

# BOUNDS ON ITERATIONS OF ASYMPTOTICALLY QUASI-NONEXPANSIVE MAPPINGS

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ABSTRACT. This paper establishes explicit quantitative bounds on the computation of approximate fixed points of asymptotically (quasi-)nonexpansive mappings  $f$  by means of iterative processes. Here  $f : C \rightarrow C$  is a selfmapping of a convex subset  $C \subseteq X$  of a uniformly convex normed space  $X$ . We consider general Krasnoselski-Mann iterations with and without error terms. As a consequence of our quantitative analysis we also get new qualitative results which show that the assumption on the existence of fixed points of  $f$  can be replaced by the existence of approximate fixed points only. We explain how the existence of effective uniform bounds in this context can be inferred already a-priorily by a logical metatheorem recently proved by the first author. Our bounds were in fact found with the help of the general logical machinery behind the proof of this metatheorem. The proofs we present here are, however, completely selfcontained and do not require any tools from logic.

## 1. INTRODUCTION

This paper is part of a series of papers which apply tools from mathematical logic to metric fixed point theory ([12, 13, 14, 16] and – for the logical background – [15, 17]). These applications are concerned with both quantitative as well as qualitative aspects of the asymptotic regularity of various iterations of nonexpansive and other mappings in hyperbolic and normed spaces. More specifically, we are interested in effective rates of convergence which are uniform w.r.t. many of the parameters involved. Recently ([15]), the first author proved general logical metatheorems which a-priorily guarantee the existence of such uniform bounds if the convergence statement proved has a certain logical form, and the proof can be carried out in a certain (rather flexible) formal setting. The proofs of these metatheorems are constructive and allow one to actually extract effective bounds from a given ineffective convergence proof. In this paper we apply this methodology to Krasnoselski-Mann iterations of asymptotically quasi-nonexpansive mappings in uniformly convex normed spaces. We first show how this context fits within the scope of the metatheorems from [15] and then actually construct uniform effective bounds in the main part of this paper which is selfcontained and does not rely on any prerequisites from logic.

In the following, let  $(X, \|\cdot\|)$  be a uniformly convex (real) normed linear space and  $C \subseteq X$  a nonempty convex subset.

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1991 *Mathematics Subject Classification.* 47H09, 47H10, 03F10, 03F60.

*Key words and phrases.* Asymptotically nonexpansive mappings, quasi-nonexpansive mappings, approximate fixed points, Krasnoselski-Mann iteration, asymptotic regularity, proof mining. Partially supported by the Danish Natural Science Research Council, Grant no. 21-02-0474.

The class of asymptotically nonexpansive mappings  $f : C \rightarrow C$  was introduced in [8]:

**Definition 1.1.**  $f : C \rightarrow C$  is said to be asymptotically nonexpansive with sequence  $(k_n) \in [0, \infty)^{\mathbb{N}}$  if  $\lim_{n \rightarrow \infty} k_n = 0$  and

$$\|f^n(x) - f^n(y)\| \leq (1 + k_n)\|x - y\|, \quad \forall n \in \mathbb{N}, \forall x, y \in C.$$

**Definition 1.2** ([26]).  $f : C \rightarrow C$  is said to be uniformly  $\lambda$ -Lipschitzian ( $\lambda > 0$ ) if

$$\|f^n(x) - f^n(y)\| \leq \lambda\|x - y\|, \quad \forall n \in \mathbb{N}, \forall x, y \in C.$$

In the following we use the notation  $Fix(f) := \{p \in C : f(p) = p\}$ . The concept of quasi-nonexpansive functions was introduced in [6] (based on a similar concept due to [4, 5]):

**Definition 1.3.**  $f : C \rightarrow C$  is quasi-nonexpansive if

$$\|f(x) - p\| \leq \|x - p\|, \quad \forall x \in C, \forall p \in Fix(f).$$

Finally, combining the notions of asymptotically nonexpansive mappings and quasi-nonexpansive mappings we obtain the concept of asymptotically quasi-nonexpansive mappings first studied in [29] and [20] and more recently in [22, 23, 24]:

**Definition 1.4.**  $f : C \rightarrow C$  is asymptotically quasi-nonexpansive with sequence  $k_n \in [0, \infty)^{\mathbb{N}}$  if  $\lim_{n \rightarrow \infty} k_n = 0$  and

$$\|f^n(x) - p\| \leq (1 + k_n)\|x - p\|, \quad \forall n \in \mathbb{N}, \forall x \in X, \forall p \in Fix(f).$$

In the context of asymptotically (quasi-)nonexpansive mappings  $f : C \rightarrow C$  the so-called Krasnoselski-Mann iteration is defined as follows

$$x_0 := x \in C, \quad x_{n+1} := (1 - \alpha_n)x_n + \alpha_n f^n(x_n),$$

where  $(\alpha_n) \in [0, 1]^{\mathbb{N}}$ .

We will also consider Krasnoselski-Mann iterations with error terms

$$(1) \quad x_0 := x \in C, \quad x_{n+1} := \alpha_n x_n + \beta_n f^n(x_n) + \gamma_n u_n,$$

where  $\alpha_n, \beta_n, \gamma_n \in [0, 1]$  with  $\alpha_n + \beta_n + \gamma_n = 1$  and  $u_n \in C$  for all  $n \in \mathbb{N}$  (this type of error terms was first considered in [31]).

In this paper we study **uniform quantitative versions** as well as **qualitative improvements** of the following theorem which itself seems to be new (though kind of implicit in the literature, see below):

**Theorem 1.5.** Let  $(X, \|\cdot\|)$  be a uniformly convex (real) normed linear space and  $C$  be a convex subset of  $X$ . Let  $(k_n)$  be a sequence in  $\mathbb{R}_+$  with  $\sum k_n < \infty$ . Let  $k \in \mathbb{N}$  and  $\alpha_n, \beta_n, \gamma_n \in [0, 1]$  such that  $1/k \leq \beta_n \leq 1 - 1/k$ ,  $\alpha_n + \beta_n + \gamma_n = 1$  and  $\sum \gamma_n < \infty$ . Let  $f : C \rightarrow C$  a uniformly Lipschitz continuous function such that there exists a  $p \in Fix(f)$  with

$$\forall x \in C \forall n \in \mathbb{N} (\|f^n(x) - p\| \leq (1 + k_n)\|x - p\|).$$

Define

$$x_0 := x \in C, \quad x_{n+1} := \alpha_n x_n + \beta_n f^n(x_n) + \gamma_n u_n,$$

where  $(u_n)$  is a bounded sequence in  $C$ . Then the following holds:

$$\|x_n - f(x_n)\| \rightarrow 0.$$

**Corollary 1.6.** *Let  $(\alpha_n), (\beta_n), (\gamma_n), (k_n), (u_n), k$  as well as  $(X, \|\cdot\|), C$  as in theorem 1.5. If  $f : C \rightarrow C$  is uniformly Lipschitzian and asymptotically quasi-nonexpansive with sequence  $(k_n)$  and  $\text{Fix}(f) \neq \emptyset$ , then  $\|x_n - f(x_n)\| \rightarrow 0$ .*

If  $f : C \rightarrow C$  is asymptotically nonexpansive with a sequence  $(k_n) \in \mathbb{R}_+$  such that  $\sum k_n < \infty$  then  $f$  automatically is uniformly Lipschitz continuous hence corollary 1.6 implies:

**Corollary 1.7.** *Let  $(\alpha_n), (\beta_n), (\gamma_n), (k_n), (u_n), k, (X, \|\cdot\|), C$  as in theorem 1.5. If  $f : C \rightarrow C$  is asymptotically nonexpansive with sequence  $(k_n)$  and  $\text{Fix}(f) \neq \emptyset$ , then  $\|x_n - f(x_n)\| \rightarrow 0$ .*

**Corollary 1.8.** *Let  $(X, \|\cdot\|)$  be a uniformly convex Banach space,  $C \subset X$  a (nonempty) bounded closed convex subset,  $(\alpha_n) \in [1/k, 1 - 1/k]^{\mathbb{N}}$  for some  $k \in \mathbb{N}$ ,  $f : C \rightarrow C$  asymptotically nonexpansive with sequence  $(k_n)$  such that  $\sum k_n < \infty$  and*

$$x_0 := x \in C, \quad x_{n+1} := \alpha_n x_n + (1 - \alpha_n) f^n(x_n).$$

Then  $\|x_n - f(x_n)\| \rightarrow 0$ .

