2)G(\eta_2)^{\#} = F(f_1)F(f_2),$$

by the diagram above. Therefore, F is a functor and P(F) = G.

Suppose $f:A\longrightarrow B$ in R-Rel has standard representation $\langle \kappa,g,\eta \rangle$, and let g= em for an epimorphism e and a monomorphism m in R-Mod. Applying 5.15b, there are standard representations $\langle 1,h,\eta_0 \rangle$ for e[#]k and $\langle \kappa_0,k,1 \rangle$ for hm[#]. Since κ_0 [#]kh η_0 [#] = η m[#]e[#] κ = $(\kappa$ [#]em η [#])[#] = f[#], $\langle \kappa_0,kh,\eta_0 \rangle$ is the standard representation for f[#]. By 5.1e and 5.16c,d again, we have:

$$F(f^{\#}) = G(\kappa_0)^{\#}G(hk)G(\eta_0)^{\#} = G(\eta)G(m)^{\#}G(e)^{\#}G(\kappa) =$$

$$= (G(\kappa)^{\#}G(em)G(\eta)^{\#})^{\#} = F(f)^{\#},$$

proving that F preserves converses.

Suppose that Of = Og and fI = gI for $f,g:A\longrightarrow B$ in R-Rel. Then there are κ , η , k and h such that $\langle \kappa, h, \eta \rangle$ and $\langle \kappa, k, \eta \rangle$ are the standard representations for f and g, respectively, and $\langle \kappa, h+k, \eta \rangle$ is the standard representation for f+g. Now $G(\kappa)^{\#}(G(h)+G(k))=G(\kappa)^{\#}G(h)+G(\kappa)^{\#}G(k)$ because $G(\kappa)$ is a monomorphism, so

$$F(f+g) = G(\kappa)^{\#}(G(h)+G(k))G(\eta)^{\#} = (G(\kappa)^{\#}G(h)+G(\kappa)^{\#}G(k))G(\eta)^{\#}$$

$$\geq G(\kappa)^{\#}G(h)G(\eta)^{\#}+G(\kappa)^{\#}G(k)G(\eta)^{\#} = F(f)+F(g).$$

Suppose $f_1 \leq f_2$ for $f_1, f_2: A \longrightarrow B$ in R-Rel. Let $\langle \kappa_i, h_i, \eta_i \rangle$ be the standard representation for f_i , with $h_i: A_i \longrightarrow B/B_i$, for i=1,2. Clearly $A_1 \leq A_2$ and $B_1 \leq B_2$, so there exist $\kappa_{12}: A_1 \longrightarrow A_2$ and $\eta_{12}: B/B_1 \longrightarrow B/B_2$ such that $\kappa_1 = \kappa_{12}\kappa_2$, $\kappa_{12}h_2 = h_1\eta_{12}$ and $\eta_1\eta_{12} = \eta_2$. Since $G(\kappa_{12})$ is a monomorphism and $G(\kappa_1) = G(\kappa_{12})G(\kappa_2)$, we have $G(\kappa_1)^\# G(\kappa_{12}) \leq G(\kappa_2)^\#$, and similarly $G(\eta_1)^\# \leq G(\eta_{12})G(\eta_2)^\#$. Then

$$\mathrm{F}(\,\mathrm{f}_{\,1}\,) \,\,\leq\,\, \mathrm{G}(\,\kappa_{\,1}\,)^{\#}\mathrm{G}(\,\mathrm{h}_{\,1}\,)\,\mathrm{G}(\,\eta_{\,2}\,)\,\mathrm{G}(\,\eta_{\,2}\,)^{\#} \,\,=\,\, \mathrm{G}(\,\kappa_{\,1}\,)^{\#}\mathrm{G}(\,\kappa_{\,1\,2}\,)\,\mathrm{G}(\,\mathrm{h}_{\,2}\,)\,\mathrm{G}(\,\eta_{\,2}\,)^{\#} \,\,\leq\,\, \mathrm{F}(\,\mathrm{f}_{\,2}\,)\,.$$

Therefore, F preserves order. Then F is a relation functor by 5.7.

Suppose G is an embedding functor and $F(g_1) = F(g_2)$ for $g_1, g_2: A \longrightarrow B$ in R-Rel. Let $f_1 = g_1 \wedge g_2$ and $f_2 = g_1 \vee g_2$, so $F(f_1) = F(f_2)$ also. Using the construction of the above paragraph, we see that $G(\kappa_{12})$ and $G(\eta_{12})$ must be isomorphisms. Since G is an exact embedding, κ_{12} and η_{12} must be isomorphisms, and so $f_1 = f_2$, hence $g_1 = g_2$. Therefore, F is an embedding functor.

The results above lead to the next corollary, which is another step in our unification of external theories for modules.

- 5.18. Corollary. For rings R and S, the following are equivalent:
- 5.18a. There exists an embedding relation functor F:R-Rel-→S-Rel.
- 5.18b. There exists an exact embedding functor G:R-Mod----S-Mod.

Proof: Use 5.14 with G = P(F) and 5.17 with F = K(G).

By 5.6b, we see that 5.18a implies $\mathfrak{B}(R) \subseteq \mathfrak{B}(S)$. We will prove in §7 that $\mathfrak{B}(R) \subseteq \mathfrak{B}(S)$ implies 5.18b. So, these three conditions are equivalent to each other, to $\mathfrak{L}(R) \subseteq \mathfrak{L}(S)$, and to $\mathfrak{Q}(R) \subseteq \mathfrak{Q}(S)$, by 4.11.