

MEMORANDUM

DEPARTMENT OF MATHEMATICS
College of Arts and Sciences
Tulane University

Date: 5. Januar 1976

Prof. Dr. Klaus Keime
Fachbereich Mathematik - Algebra

Technische Hochschule
61 Darmstadt
Kantplatz 1

W-Germany

Lieber Herr Keime:

Ihre Seminarnotizen über das Jonsson Lemma sind sehr interessant. Im Augenblick habe ich dazu nichts hinzuzufügen. Anbei Kommentare zu einem Bündel Notizen von Jimmie D. Lawson, die in meiner Perspektive sehr nahe an GK herankommen.

Beachten Sie, dass ich einige Beobachtungen aus NOTES GK (meine Notizen zu Ihrer Arbeit) nun auf CL ausgedehnt und verschärft habe.

Achten Sie insbesondere auf die Beziehungen "order generating" - "generating" usw. Die Frage, die ich in NOTES GK über Koprodukte stellte (Seite 10, letzter Absatz) ist durch ein Beispiel negativ beantwortet. Was ich jetzt hauptsächlich wissen möchte, ist ob jeder CL-Halbverband eine eindeutige kleinste abgeschlossene Erzeugendenmenge besitzt.

Herzliche Grüße
Ihr

Karl Heinrich H.

NOTES ON NOTES BY JDL (concerning what he calls the 'spread') by K.H. Hofmann

Sources of reference as usual:

HMS DUALITY, HS ATLAS,

but also my recent notes on Gierz and Keimel 'Topologische Darstellung', which I will abbreviate NOTES GK.

Reference to Jimmie's notes is by JDL.

There appears to be a non-trivial ~~xxxxix~~ overlap between JDL and GK although the objectives appear to be different. I recall a few things from NOTES GK:

A set $X \subseteq \mathbb{K}$ (in a semilattice S) is order generating iff

$$s = \inf (\uparrow s \cap X) \text{ for all } s \in S.$$

If S is a topological semilattice we say that X is generating, if S is the smallest closed subsemilattice containing X .

ORDER GENERATING IS STRONGER THAN GENERATING.

The set of all completely meet irreducible elements in a lattice L will be denoted $\text{Irr } L$, the set of all meet irreducibles will be called $\text{IRR } L$, and the set of all primes is $\text{PRIME } L$. (I guess if they played a role, I would denote the set of complete primes by $\text{Prime } L$.) The closure of $\text{Irr } T$ in a topological semilattice T will be written $\overline{\text{Irr } T}$ etc. We observed in NOTES GK

PROPOSITION 1. Let $T \in \underline{\mathbb{Z}}$ and $X \subseteq T$. Then these are equivalent:

- (i) X is order generating.
- (ii) $\text{Irr } T \subseteq X$.
- (iii) $\mathbb{K} \uparrow s \cap X = \uparrow t \cap X \Rightarrow s=t$ for all $s, t \in T$.

The following are also equivalent and ~~ixixixix~~ follow from the preceding:

- (1) $\mathbb{K} X$ is generating
- (2) $\uparrow h \cap X = \uparrow k \cap X \Rightarrow h=k$ for all $h, k \in \mathbb{K}(T)$
- (3) $k = \inf(\uparrow k \cap X)$ for all $k \in \mathbb{K}(T)$.

If, in addition, T is also distributive, then (1), (2), (3) are also equivalent to

- (4) \overline{X} is order generating (i.e. $\text{Irr } T \subseteq \overline{X}$)
- (5) $\text{PRIME } (T) \subseteq \overline{X}$.

In particular, in the last case, $\overline{\text{Irr } T} = \overline{\text{PRIME } T}$ is the unique smallest closed generating set and unique smallest closed order generating set.

Section 1.
IRR

For these matters see NOTES GK 2.1 through 2.4. I note, however, that the ~~xxxxxxxxxxxxxi~~ relations (i) \Leftrightarrow (iii) \Rightarrow (ii) hold in \underline{CL} . In order to expand the theory from \underline{Z} to \underline{CL} (as everybody does these days) it is clear that Irr is no longer sufficient, as the example Irr $I = \{1\}$ shows. I therefore want to develop some remarks on IRR.

REMARK 2. Let $S \in \underline{S}$, $x \in S$.

a) $x \in \text{IRR } S$ iff $\uparrow x \setminus \{x\}$ is a semigroup iff $\uparrow x \setminus \{x\}$ is a filter. iff $x \in \text{PRIME } \uparrow x$.

b) If U is a filter of S and x is maximal in $S \setminus U$, then $x \in \text{IRR } S$.