*Proof.* Corollary 1.8 follows from corollary 1.7 by omitting the error term (i.e. taking an arbitrary sequence  $(u_n)$  in  $C$  with  $\gamma_n = 0$ ) and using a theorem from [8] stating that asymptotically nonexpansive mappings  $f : C \rightarrow C$  always have fixed points (under the given assumptions on  $X, C$ ).  $\square$

The proof of theorem 1.5 is ineffective and the conclusion ‘ $\|x_n - f(x_n)\| \rightarrow 0$ ’, i.e.

$$(2) \quad \forall l \in \mathbb{N} \exists n \in \mathbb{N} \forall m \in \mathbb{N} (\|x_{n+m} - f(x_{n+m})\| < 2^{-l})$$

has too complicated a logical form as for our metatheorems to guarantee a computable bound on ‘ $\exists n \in \mathbb{N}$ ’, i.e. a computable rate of convergence. Nevertheless, (2) is (non-constructively) equivalent to

$$(3) \quad \forall l \in \mathbb{N} \forall g \in \mathbb{N}^{\mathbb{N}} \exists n \in \mathbb{N} (\|x_{n+g(n)} - f(x_{n+g(n)})\| < 2^{-l})$$

which does have the required logical form so that we can extract a computable bound  $\Phi$  on ‘ $\exists n$ ’ with  $g$  as an additional argument of the bound  $\Phi$ . The transformed version (3) of (2) is well-known in logic and called the Herbrand normal form of (2). Whereas (3) trivially follows from (2), the proof of the converse is ineffective.<sup>1</sup> Hence an effective bound on ‘ $\exists n$ ’ in (3)’ does not lead to an effective bound on ‘ $\exists n$ ’ in (2) unless the sequence  $(\|x_n - f(x_n)\|)$  is nonincreasing (where this follows already from the special case where  $g \equiv 0$ ) which is e.g. the case for nonexpansive functions  $f$ .

Actually, a slightly more flexible form of (3) still has a the required logical structure<sup>2</sup>

$$\forall l \in \mathbb{N} \forall g \in \mathbb{N}^{\mathbb{N}} \exists n \in \mathbb{N} \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| < 2^{-l})$$

and we will focus on effective bound  $\Phi(l, g)$  on this ‘ $\exists n$ ’.

In practice, it will be mostly the special case where  $g \equiv 0$ , i.e.

$$\forall l \in \mathbb{N} \exists n \leq \Phi(l, 0) (\|x_n - f(x_n)\| < 2^{-l})$$

<sup>1</sup>Suppose (2) fails for  $l \in \mathbb{N}$ . Then (3) fails for the same  $l$  if we take  $g(n) := \min m (\|x_{n+m} - f(x_{n+m})\| \geq 2^{-l})$ .

<sup>2</sup>Here and below we write  $m \in [n, n + g(n)]$  for  $m \in \mathbb{N} \wedge m \in [n, n + g(n)]$ .

which is of relevance. However, this will not always be sufficient. On general logical grounds though, namely the soundness of the so-called monotone functional interpretation on which our metatheorems are based and the fact that a bound on (3) realizes the monotone functional interpretation of (the Gödel negative translation of) (2), it follows that a bound for general  $g$  is sufficient for a quantitative analysis of any use of theorem 1.5 in a proof of a  $\forall\exists$ -consequence. Our bounds seem to be (already in the case of asymptotically nonexpansive mappings) the only known general quantitative results of that kind (see e.g. [2] for a discussion of the lack of quantitative results in this context).

The qualitative improvement of theorem 1.5 which is obtained via our quantitative analysis consists in the possibility to replace in order to show  $\|x_n - f(x_n)\| \rightarrow 0$  for a given  $x \in C$  the assumption

$$\exists p \in \text{Fix}(f) \forall y \in C \forall n \in \mathbb{N} (\|f^n(y) - p\| \leq (1 + k_n) \|y - p\|)$$

by

$$\exists d \in \mathbb{N} \forall \varepsilon > 0 \exists p_\varepsilon \in \text{Fix}_\varepsilon(x, d, f) \forall y \in C, n \in \mathbb{N} (\|f^n(y) - f^n(p_\varepsilon)\| \leq (1 + k_n) \|y - p_\varepsilon\|),$$

where

$$\text{Fix}_\varepsilon(x, d, f) := \{p \in C : \|x - p\| \leq d \wedge \|f(p) - p\| \leq \varepsilon\}.$$

This, of course, is of interest mainly for asymptotically nonexpansive mappings where it replaces the assumption that  $\text{Fix}(f) \neq \emptyset$  by

$$\forall x \in C \exists d \in \mathbb{N} \forall \varepsilon > 0 (\text{Fix}_\varepsilon(x, d, f) \neq \emptyset).$$

With the stronger assumption on  $(k_n)$  that  $\sum((k_n + 1)^r - 1) < \infty$  for some  $r > 1$ , corollary 1.8 is proved in [25]. For Hilbert spaces  $X$  and  $r = 2$  corollary 1.8 is already due to [26]. For Banach spaces satisfying Opial's condition ([21]) and  $\sum k_n < \infty$ , corollary 1.8 follows from [28] (note, however, that Opial's condition is not even satisfied for  $L_p$  except for  $p = 2$ ).<sup>3</sup> The result in the literature most close to corollary 1.6 is the main theorem in [24] whose proof technique – together with an argument reminiscent of a lemma in [26] – we actually use to prove theorem 1.5 and corollary 1.6. The theorem in [24] is concerned with the convergence of  $(x_n)$  towards a fixed point of  $f$  and the assumption of  $C$  being compact.<sup>4</sup> Without that assumption but assuming that  $\text{Fix}(f) \neq \emptyset$  the proof actually yields that

$$\lim_{n \rightarrow \infty} \|x_n - f^n(x_n)\| = 0$$

which together with an argument from [26] gives

$$\lim_{n \rightarrow \infty} \|x_n - f(x_n)\| = 0.$$

[24] in turn relies on [27] (see also [30]).

<sup>3</sup>For some generalizations of the main results of [28] see also [30].

<sup>4</sup>[24] actually considers more general Ishikawa-type iterations. In order to keep the technicalities of our paper down we confine ourselves here to the Krasnoselski-Mann type iterations.

## 2. A LOGICAL METATHEOREM WITH APPLICATIONS IN FIXED POINT THEORY

This section – which is independent from the main part of this paper – requires some background in logic as developed in [15]. In [15], we have defined a formal system  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$  for classical analysis over a uniformly convex normed space  $(X, \|\cdot\|)$  (with a modulus of uniform convexity  $\eta$ ) and a bounded convex subset  $C \subset X$ . The system is formulated in the language of functionals of finite type over the types  $X$  (for variables ranging over  $X$ -elements) and  $\mathbb{N}$  by closure of these types under function space formation: with  $\rho, \tau$  being types,  $\rho \rightarrow \tau$  is the type of all functions mapping objects of type  $\rho$  to objects of type  $\tau$ . The type  $C$  is treated as a subtype of  $X$ . The system contains full countable choice (and hence full comprehension over integers) and even full dependent choice. It is well known in logic that such a system allows to formalize most of existing proofs in analysis. Whereas elements of  $X$  are treated as ‘primitive’ objects (so-called ‘atoms’) real numbers are – as usual – explicitly represented via Cauchy sequences of rationals numbers with fixed rate of convergence. Both equality  $=_{\mathbb{R}}$  on  $\mathbb{R}$  as well as equality  $=_X$  on  $X$  are defined notions where  $x =_X y \equiv \|x - y\| =_{\mathbb{R}} 0$ . There are some subtleties, though, which have to do with the restricted availability of extensionality of functions w.r.t.  $=_X$ . These issues, however, are trivial in our applications in this paper as full extensionality of the functions we will consider follows from the continuity assumptions made (see below).

**Definition 2.1.** *A formula  $F$  is called  $\forall$ -formula (resp.  $\exists$ -formula) if it has the form  $F \equiv \forall \underline{a}^\sigma F_{qf}(\underline{a})$  (resp.  $F \equiv \exists \underline{a}^\sigma F_{qf}(\underline{a})$ ) where  $\underline{a}^\sigma = a_1^{\sigma_1}, \dots, a_k^{\sigma_k}$ ,  $F_{qf}$  does not contain any quantifier and the types in  $\sigma_i$  are  $\mathbb{N}$  or  $C$ .<sup>5</sup>*

**Remark 2.2.** *The notions of  $\forall$ -formula and  $\exists$ -formula (as well as the theorem corresponding to theorem 2.4 below) from [15] allow more general types. For simplicity we formulate above just the special case needed in this paper.*

Every (real) normed space  $(X, \|\cdot\|)$  together with a bounded convex subset  $C$  of  $X$  gives rise to the ‘full’ model  $\mathcal{S}^{\omega, X}$  over  $X, C$  of  $\mathcal{A}^\omega[X, \|\cdot\|, C]$ . If  $(X, \|\cdot\|)$  is uniformly convex and  $\eta : \mathbb{N} \rightarrow \mathbb{N}$  a modulus of uniform convexity<sup>6</sup> than this model will be a model of  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$ . We say that a sentence  $A \in \mathcal{L}(\mathcal{A}^\omega[X, \|\cdot\|, C, \eta])$  holds in  $(X, \|\cdot\|)$  and  $C$  if it holds in this model (see [15] for details on all this).

**Definition 2.3.** *For functionals  $x^\rho, y^\rho$  of type  $\rho = \mathbb{N} \rightarrow \mathbb{N}$  we define  $x \leq_\rho y$  by*

$$x \leq_\rho y \equiv \forall z^{\mathbb{N}} (x(z) \leq_{\mathbb{N}} y(z)).$$

**Theorem 2.4** ([15]). *Let  $\eta$  be a constant of type  $\mathbb{N} \rightarrow \mathbb{N}$ ,  $\sigma, \rho = \mathbb{N} \rightarrow \mathbb{N}$  and  $\tau = C, \mathbb{N} \rightarrow C$  or  $C \rightarrow C$ .  $s$  is a closed term of type  $\sigma \rightarrow \rho$  and  $B_\forall, C_\exists$  are  $\forall$ - resp.  $\exists$ -formulas.*

*If the sentence*

$$\forall x^\sigma \forall y \leq_\rho s(x) \forall z^\tau (\forall u^{\mathbb{N}} B_\forall(x, y, z, u) \rightarrow \exists v^{\mathbb{N}} C_\exists(x, y, z, v))$$

<sup>5</sup>Recall from [15] that the type ‘ $C$ ’ is a defined type where – using the notation from [15] – ‘ $\forall x^C A$ ’ and ‘ $\exists x^C A$ ’ stand for ‘ $\forall x^X (\chi_C(x) =_{\mathbb{N}} 0 \rightarrow A)$ ’ and ‘ $\exists x^X (\chi_C(x) =_{\mathbb{N}} 0 \wedge A)$ ’, where  $\chi_C$  represents the characteristic function of  $C$  in  $X$ .

<sup>6</sup>I.e.  $\forall x, y \in X, k \in \mathbb{N} (\|x\|, \|y\| \leq 1 \wedge \left\| \frac{x+y}{2} \right\| \geq 1 - 2^{-\eta(k)} \rightarrow \|x - y\| \leq 2^{-k})$ .

is provable in  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$ , then one can extract a computable functional<sup>7</sup>  $\Phi : \mathbb{N}^{\mathbb{N}} \times \mathbb{N} \times \mathbb{N}^{\mathbb{N}} \rightarrow \mathbb{N}$  such that

$$\forall y \leq_\rho s(x) \forall z^\tau [\forall u \leq \Phi(x, b, \eta) B_\forall(x, y, z, u) \rightarrow \exists v \leq \Phi(x, b, \eta) C_\exists(x, y, z, v)]$$

holds in any non-trivial (real) uniformly convex normed linear space  $(X, \|\cdot\|)$  with convexity modulus  $\eta$  and any non-empty  $b$ -bounded convex subset  $C \subset X$ .

Instead of single variables  $x, y, z, u, v$  we may also have finite tuples of variables  $\underline{x}, \underline{y}, \underline{z}, \underline{u}, \underline{v}$  as long as the elements of the respective tuples satisfy the same type restrictions as  $x, y, z, u, v$ .

Moreover, instead of a single premise of the form ' $\forall u^{\mathbb{N}} B_\forall(x, y, z, u)$ ' we may have a finite conjunction of such premises.

Using the so-called standard representation of compact Polish spaces like  $[0, 1]^{\mathbb{N}}$  (with the product metric) theorem 2.4 implies the following corollary (see [15]):

**Corollary 2.5.** *Let  $B_\forall, C_\exists$  be  $\forall$ - resp.  $\exists$ -formulas and  $\varphi : \mathbb{N} \rightarrow \mathbb{N}$  be a primitive recursive function.*

*If the sentence*

$$\begin{aligned} \forall n^{\mathbb{N}}, g^{\mathbb{N} \rightarrow \mathbb{N}}, (a_k) \in [0, \varphi(n)]^{\mathbb{N}}, x^C, (u_k)^{\mathbb{N} \rightarrow C}, f^{C \rightarrow C} \\ (\forall w^{\mathbb{N}} B_\forall(w) \rightarrow \exists v^{\mathbb{N}} C_\exists(v)) \end{aligned}$$

is provable in  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$ , then one can extract a computable functional  $\Phi(n, g, b, \eta)$  such that

$$\begin{aligned} \forall n, b \in \mathbb{N}, g, \eta \in \mathbb{N}^{\mathbb{N}}, (a_k) \in [0, \varphi(n)]^{\mathbb{N}}, x \in C, (u_k) \in C^{\mathbb{N}}, f : C \rightarrow C \\ (\forall w \leq \Phi(n, g, b, \eta) B_\forall(w) \rightarrow \exists v \leq \Phi(n, g, b, \eta) C_\exists(v)) \end{aligned}$$

holds in any non-trivial (real) uniformly convex normed linear space  $(X, \|\cdot\|)$  with convexity modulus  $\eta$  and any non-empty  $b$ -bounded convex subset  $C \subset X$ .

Instead of single variables  $n, g, (a_n)$  we may also have finite tuples of each of these variables.

Moreover, instead of a single premise of the form ' $\forall w^{\mathbb{N}} B_\forall(w)$ ' we may have a finite conjunction of such premises.

A crucial feature in the above corollary is that the bound  $\Phi(n, g, b, \eta)$  does not depend on  $(a_k), x, (u_k)$  or  $f$  at all and on  $X$  and  $C$  only via  $\eta$  and  $b$ .

Theorem 1.5 can be proved in  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$  and even in a weak fragment thereof (as the proof of the quantitative strengthened form of theorem 1.5 given below shows, neither dependent choice DC nor countable choice is needed). Problems in connection with the restricted availability of extensionality in  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$  (see [15]) do not apply here since the assumption on  $f$  being (even uniformly) Lipschitz continuous implies the extensionality (see the detailed discussion in the case of nonexpansive functions given in [15]). Hence corollary 2.5 is applicable and guarantees a-priorily a strong uniform effective version of theorem 1.5 in the sense explained in the introduction.

<sup>7</sup>In the sense type-2 computability theory, i.e. Turing computability w.r.t. oracle Turing machines.

**Remark 2.6** (for logicians). *There is a minor problem having to do with the fact that our formal system proves only weak extensionality of the constant  $\chi_C$  representing the characteristic function of  $C$ . As a consequence of this we have to make sure that the condition (we discuss things for notational simplicity here only for the special case of constant sequences)  $\alpha + \beta + \gamma = 1$  becomes provable which can be achieved by replacing*

$$(*) \forall \alpha, \beta, \gamma \in [0, 1](\alpha + \beta + \gamma = 1 \rightarrow A(\alpha, \beta, \gamma))$$

officially by

$$(**) \forall \alpha, \beta \in [0, 1] A(\alpha, \min(1 - \alpha, \beta), 1 - \alpha - \min(1 - \alpha, \beta)).$$

In the following, though, we will continue to write  $(*)$  instead of  $(**)$  for better readability.

The assumptions on  $\alpha_n, \beta_n, \gamma_n, k_n$  all become  $\forall$ -formulas once we express ‘ $\sum k_n < \infty$ ’ and ‘ $\sum \gamma_n < \infty$ ’ explicitly with bounds  $K, E \in \mathbb{N}$ , i.e.

$$A_1 :=$$

$$\forall n \in \mathbb{N}(\alpha_n + \beta_n + \gamma_n =_{\mathbb{R}} 1 \wedge \frac{1}{k} \leq_{\mathbb{R}} \beta_n \leq_{\mathbb{R}} 1 - \frac{1}{k} \wedge \sum_{i=0}^n k_i \leq_{\mathbb{R}} K \wedge \sum_{i=0}^n \gamma_i \leq_{\mathbb{R}} E).$$

The existential quantifier ‘ $\exists p \in C$ ’ in the premise

$$\exists p \in C(f(p) =_X p \wedge \forall x \in C \forall i \in \mathbb{N}(\|f^i(x) - p\| \leq_{\mathbb{R}} (1 + k_i)\|x - p\|)).$$

can be moved out as a universal quantifier in front of the whole implication leaving back the  $\forall$ -premise

$$A_2 := f(p) =_X p \wedge \forall x \in C \forall i \in \mathbb{N}(\|f^i(x) - p\| \leq_{\mathbb{R}} (1 + k_i)\|x - p\|).$$