Proof. a) is immediate from the definition.

b) If x is maximal in $S \setminus U$, then $\uparrow x \setminus \{x\} \subseteq U$, thus $\uparrow x \setminus \{x\} = U \cap \uparrow x$ is a filter, and the assertion follows from a.

be a compact semilattice,

LEMMA 3. Let $T \in \underline{X}$, $t \in T$ and U an open filter with $t \notin U$.

Then there is an $x \in \text{IRR } T$ with $t \leq x$ and $x \notin U$.

Proof. The set $\uparrow t \cap (T \setminus U)$ is a compact poset, hence has a maximal element x . By 2.b, $x \in \text{IRR } T$.

PROPOSITION 4. For $T \in \underline{X}$, $\text{IRR } T$ is a generating set, i.e.

$$t = \inf(\uparrow t \cap \text{IRR } T) \text{ for all } t \in T.$$

Proof. Let $t \in T$ and set $s = \inf \uparrow t \cap \text{IRR } T$. (Recall $\inf \emptyset = 1!$).

Clearly $t \leq s$. Assume $t < s$. Since $T \in \underline{CL}$ there is an open filter U with $t \notin U$ and $s \in U$. By Lemma 3, there is an $x \in \uparrow t \cap \text{IRR } T$ with $x \notin U$. Then $s \leq x$ by definition of s , whence $x \in U$ since $s \in U$ and U is a filter. Contradiction. \square

LEMMA 5. Let X be an order generating set in a \underline{CL} -object T . Then

$$\text{PRIME } T \subseteq \overline{X}.$$

Proof. NOTES GK 2.3: BY "THE LEMMA" (as it is now called by GK),

if $p \in \text{PRIME } T$ and $p = \inf(\uparrow p \cap X)$ then $p \in \overline{X}$. \square

PROPOSITION 6. Let $T \in \underline{CL}$, $X \subseteq T$. Then (n) \Rightarrow (n+1):

~~(1) $\text{IRR } T \subseteq X$~~ (1) $\text{IRR } T \subseteq X$, (2) X is order generating

~~(3) \bar{X} is order generating, (4) PRIME $T \subseteq \bar{X}$.~~

(3) \bar{X} is order generating, (4) PRIME $T \subseteq \bar{X}$.

Now suppose that T is distributive. Then (3), (4) and (5) below are equivalent

(5) $IRR T \subseteq \bar{X}$.

Proof. Put three preceding results together with the fact that for distributive lattices $IRR = PRIME$. \square

~~xxxx~~ We turn to the question of generation.

DEFINITION 7. Set $A(T) = \{a \in T : a = \inf \text{int } \uparrow a\}$. (ATLAS).

If $T \in \underline{CL}$, then $t = \sup(\downarrow t \cap A(T))$ for all $t \in T$ since $\inf U \in A(T)$ for every open filter U of T .

LEMMA 8. Let $T \in \underline{CL}$ and $X \subseteq T$. Then the following are equivalent: ($Y^\circ = \text{int } Y$):

- (1) $a = \inf(\uparrow a^\circ \cap X)$ for all $a \in A(T)$.
- ($\bar{1}$) ~~(1)~~ $a = \inf(\uparrow a^\circ \cap \bar{X})$ for all $a \in A(T)$.
- (2) $(\uparrow a^\circ \cap X = \uparrow b^\circ \cap X \Rightarrow a=b)$ for all $a, b \in A(T)$.
- ($\bar{2}$) same as (2) but with \bar{X} replacing X .
- (3) X is generating.
- ($\bar{3}$) \bar{X} is generating.

Proof. ~~(1)~~ (1) \Rightarrow ($\bar{1}$) trivial.

($\bar{1}$) \Rightarrow (1): By ($\bar{1}$), a is approximated from above by elements $\wedge F$ where F is a finite set in $(\uparrow a)^\circ \cap \bar{X}$; since $(\uparrow a)^\circ$ is open it follows that a is approximated from above by elements $\wedge F$ where F is finite in $(\uparrow a)^\circ \cap X$.

(3) \Leftrightarrow ($\bar{3}$) is trivial.

(1) \Rightarrow (2) trivial;

(not 1) \Rightarrow (not 2): If (not 1) there is an $a \in A(T)$ with $a < b = \inf((\uparrow a)^\circ \cap X)$. But $a \leq b$ implies $(\uparrow b)^\circ \cap X \subseteq (\uparrow a)^\circ \cap X$ and the definition of b implies ~~(1)~~ $(\uparrow a)^\circ \cap X \subseteq (\uparrow b)^\circ \cap X$.

We have proved (not 2).

(1) \Rightarrow (3) is trivial since $A(T)$ is dense in T .