Finally, we have the condition on  $f$  being uniformly Lipschitz continuous which becomes an  $\forall$ -formula once stated with a Lipschitz constant  $\lambda \in \mathbb{N}$

$$A_3 := \forall n \in \mathbb{N} \forall x, y \in C(\|f^n(x) - f^n(y)\| \leq_{\mathbb{R}} \lambda \cdot \|x - y\|).$$

Hence in total, theorem 1.5 can be reformulated as

$$\begin{aligned} & \forall \lambda, k, l, K, E \in \mathbb{N}, g \in \mathbb{N}^{\mathbb{N}}, (k_n) \in [0, K]^{\mathbb{N}}, (\alpha_n), (\beta_n), (\gamma_n) \in [0, 1]^{\mathbb{N}}, x^C, p^C \\ & \forall (u_n)^{\mathbb{N} \rightarrow C}, f^{C \rightarrow C} (A_1 \wedge A_2 \wedge A_3 \rightarrow \exists n \forall m \in [n, n + g(n)](\|x_m - f(x_m)\| <_{\mathbb{R}} 2^{-l})). \end{aligned}$$

Since the conclusion is (relative to  $\mathcal{A}^\omega[X, \|\cdot\|, C, \eta]$  equivalent to) an  $\exists$ -formula and the premise is a conjunction of  $\forall$ -formulas, we can apply corollary 2.5 to get an effective bound  $\Phi(\lambda, k, l, K, E, g, b, \eta)$  on ‘ $\exists n$ ’, i.e.

$$(4) \quad \exists n \leq \Phi(\lambda, k, l, K, E, g, b, \eta) \forall m \in [n, n + g(n)](\|x_m - f(x_m)\| <_{\mathbb{R}} 2^{-l}),$$

that does not depend on  $(\alpha_n), (\beta_n), (\gamma_n), x, p, f, (k_n), (u_n)$  but only on  $\lambda, k, l, K, E, g$  as well as a bound<sup>8</sup>  $b$  on  $C$  and the modulus  $\eta$ .

Corollary 2.5 not only provides an effective bound for the conclusion but also allows

<sup>8</sup>This requirement will be weakened below.

one to to replace  $\forall$ -premises by approximate versions thereof. We are here only interested in one of the premises, namely  $A_2$ , which can be also written as

$$(5) \quad \forall m \in \mathbb{N} \left( (\|f(p) - p\| \leq_{\mathbb{R}} 2^{-m}) \wedge \forall x \in C \forall i \in \mathbb{N} (\|f^i(x) - f^i(p)\| \leq_{\mathbb{R}} (1+k_i)\|x-p\|) \right),$$

where

$$(\|f(p) - p\| \leq_{\mathbb{R}} 2^{-m}) \wedge \forall x \in C \forall i \in \mathbb{N} (\|f^i(x) - f^i(p)\| \leq_{\mathbb{R}} (1+k_i)\|x-p\|)$$

itself is a  $\forall$ -formula. By the corollary we get an effective bound

$\Psi := \Psi(\lambda, k, l, K, E, g, b, \eta)$  on ‘ $\forall m$ ’ such that in order to obtain the conclusion (4) we can replace (5) by

$$\forall m \leq \Psi \left( (\|f(p) - p\| \leq_{\mathbb{R}} 2^{-m}) \wedge \forall x \in C \forall i \in \mathbb{N} (\|f^i(x) - f^i(p)\| \leq_{\mathbb{R}} (1+k_i)\|x-p\|) \right)$$

and hence – since  $\Psi$  does not depend on  $p$  – by

$$\left\{ \begin{array}{l} \forall m \in \mathbb{N} \exists p \in C \left( (\|f(p) - p\| \leq_{\mathbb{R}} 2^{-m}) \wedge \forall x \in C \forall i \in \mathbb{N} \right. \\ \left. (\|f^i(x) - f^i(p)\| \leq_{\mathbb{R}} (1+k_i)\|x-p\|) \right). \end{array} \right.$$

So in effect we have replaced the assumption on  $f$  having real fixed points by the weaker assumption on  $f$  having approximate fixed points.

The proof of theorem 2.4 in [15] (and hence that of corollary 2.5) is constructive and provides an algorithm for actually extracting a bound  $\Phi$  from the proof of theorem 1.5 together with a proof verifying the bound which – moreover – only uses the existence of  $\varepsilon$ -fixed points of  $f$ . The latter will be shown to always exist for asymptotically nonexpansive mappings by a completely elementary argument, while the existence of real fixed points requires the completeness of  $X$  and closedness of  $C$  and is based on the non-trivial convex intersection property of uniformly convex Banach spaces, see [8] and also [7]. All this will be carried out in the remainder of this paper. The explicit extraction of the bounds will, furthermore, show that the assumption on  $C$  being bounded (needed in the conclusion of the application of theorem 2.4 and hence corollary 2.5) can be replaced by the assumption that  $(u_n)$  is bounded (as in theorem 1.5) and that there exists a  $d \in \mathbb{N}$  such that within the  $d$ -neighbourhood of  $x \in C$  there are approximate fixed points  $p_\varepsilon \in C$  of  $f$  for any  $\varepsilon > 0$  (which is trivially satisfied if  $Fix(f) \neq \emptyset$ ). Hence we indeed get in the end a uniform quantitative version of the ‘original’ theorem 1.5.

### 3. SOME HELPFUL LEMMATA

**Lemma 3.1.** *Let  $(a_n)$  be a sequence in  $\mathbb{R}_+$  with  $a_{n+1} \leq a_n$  for all  $n$ . Then*

$$\forall \varepsilon > 0 \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \max_{i < \lfloor a_0/\varepsilon \rfloor} g^i(0) (a_n - a_{g(n)} \leq \varepsilon)$$

*Proof.* The inequality can fail in at most  $\lfloor a_0/\varepsilon \rfloor - 1$  steps of applying  $g$ , thus it has to be true for at least the one remaining.  $\square$

**Lemma 3.2** (Quantitative version of a lemma by Qihou, [23]). *Let  $(a_n), (b_n), (c_n)$  be sequences in  $\mathbb{R}_+$ ,  $A \in \mathbb{Q}_+^*, B, C \in \mathbb{Q}_+$ , such that  $a_{n+1} \leq (1+b_n)a_n + c_n$ ;  $a_0 \leq A$ ;  $\sum b_n \leq B$ ;  $\sum c_n \leq C$ . Then the following hold:*

- 1)  $(A+C)e^B$  is an upper bound on  $a_n$ .



2) Let

$$\Phi(A, B, C, g, \varepsilon) := \max_{i < \lfloor (4BD+4C+D)/\varepsilon \rfloor} g^i(0),$$

where  $D = (A + C)e^D$ . Then

$$(6) \quad \forall \varepsilon \in (0, 1] \forall g \in \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Phi(A, B, C, g, \varepsilon) (g(n) > n \rightarrow |a_{g(n)} - a_n| \leq \varepsilon).$$

3) Let

$$\Psi(A, B, C, g, \varepsilon) = \Phi(A, B, C, g, \varepsilon/3).$$

Then

$$(7) \quad \begin{aligned} &\forall \varepsilon \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Psi(A, B, C, g, \varepsilon) \\ &\forall i, j (g(n) \geq j > i \geq n \rightarrow |a_j - a_i| \leq \varepsilon). \end{aligned}$$

*Proof.* 1: By induction on  $m$  one shows

$$a_{n+m} \leq a_n \cdot \prod_{j=0}^{m-1} (1 + b_{n+j}) + \sum_{i=0}^{m-1} c_{n+i} \cdot \prod_{j=i+1}^{m-1} (1 + b_{n+j})$$

and also (by the arithmetic-geometric mean inequality)

$$\prod_{j=0}^{m-1} (1 + b_{n+j}) \leq (1 + \frac{\sum_{j=0}^{m-1} b_{n+j}}{m})^m < e^{\sum_{j=0}^{m-1} b_{n+j}}$$

and combined

$$(8) \quad \begin{aligned} a_{n+m} &\leq (a_n + \sum_{j=0}^{m-1} c_{n+j}) \cdot e^{\sum_{j=0}^{m-1} b_{n+j}}, \\ a_m &\leq (A + C) \cdot e^B \end{aligned}$$

for all  $m \in \mathbb{N}$ .

2: Consider  $(a_n^*)$  in which  $a_0^* = a_0$  and  $a_{n+1}^* = (1 + b_n)a_n^* + c_n$ . Note  $D \geq a_{n+1}^* \geq a_{n+1}^* - a_{n+1} \geq (1 + b_n)(a_n^* - a_n) \geq a_n^* - a_n$ . Build the two series

$$E_n = 4(BD + C - \sum_{i \leq n} (b_i D + c_i))$$

and

$$D_n = D - (a_n^* - a_n).$$

Their sum satisfies the conditions of Lemma 3.1, therefore there exists  $n \leq \Phi(A, B, C, g, \varepsilon)$ , such that  $E_n - E_{g(n)} \leq \varepsilon$  and  $D_n - D_{g(n)} \leq \varepsilon$ , but this means

$$\sum_{i=n}^{g(n)} b_i D + \sum_{i=n}^{g(n)} c_i \leq \frac{\varepsilon}{4}.$$

Then (using  $e^x \leq 1 + 2x$  for  $0 \leq x < 1$ )

$$\begin{aligned} a_j - a_i &< (a_i + \frac{\varepsilon}{4})e^{\frac{\varepsilon}{4D}} - a_i \leq \\ &(a_i + \frac{\varepsilon}{4})(1 + \frac{\varepsilon}{2D}) - a_i \leq \\ &\frac{\varepsilon D}{2D} + \frac{\varepsilon}{4} + \frac{\varepsilon^2}{8D} < \varepsilon \end{aligned}$$

for all  $i, j$ , such that  $n \leq i < j \leq g(n)$ . That is, if the sequence grows (i.e.  $a_{g(n)} > a_n$ ), it will satisfy the inequality. If it decreases, then

$$a_n - a_{g(n)} \leq a_n - a_{g(n)} + a_{g(n)}^* - a_n^* = D_n - D_{g(n)} \leq \varepsilon.$$

3: By the proof of the previous point, there is an  $n \leq \Psi(A, B, C, g, \varepsilon)$ , for which we have  $|a_{g(n)} - a_n| \leq \varepsilon/3$  if  $g(n) > n$  and also  $\forall i, j (g(n) \geq j > i \geq n \wedge a_j > a_i \rightarrow a_j - a_i \leq \varepsilon/3)$ . Therefore, for any  $i$  with  $g(n) \geq i \geq n$  we have  $a_{g(n)} - \varepsilon/3 \leq a_i \leq a_n + \varepsilon/3$  and hence  $\forall i, j \in [n, g(n)] (a_i \leq a_n + \varepsilon/3 \leq a_{g(n)} + 2\varepsilon/3 \leq a_j + \varepsilon)$ , from which the needed inequality follows directly.  $\square$

**Lemma 3.3.** *Let  $D : \mathbb{N} \rightarrow \mathbb{Q}_+^*$ ,  $B, C : \mathbb{N} \rightarrow \mathbb{Q}_+$ , and for all  $q$ , let  $(a_n)^q, (b_n)^q, (c_n)^q$  be sequences in  $\mathbb{R}_+$ , such that  $a_{n+1}^q \leq (1 + b_n^q)a_n^q + c_n^q$ ;  $a_n^q \leq D(q)$ ;  $\sum b_i^q \leq B(q)$ ;  $\sum c_i^q \leq C(q)$  for all  $n, q \in \mathbb{N}$ . Then:*

1) Let

$$\Phi(D, B, C, g, \varepsilon, m) = \max_{i < \lfloor \frac{1}{\varepsilon} \sum_{q=0}^{m-1} (4B(q)D(q) + 4C(q) + D(q)) \rfloor} g^i(0).$$

Then

$$\begin{aligned} \forall \varepsilon \in (0, 1] \forall m \in \mathbb{N} \forall g \in \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Phi(D, B, C, g, \varepsilon, m) \\ \forall q < m \left( g(n) > n \rightarrow |a_{g(n)}^q - a_n^q| \leq \varepsilon \right). \end{aligned}$$

2) Let  $\Psi(D, B, C, g, \varepsilon, m) = \Phi(D, B, C, g, \varepsilon/3, m)$ . Then

$$\begin{aligned} \forall \varepsilon \in (0, 1] \forall m \in \mathbb{N} \forall g \in \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Psi(D, B, C, g, \varepsilon, m) \\ \forall q < m \forall i, j (g(n) \geq j > i \geq n \rightarrow |a_j^q - a_i^q| \leq \varepsilon). \end{aligned}$$

*Proof.* As in the previous proof, we can represent every  $(a_n^q)$  by two series  $(D_n^q)$  and  $(E_n^q)$  in a common sum, to which we can apply Lemma 3.1. Then we can carry on the rest of the proof for the individual sequences.  $\square$

**Definition 3.4** (Clarkson, [3]). *A modulus of uniform convexity of a uniformly convex space  $(X, \|\cdot\|)$  is a mapping  $\eta : (0, 2] \rightarrow (0, 1]$ , such that for all  $x, y \in X$ ,  $\varepsilon \in (0, 2]$*

$$\|x\|, \|y\| \leq 1 \wedge \|x - y\| \geq \varepsilon \rightarrow \left\| \frac{x + y}{2} \right\| \leq 1 - \eta(\varepsilon).$$

**Lemma 3.5** (Groetsch, [9]). *Let  $(X, \|\cdot\|)$  be uniformly convex with modulus  $\eta$ . If  $\|x\|, \|y\| \leq 1$  and  $\|x - y\| \geq \varepsilon > 0$ , then*

$$\|\lambda x + (1 - \lambda)y\| \leq 1 - 2\lambda(1 - \lambda)\eta(\varepsilon), 0 \leq \lambda \leq 1.$$

The next lemma is an adaptation (and improvement) of a lemma from [26] to our situation, i.e. Mann iterations with error term instead of Ishikawa iterations without error term as considered by Schu:

**Lemma 3.6.** *Let  $X$  be a normed linear space,  $C \subseteq X$  a convex subset of  $X$ ,  $f : C \rightarrow C$  uniformly  $l$ -Lipschitzian, and  $(x_n)$  be a Krasnoselski-Mann iteration starting from  $x \in C$  with error vector  $(u_n)$  where  $\|u_n - x_n\|$  is bounded by  $u$  for all  $n \in \mathbb{N}$ .*

*Then if  $\|x_n - f^n(x_n)\| \leq \varepsilon_n$  and  $\|x_{n+1} - f^{n+1}(x_{n+1})\| \leq \varepsilon_{n+1}$ , then  $\|x_{n+1} - f(x_{n+1})\| \leq \varepsilon_{n+1} + (\varepsilon_n + \gamma_n u)(l + l^2)$ .*

*Proof.*

$$\begin{aligned}
 \|x_{n+1} - f(x_{n+1})\| &\leq \|x_{n+1} - f^{n+1}(x_{n+1})\| + \|f^{n+1}(x_{n+1}) - f(x_{n+1})\| \\
 &\leq \varepsilon_{n+1} + l\|f^n(x_{n+1}) - x_{n+1}\| \\
 &\leq \varepsilon_{n+1} + l\|f^n(x_{n+1}) - \alpha_n x_n - \beta_n f^n(x_n) - \gamma_n u_n\| \\
 &\leq \varepsilon_{n+1} + l\|f^n(x_{n+1}) - f^n(x_n)\| + \\
 &\quad + l(\alpha_n + \gamma_n)\|f^n(x_n) - x_n\| + l\gamma_n\|u_n - x_n\| \\
 &\leq \varepsilon_{n+1} + l\varepsilon_n + l^2\|x_{n+1} - x_n\| + \gamma_n ul \\
 &\leq \varepsilon_{n+1} + l\varepsilon_n + l^2\|\beta_n f^n(x_n) - (\beta_n + \gamma_n)x_n + \gamma_n u_n\| + \\
 &\quad + \gamma_n ul \\
 &\leq \varepsilon_{n+1} + l\varepsilon_n + l^2\beta_n\|f^n(x_n) - x_n\| + \gamma_n u(l + l^2) \\
 &\leq \varepsilon_{n+1} + (\varepsilon_n + \gamma_n u)(l + l^2).
 \end{aligned}$$

□

In [8] it is shown – using that reflexive and hence a-fortiori uniformly convex Banach spaces have the so-called ‘convex intersection property CIP’ – that asymptotically nonexpansive selfmappings of bounded closed convex subsets  $C \subset X$  have fixed points. Our quantitative results reduce the need of fixed points to that of approximate fixed points. For the latter we now give a fully elementary proof which does not need CIP (nor the completeness/closedness of  $X/C$ ):

**Lemma 3.7.** *Let  $(X, \|\cdot\|)$  be a uniformly convex space with modulus  $\eta$ , and  $C \subseteq X$  be nonempty, convex and bounded. Let  $f : C \rightarrow C$  be asymptotically non-expansive with sequence  $(k_n)$ .*

*Then  $\text{Fix}_\varepsilon(f) := \{x \in C : \|f(x) - x\| \leq \varepsilon\} \neq \emptyset, \forall \varepsilon > 0$ .*

*Proof.* Let  $y \in C$ . Consider

$$\rho_0 := \inf \left\{ \rho \in \mathbb{R}_+ : \exists x \in C \exists k \in \mathbb{N} \forall i > k. \|f^i(y) - x\| \leq \rho \right\}$$