(3) \Rightarrow (1). Let $a \in A(T)$. Let U be a neighborhood of a .

Then $U \cap (\uparrow a)^\circ \neq \emptyset$ by the definition of $A(T)$. By (3) there is a finite set $F \subseteq X$ with $\wedge F \in U \cap (\uparrow a)^\circ$. Thus (1) follows \square .

PROPOSITION 6a. Let $T \in \underline{CL}$. Then these statements are equivalent:
(1) $IRR T = PRIME T$. (2) T is distributive (3) T is Brouwerian.
Proof. (2) \Leftrightarrow (3) is known (see e.g. HK DUALITY p. 55). (2) \Rightarrow (1) standard.
(1) \Rightarrow (2): By Prop. 6. and (1), PRIME T is order generating. This is known to imply (2).

~~finite set $F \subseteq Y$ with $\bigwedge x \in F \exists a \in A (x \wedge a)^0$. Thus (1) follows.~~

¹³
PROPOSITION 9. Let $T \in CL$ be distributive. Then the following statements are equivalent for $X \subseteq T$:

- (1) X is generating.
- (2) \bar{X} is order generating. (ixxxx)
- (3) $IRR T = PRIME T \subseteq \bar{X}$.

Proof. By Prop. 6 we have (2) \Leftrightarrow (3) ^{by THEOREM 10,} and (2) \Leftrightarrow (1) ~~follows.~~

Suppose (1) and let $p \in PRIME T$. Take an arbitrary $a \in A(T)$ with $a \ll p$. By ~~ix~~ Lemma 8 we have $a = \inf (\uparrow a)^0 \cap X$.
 By THE LEMMA there is an $x_a \in ((\uparrow a)^0 \cap X)^- \subseteq \uparrow a \cap \bar{X}$ with $x_a \leq p$. Since p is approximated from below with $a \ll x$, then $p \in \bar{X}$. Thus (3) follows. \square

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ZUSATZ 17. If $T \in Z$ is distributive and $X \subseteq T$, (1), (2), (3) of ~~ix~~ 9 are also equivalent to

- (4) $Irr T \subseteq \bar{X}$.

Proof. Prop. 1. \square

COROLLARY 15. In any $T \in CL$ ^{distributive} the set $IRR T = PRIME T$ is the unique smallest closed (order) generating set ~~of T~~

~~of T~~ ^{$IRR(T) =$} If $T \in Z$ then ~~$IRR(T) =$~~ $Irr T$, is the unique smallest closed generating set. If $T \in Z$ is distributive, then $IRR(T) = Irr(T) = PRIME(T)$. \square

Does anyone know whether the relation $IRR = Irr$ holds in Z even without distributivity?

This assertion is equivalent to the following:

If $0 \in PRIME T$, $T \in Z$, then $0 \in Irr T$.

Any proofs? Counterexamples?

Let T be a compact sublattice.
Consider

- (I) $T \in CL$;
- (II) $IRR T$ is order generating.

We have seen (I) \Rightarrow (II). How about the converse?

Is there a $T \in CL$ with more than one minimal ^{closed} order generating set? (By 15, such an example cannot be in Z or distributive)

The example shows that even in the distributive case, \uparrow does not preserve infs, hence does not have a right adjoint and is not continuous.

Much of what has been said applies immediately to the semilattice $c(\mathbb{K})$ of all compact subsets of \mathbb{K} under multiplication of sets. Clearly, $Sub(T)$ is a subsemilattice of $c(T)$. Note that in fact $c(T)$ also has a CL-operation U and $(c(T), U, \cdot)$ is a compact semiring.

By ATLAS duality, each $A \in Sub(T)$ corresponds bijectively to a CL^{OP} -congruence on T , namely the kernel congruence of the right adjoint $d_A: T \rightarrow A$ of the inclusion map $s_A: A \rightarrow T$.

In order to link this observation with NOTES GK, I note that if $T \in \underline{S}$ then there is a bijection between the ~~congruences~~ CP^{OP} -congruences on T and the congruences on $K(T) (\cong \hat{T})$ obtained simply by restriction $R \mapsto R \cap (K(T) \times K(T))$ (since $K(d_A): K(T) \rightarrow K(A)$ is simply $K(d_A) = d_A|_{K(T)}$).

I wish to ^{we} dwell for a moment on coproducts in CP .

Let $\{T_j: j \in J\}$ be a family in CP . We let $\bigsqcup_J T_j$ denote its coproduct and we consider T_j as embedded into T as the j -th cofactor, i.e. the coprojection $s_j: T_j \rightarrow T$ is just an inclusion. Let $d_j: T \rightarrow T_j$ be the right adjoint given by $d_j(t) = \inf(\uparrow t \cap T_j)$ (see DIMENSIONAL CAPACITY HMS). Then T is the product of the T_j in CP^{OP} with d_j as product projections.