Since  $C$  is bounded, the set is non-empty and  $\rho_0$  exists. We also have  $\rho_0 \geq 0$  and

$$(9) \quad \forall \delta > 0 \exists x \in C \exists k \in \mathbb{N} \forall i > k. \|f^i(y) - x\| \leq \rho_0 + \delta/2.$$

**Case 1.**  $\rho_0 > 0$ :

Let  $\varepsilon \in (0, 4]$  and choose  $\delta \in (0, 1]$  such that

$$\eta \left( \frac{\varepsilon}{2(\rho_0 + 1)} \right) > 1 - \frac{\rho_0 - \delta}{\rho_0 + \delta}.$$

By (9), there is an  $x_\delta \in C$ , such that

$$(10) \quad \exists k \in \mathbb{N} \forall i > k. \|f^i(y) - x_\delta\| \leq \rho_0 + \delta/2.$$

Assume that

$$(11) \quad \forall k \in \mathbb{N} \exists n > k. \|f^n(x_\delta) - x_\delta\| \geq \varepsilon/2.$$

Let  $n$  be large enough that (using (11))

$$(12) \quad (1 + k_n)(\rho_0 + \delta/2) \leq \rho_0 + \delta \wedge \|f^n(x_\delta) - x_\delta\| \geq \varepsilon/2,$$

and  $m \geq n$  be large enough that (using (10))

$$\|f^k(y) - x_\delta\| \leq \rho_0 + \delta/2$$

for all  $k \geq m - n$ . Then

$$(13) \quad \|f^n(x_\delta) - f^k(y)\| \leq (1 + k_n)\|x_\delta - f^{k-n}(y)\| \leq \rho_0 + \delta$$

and

$$(14) \quad \|x_\delta - f^k(y)\| \leq \rho_0 + \delta/2 \leq \rho_0 + \delta$$

for all  $k \geq m$ .

(12), (13) and (14) yield by uniform convexity and  $\delta \leq 1$

$$\left\| \frac{x_\delta - f^n(x_\delta)}{2} - f^k(y) \right\| \leq \left( 1 - \eta \left( \frac{\varepsilon}{2(\rho_0 + 1)} \right) \right) (\rho_0 + \delta) < \rho_0 - \delta$$

for all  $k \geq m$ , which contradicts the minimality of  $\rho_0$ .

Hence (11) is false, i.e.

$$\exists k \forall n \geq k. \|f^n(x_\delta) - x_\delta\| < \varepsilon/2,$$

which implies that there exists a  $k$ , such that

$$\|f^{k+1}(x_\delta) - x_\delta\| < \varepsilon/2 \text{ and } \|f^{k+2}(x_\delta) - x_\delta\| < \varepsilon/2$$

and hence  $\|f(x) - x\| < \varepsilon$  for  $x := f^{k+1}(x_\delta)$ .

Since  $\varepsilon \in (0; 4]$  was arbitrary, this implies  $\text{Fix}_\varepsilon(f) \neq \emptyset$ .

**Case 2.**  $\rho_0 = 0$ :

Let  $\varepsilon > 0$ . Then (9) implies

$$\exists x \in C \exists k \in \mathbb{N} \forall i > k. \|f^i(y) - x\| \leq \varepsilon/2$$

and therefore  $x_\varepsilon := f^{k+1}(y)$  is an  $\varepsilon$ -fixed point of  $f$ , and again  $\text{Fix}_\varepsilon(f) \neq \emptyset$ .  $\square$

#### 4. MAIN RESULTS

Throughout this section,  $(X, \|\cdot\|)$  will be a uniformly convex space with modulus of uniform convexity  $\eta$  and  $C$  a convex subset of  $X$ .  $f$  will be a mapping from  $C$  to  $C$ ,  $x \in C$  and the series  $(x_n)$  will be a Krasnoselski-Mann iteration with error terms (1), and  $(\alpha_n), (\beta_n), (\gamma_n), (u_n)$  as defined in (1).

**Theorem 4.1.** *Let  $f$  be uniformly  $l$ -Lipschitzian and*

$$(15) \quad \forall \varepsilon > 0 \exists p_\varepsilon \in C \left( \begin{array}{l} \|f(p_\varepsilon) - p_\varepsilon\| \leq \varepsilon \wedge \\ \|p_\varepsilon - x\| \leq d \wedge \\ \forall y \in C \forall n (\|f^n(y) - f^n(p_\varepsilon)\| \leq (1 + k_n)\|y - p_\varepsilon\|) \end{array} \right)$$

where  $d \in \mathbb{Q}_+, k_n \in \mathbb{R}_+$  and also  $\sum_{n=0}^{\infty} k_n \leq K \in \mathbb{Q}_+$ .

Let  $1/k \leq \beta_n \leq 1 - 1/k$  for some  $k \in \mathbb{N}$ ,  $\sum_{n=0}^{\infty} \gamma_n \leq E \in \mathbb{Q}_+$ , and  $(u_n)$  be bounded with  $\|u_n - x\| \leq u \in \mathbb{Q}_+$ .

Then

$$\forall \delta \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Phi \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| \leq \delta)$$

where  $\Phi = \Phi(K, E, u, k, d, l, \eta, \delta, g)$  and

$$\begin{aligned}
 \Phi(K, E, u, k, d, l, \eta, \delta, g) &= h^i(0) \\
 h &= \lambda n \cdot (g(n+1) + n + 1) \\
 i &= \left\lceil \frac{3(5KD + 6E(U + D) + D)k^2}{\varepsilon \eta (\varepsilon / (D(1 + K)))} \right\rceil \\
 D &= e^K (d + EU) \\
 U &= u + d \\
 \varepsilon &= \delta / (2(1 + l(l + 1)(l + 2))).
 \end{aligned}
 \tag{16}$$

*Proof.* Let  $\nu \in (0, 1) \cap \mathbb{Q}$ ,  $p$  be a  $p_\varepsilon$  from (15), and for the moment assume  $\|f(p) - p\| \leq \nu^{n+1}/(n+K)$  is satisfied for all  $n$ . Set  $U := u + d \geq \|u_n - p\|$ . Then we also have  $\|f^n(p) - p\| = \|f^{n-1}(f(p)) - f^{n-1}(p) + f^{n-1}(p) - p\| \leq \frac{\nu^{n+1}}{n+K} \sum_{i=0}^{n-1} (1 + k_i) \leq \nu^{n+1}$  by the third clause in (15), and

$$\begin{aligned}
 \|x_{n+1} - p\| &= \|\alpha_n x_n + \beta_n f^n(x_n) + \gamma_n u_n - p\| \\
 &= \|\alpha_n(x_n - p) + \beta_n(f^n(x_n) - f^n(p)) + \gamma_n(u_n - p) + \beta_n(f^n(p) - p)\| \\
 &\leq \alpha_n \|x_n - p\| + \beta_n \|f^n(x_n) - f^n(p)\| + \gamma_n U + \beta_n \nu^{n+1} \\
 &\leq \alpha_n \|x_n - p\| + \beta_n (1 + k_n) \|x_n - p\| + \gamma_n U + \nu^{n+1} \\
 &\leq (1 + k_n) \|x_n - p\| + \gamma_n U + \nu^{n+1}.
 \end{aligned}
 \tag{17}$$

By Lemma 3.2 for all  $m \in \mathbb{N}$

$$\|x_m - p\| \leq D,
 \tag{18}$$

where  $D := e^K \cdot (d + EU + \nu(1 - \nu))$ .

For any  $n$ , assume  $\|x_n - p\| \geq \varepsilon + \nu^{n+1}$  and  $\|f^n(x_n) - x_n\| \geq \varepsilon + \nu^{n+1}$ . The latter implies

$$\|(x_n - p) - (f^n(x_n) - f^n(p))\| \geq \|x_n - f^n(x_n)\| - \|p + f^n(p)\| \geq \varepsilon.$$

Hence by Lemma 3.5, using  $k_n \leq K$ , and (18),

$$\begin{aligned}
 &\left\| (1 - \beta_n) \frac{x_n - p}{(1 + k_n) \|x_n - p\|} + \beta_n \frac{f^n(x_n) - f^n(p)}{(1 + k_n) \|x_n - p\|} \right\| \leq \\
 &1 - 2\beta_n(1 - \beta_n) \cdot \eta \left( \frac{\varepsilon}{(1 + K)D} \right).
 \end{aligned}
 \tag{19}$$

Thus

$$\begin{aligned}
 \|x_{n+1} - p\| &= \|\alpha_n x_n + \beta_n f^n(x_n) + \gamma_n u_n - p\| \\
 &= \|(1 - \beta_n - \gamma_n)(x_n - p) + \beta_n(f^n(x_n) - f^n(p) - p + f^n(p)) + \gamma_n(u_n - p)\| \\
 &\leq \|(1 - \beta_n)(x_n - p) + \beta_n(f^n(x_n) - f^n(p))\| + \gamma_n \|u_n - x_n\| + \nu^{n+1} \\
 &\leq ((1 + k_n) \|x_n - p\|) \left( 1 - 2\beta_n(1 - \beta_n) \eta \left( \frac{\varepsilon}{(1 + K)D} \right) \right) \\
 &\quad + \gamma_n (U + D) + \nu^{n+1} \\
 &\leq \|x_n - p\| + k_n D + \gamma_n (U + D) + \nu^{n+1} - \varepsilon \cdot 2 \frac{1}{k^2} \eta \left( \frac{\varepsilon}{(1 + K)D} \right)
 \end{aligned}
 \tag{20}$$

but  $\|x_n - p\| \leq \|x_{n+1} - p\| + \|\|x_n - p\| - \|x_{n+1} - p\|\|$ , therefore (20) implies

$$0 \leq \|\|x_n - p\| - \|x_{n+1} - p\|\| + k_n D + \gamma_n(U + D) + \nu^{n+1} - 2\varepsilon k^{-2} \eta \left( \frac{\varepsilon}{(1+K)D} \right),$$

where the positive additives can be made arbitrarily small by Lemma 3.2, and the negative is a constant greater than 0. Assume we have made the positive sum smaller than this constant for two consecutive members of the series starting at  $n$ . By contradiction we will have for both  $i = n$  and  $i = n + 1$

$$(21) \quad \|x_i - p\| < \varepsilon + \nu^{i+1} \text{ or } \|f^i(x_i) - x_i\| < \varepsilon + \nu^{i+1}.$$

Consider the following cases:

**Case 1.**  $\|x_{n+1} - p\| < \varepsilon + \nu^{n+2}$ .

Here we have

$$(22) \quad \begin{aligned} \|f(x_{n+1}) - x_{n+1}\| &\leq \|f(x_{n+1}) - f(p)\| + \|p - x_{n+1}\| + \|p - f(p)\| \\ &\leq (1+l)\|x_{n+1} - p\| + \nu^{n+1} \leq (2+l)(\varepsilon + \nu^{n+1}). \end{aligned}$$

**Case 2.**  $\|x_{n+1} - f^{n+1}(x_{n+1})\| < \varepsilon + \nu^{n+2}$  and  $\|x_n - f^n(x_n)\| < \varepsilon + \nu^{n+1}$ .

Then, using Lemma 3.6 with  $\varepsilon_{n+1} = \varepsilon_n = \varepsilon + \nu^{n+1}$ , we have

$$\|x_{n+1} - f(x_{n+1})\| \leq (\varepsilon + \nu^{n+1} + \gamma_n(U + D))(1 + l + l^2).$$

**Case 3.**  $\|x_{n+1} - f^{n+1}(x_{n+1})\| < \varepsilon + \nu^{n+2}$  and  $\|x_n - p\| < \varepsilon + \nu^{n+1}$ .

In this case we have (reasoning as in (22))

$$\|x_n - f^n(x_n)\| \leq (2+l)(\varepsilon + \nu^{n+1})$$

and again using Lemma 3.6

$$\|x_{n+1} - f(x_{n+1})\| \leq (\varepsilon + \nu^{n+1} + \gamma_n(U + D))(1 + l(l+1)(l+2)).$$

In either case, if we denote

$$\begin{aligned} p_n &= \|\|x_n - p\| - \|x_{n+1} - p\|\| \\ q_n &= k_n D + 2\gamma_n(U + D) + 2\nu^{n+1} \end{aligned}$$

and we have

$$(23) \quad p_n, q_n < \varepsilon k^{-2} \eta \left( \frac{\varepsilon}{(1+K)D} \right)$$

and

$$p_{n+1}, q_{n+1} < \varepsilon k^{-2} \eta \left( \frac{\varepsilon}{(1+K)D} \right),$$

(note that  $\|\|x_n - p\| - \|x_{n+1} - p\|\| + k_n D + \gamma_n(U + D) + \nu^{n+1} \leq p_n + q_n < 2\varepsilon k^{-2} \eta \left( \frac{\varepsilon}{(1+K)D} \right)$  where  $\varepsilon = \delta / (2(1 + l(l+1)(l+2)))$ , then (using that  $q_{n+1} < \varepsilon$ )

$$(24) \quad \|x_{n+1} - f(x_{n+1})\| \leq \left( \varepsilon + \frac{q_{n+1}}{2} \right) (1 + l(l+1)(l+2)) \leq \delta$$

Next, construct the two series

$$\begin{aligned} a_n &= \|x_n - p\| \text{ and} \\ b_n &= KD + 2E(U + D) + \frac{2\nu}{(1-\nu)} - \sum_{i=0}^{n-1} (k_i D + 2\gamma_i(U + D) + 2\nu^{i+1}) \end{aligned}$$

(note  $p_n = a_{n+1} - a_n$ , and  $q_n = b_{n+1} - b_n$ ). We know from (17) that  $a_{n+1} \leq (1 + k_n)a_n + \gamma_n U + \nu^{n+1}$ , and  $b_{n+1} \leq b_n$ , therefore by Lemma 3.3

$$\forall k \in \mathbb{N} \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists m < \Phi_\nu \forall i, j \\ \left( m - 1 \leq i < j \leq m + g(m) \rightarrow |a_j - a_i|, |b_j - b_i| \leq \varepsilon k^{-2} \eta \left( \frac{\varepsilon}{(1+K)D} \right) \right),$$

where

$$\begin{aligned} \Phi_\nu(K, E, u, k, d, l, \eta, \delta, g) &= h^i(0) \\ h &= \lambda n. (g(n+1) + n + 1) \\ i &= \left\lfloor \frac{3(5KD + 6E(U + D) + 6\nu/(1-\nu) + D)k^2}{\varepsilon \eta (\varepsilon / (D(1+K)))} \right\rfloor \\ D &= e^K (d + EU + \nu / (1-\nu)) \\ U &= u + d \\ \varepsilon &= \delta / (2(1 + l(l+1)(l+2))). \end{aligned}$$

This is enough to ensure (21) and hence (24) for all  $n \in [m, m + g(m)]$  and therefore

$$\forall \delta \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \\ \exists n \leq \Phi_\nu(K, E, u, k, d, l, \eta, \delta, g) \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| \leq \delta).$$

It only remains to throw away the assumption that  $\|f(p) - p\| \leq \nu^{n+1}/(n+K)$  holds for all  $n$ . This we can do by simply relaxing it to only the  $n$ 's for which the inequality was used in the proof, i.e. for all  $n \leq \Phi_\nu$ . This is certainly satisfied by  $p_{\nu^{\Phi_\nu+1}/(\Phi_\nu+K)}$  using (15).

The value of  $\nu$  was arbitrary within  $(0, 1) \cap \mathbb{Q}$ , thus we can take it arbitrarily small and the bound will get lower at the expense of requiring better approximate fixed points (which we have). Therefore  $\Phi = \inf_{\nu \in (0,1)} \Phi_\nu$  will be sufficient for the bound.

Computing the infimum yields the form (16).  $\square$

**Remark 4.2.** *Using the argument about the Herbrand normal form (3) in Section 1, this theorem and all its corollaries allow us to also conclude*

$$\|f(x_n) - x_n\| \rightarrow 0.$$

*In particular, theorem 4.1 implies theorem 1.5 from the introduction and is in fact a quantitative strengthening of the latter.*

**Corollary 4.3.** *Let  $f$  be uniformly  $l$ -Lipschitzian and asymptotically quasi-nonexpansive with sequence  $(k_n)$ ,  $\text{Fix}(f) \neq \emptyset$ , and also  $\sum_{n=0}^{\infty} k_n \leq K \in \mathbb{Q}_+$ .*

*Let  $1/k \leq \beta_n \leq 1 - 1/k$  for some  $k \in \mathbb{N}$ ,  $\sum_{n=0}^{\infty} \gamma_n \leq E \in \mathbb{Q}_+$ , and  $(u_n)$  be bounded with  $\|u_n - x\| \leq u \in \mathbb{Q}_+$ .*

*Then*

$$\forall \delta \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Phi \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| \leq \delta)$$

*where  $\Phi$  is as defined in theorem 4.1 with  $d \geq \|x - p\|$  for some  $p \in \text{Fix}(f)$ .*

*Proof.* Direct corollary of the main theorem, where the first and second clauses of (15) are satisfied by the existence of real fixed points of  $f$ , and the third clause follows from the assumption on  $f$  being asymptotically quasi-nonexpansive.  $\square$

**Corollary 4.4.** *If we only need to find a single  $x_n$ , which is an approximate fixed point of the function, taking  $g(n) \equiv 0$  gives*

$$(25) \quad \forall \delta \in (0, 1] \exists n \leq \Phi_1(K, E, u, k, d, l, \eta, \delta) (\|x_n - f(x_n)\| \leq \delta)$$

where

$$\begin{aligned} \Phi_1(K, E, u, k, d, l, \eta, \delta) &= \left\lceil \frac{3(5KD + 6E(U + D) + D)k^2}{\varepsilon \eta(\varepsilon/(D(1 + K)))} \right\rceil \\ D &= e^K(d + EU) \\ U &= u + d \\ \varepsilon &= \delta/(2(1 + l(l + 1)(l + 2))). \end{aligned}$$

**Remark 4.5.** *If the modulus of uniform convexity of the space can be written in the form  $\eta(\varepsilon) = \varepsilon \tilde{\eta}(\varepsilon)$  where  $\tilde{\eta}$  is monotone ( $0 < \varepsilon_1 \leq \varepsilon_2 \leq 2 \rightarrow \tilde{\eta}(\varepsilon_1) \leq \tilde{\eta}(\varepsilon_2)$ ), the proof of Theorem 4.1 allows to extract a bound with  $\eta$  replaced by  $\tilde{\eta}$  (by changing  $\eta\left(\frac{\varepsilon}{(1+K)D}\right)$  to  $\eta\left(\frac{\varepsilon}{(1+k_n)\|x_n-p\|}\right)$  in (19) we can replace (20) by  $\|x_{n+1} - p\| \leq \|x_n - p\| + k_n D + \gamma_n(U + D) + \nu^{n+1} - \varepsilon \cdot 2\frac{1}{k^2} \tilde{\eta}\left(\frac{\varepsilon}{(1+K)D}\right)$  and the change carries on through the proof).*

Disregarding the various constants, the  $\varepsilon$ -dependency of our bounds in the case  $g \equiv 0$  is  $\varepsilon \cdot \eta(\varepsilon)$ .

It is well-known that the Banach spaces  $L_p$  with  $1 < p < \infty$  are uniformly convex ([3]). For  $p \geq 2$ ,  $\frac{\varepsilon^p}{p2^p}$  is a modulus of convexity ([10], see also [12]). Since

$$\frac{\varepsilon^p}{p2^p} = \varepsilon \cdot \tilde{\eta}_p(\varepsilon)$$

where

$$\tilde{\eta}_p(\varepsilon) = \frac{\varepsilon^{p-1}}{p2^p}$$

is monotone, we can apply the previous remark. Hence we get – disregarding again constants – that the  $\varepsilon$ -dependency of our bounds in the case of  $L_p$  ( $p \geq 2$ ) is  $\varepsilon^p$ .

For the case  $X := \mathbb{R}$  with the Euclidean norm, where we can choose  $\tilde{\eta}(\varepsilon) := \frac{1}{2}$  (since  $\varepsilon/2$  is a modulus of convexity), we have a linear dependency in  $\varepsilon$ . These results match in quality the bounds obtained in [11, 12, 14] for the case of nonexpansive functions and the usual Krasnoselski-Mann iteration (without error terms). In that case, the deep work in [1] even established a quadratic bound in arbitrary normed spaces for the special case of **constant**  $\lambda_n = \lambda \in (0, 1)$ . For general  $(\lambda_n)$  (satisfying  $\lambda_n \in (0, 1 - 1/k)$  and  $\sum \lambda_n = \infty$ ), the first bounds for Krasnoselski-Mann iterations in arbitrary normed and even hyperbolic spaces were established in [13, 16].

In the case of asymptotically nonexpansive mappings  $f : C \rightarrow C$  ( $C \subset X$  bounded, closed and convex) it is an open problem whether  $\text{Fix}_\varepsilon(f) \neq \emptyset$ ,  $\forall \varepsilon > 0$ , for general (i.e. not uniformly convex) Banach spaces  $X$  (see [7], p.135).

**Corollary 4.6.** *Let  $f$  be asymptotically nonexpansive with sequence  $(k_n)$ ,  $d$  is such that  $\text{Fix}_\varepsilon(x, d, f) \neq \emptyset$  for all  $\varepsilon > 0$  and also  $\sum_{n=0}^{\infty} k_n \leq K \in \mathbb{Q}_+$ .*

*Let  $1/k \leq \beta_n \leq 1 - 1/k$  for some  $k \in \mathbb{N}$ ,  $\sum_{n=0}^{\infty} \gamma_n \leq E \in \mathbb{Q}_+$ , and  $(u_n)$  be bounded with  $\|u_n - x\| \leq u \in \mathbb{Q}_+$ .*



Then

$$\forall \delta \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \exists n \leq \Phi \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| \leq \delta)$$

where  $\Phi$  is as defined in theorem 4.1 with  $l = 1 + K$ .

*Proof.* Direct corollary to the main theorem, using  $1 + K \geq 1 + k_n$  for any  $n$  as the Lipschitz constant.  $\square$

**Corollary 4.7.** Let  $C$  be a bounded convex subset of  $X$  with diameter  $d \in \mathbb{Q}_+^*$  and  $f$  be asymptotically nonexpansive with sequence  $(k_n)$ , and also  $\sum_{n=0}^{\infty} k_n \leq K \in \mathbb{Q}_+$ .

Let  $1/k \leq \beta_n \leq 1 - 1/k$  for some  $k \in \mathbb{N}$ ,  $\sum_{n=0}^{\infty} \gamma_n \leq E \in \mathbb{Q}_+$ .

Then

$$\begin{aligned} & \forall \delta \in (0, 1] \forall g : \mathbb{N} \rightarrow \mathbb{N} \\ & \exists n \leq \Phi_2(K, E, k, d, \eta, \delta, g) \forall m \in [n, n + g(n)] (\|x_m - f(x_m)\| \leq \delta) \end{aligned}$$

where

$$\begin{aligned} \Phi_2(K, E, k, d, \eta, \delta, g) &= h^i(0) \\ h &= \lambda n \cdot (g(n+1) + n + 1) \\ i &= \left\lceil \frac{3(5Kd + 6Ed + d)k^2}{\varepsilon \eta (\varepsilon / (d(1+K)))} \right\rceil \\ \varepsilon &= \delta / (2(1 + (K+1)(K+2)(K+3))). \end{aligned}$$

*Proof.* Using Lemma 3.7 we can fulfill the conditions of the previous corollary, and the boundedness of  $C$  allows us to replace all bounds on the distances in the proof with  $d$ .  $\square$

#### Concluding remark:

- 1) With somewhat more complicated bounds our analysis also extends to the case where  $f : C \rightarrow C$  is instead of being  $l$ -uniformly Lipschitzian only  $\omega$ -uniformly continuous, i.e.

$$\forall \varepsilon > 0, n \in \mathbb{N}, x, y \in X (\|x - y\| < \omega(\varepsilon) \rightarrow \|f^n(x) - f^n(y)\| < \varepsilon),$$

where  $\omega : \mathbb{R}_+^* \rightarrow \mathbb{R}_+^*$  (i.e.  $\omega$  is what in constructive analysis is called a modulus of uniform continuity for all  $f^n$ ). In particular, this covers the case of  $\lambda$ - $\alpha$ -uniformly Lipschitzian functions (see [24]).

- 2) We expect that our analysis can be adapted also to Ishikawa-type iterations. However, this would further complicate the technical details.

#### Errata (June 2007):

- 1) p.8, l.3.1: Here and below ' $[\dots]$ ' should be ' $\lceil \dots \rceil$ '. In lemma 3.2 assume ' $A \geq 1$ '.
- 2) P.9, line 3: ' $D = (A + C)e^B$ ' instead of ' $D = (A + B)e^D$ '.
- 3) P.12-13: In theorem 4.1  $b$  should be  $\geq 1$  and ' $h = \lambda n \cdot (g(n+1) + n + 1)$ ' should be ' $h = \lambda n \cdot (g(n+1) + n + 2)$ '.
- 4) p.13, line 22: ' $\|p - f^n(p)\|$ ' instead of ' $\|p + f^n(p)\|$ '
- 5) p.14, lines 4-5 from below: ' $q_n$ ' instead of ' $q_{n+1}$ '
- 6) P.14, line 1: ' $p_n = |a_{n+1} - a_n|$ , and  $q_n = b_n - b_{n+1}$ ' instead of ' $p_n = a_{n+1} - a_n$ , and  $q_n = b_{n+1} - b_n$ '.

- 7) P.15, line 4: ' $j \leq m + g(m)$ ' should be ' $j \leq m + g(m) + 1$ .'
- 8) P. 16, line 5: ' $\Phi_1 = 2[\dots]$ .'

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