Let $X = \cup_J T_j \subseteq T$. Then $X \subseteq \sum_J T_j \subseteq T$, where $\sum_J T_j$ is the algebraic coproduct (in \underline{S}) with the colimit topology in the category of topological semilattices (perhaps in the category of k -semilattices-I am undecided). Note that CP has bi-products, i.e. that $A \times B = A \sqcup B$ in the obvious fashion. Thus $\sum_J T_j$ is the ascending (up-directed union) of the family of all $\prod_P T_j = \bigsqcup_P T_j$, $P \subseteq J$ finite. Every element of $\sum_J T_j$ is a finite inf of elements in X , in particular, X is order generating in $\sum_J T_j$.

This proposition anyhow allows me to treat copowers of \mathbb{Z} , i.e. free \underline{CP} -objects over \underline{Set} . In other words, if \mathbb{X} is a set, then the free \underline{Z} -object $F(\mathbb{J}) = \mathbb{J}^{\mathbb{Z}}$ is the free \underline{CP} -object generated by \mathbb{X} . Now $F(\mathbb{J})$ is $c(\beta\mathbb{J})$ under α , \mathbb{X} and \mathbb{J} is embedded in $F(\mathbb{J})$ via $j \mapsto \{j\}$. Let $\tilde{\mathbb{J}}$ be the image, i.e. write $j = \{j\}$. Let $Q \in c(\beta\mathbb{J})$ be an arbitrary element.

Then $\uparrow Q \cap \tilde{\mathbb{J}} = \{P \in c(\beta\mathbb{J}) : P \subseteq Q \text{ and } Q \in \tilde{\mathbb{J}}\} = \{\{j\} : j \in J \text{ and } j \in Q\} = (J \cap Q)^{\sim}$ (where we identify J with its image in $\beta\mathbb{J}$).

Now let $\mathcal{A} \subseteq c(\beta\mathbb{J})$. Then $\text{inf } \mathcal{A} = (U\{A : A \in \mathcal{A}\})^{\sim}$. Thus

$$\text{inf}(\uparrow Q \cap \tilde{\mathbb{J}}) = \text{inf}(J \cap Q)^{\sim} = (J \cap Q)^{\sim} \text{ in } \beta\mathbb{J}.$$

We have shown:

EXAMPLE 6. The set $U_j T_j$ in the coproduct $\coprod_j T_j$ need not be order generating. In fact let $T_j = 2$ for all j , then $\coprod_j T_j = F(\mathbb{J})$ and $U_j T_j = \mathbb{X}$ where J is identified with its image in $F(\mathbb{J})$. In general we have $x \neq \text{inf}(\uparrow x \cap (JU\{1\}))$.

Indeed if x is identified with Q under the isomorphism $F(\mathbb{J}) \rightarrow c(\beta\mathbb{J})$, then equality holds iff $Q \cap J$ is dense in $Q \subseteq \beta\mathbb{J}$. If x corresponds to $Q \subseteq \beta\mathbb{J} \setminus J$, then $\text{inf}(\uparrow x \cap (JU\{1\})) = 1$. \square

It is instructive to observe for an arbitrary compact space E in the \underline{CL} -object $F(E) \cong (c(E), U)$ the $\text{Irr } F(E)$ is distributive, $\text{Irr } F(E) = \text{PRIME } F(E) = E \subseteq F(E)$. This shows, in particular, that Irr is always order generating in \underline{Z} -objects, but that this is not characteristic for \underline{Z} -objects: E.g. $\mathbb{Z} F(I)$ is not a \underline{Z} -object, but $\text{Irr } F(I)$ is order generating.

Note further that any dense subset of E is generating.

We have observed a counterexample to quite a few possible conjectures about order generation in coproducts. (In my notes on GK I had not yet understood this situation.) We return to the case of a family $\{T_j : j \in J\}$ in \underline{CP} . Now we assume that all T_j are subobjects of one and the same $T \in \underline{CP}$.

We have the following

LEMMA 7. Let $T_j \subseteq T$ in CP , $j \in J$. Let $m: \coprod_j T_j \longrightarrow T$ be the canonical coproduct map in CP and $\hat{m}: T \longrightarrow \prod_j F_j$ its adjoint in CP^{op} (recall $\prod_{Cpop} = \coprod_{CP}$!).

Define $X = \cup_j T_j \subseteq T$. Then the following statements are equivalent:

- (1) m is surjective. (2) \hat{m} is injective. (3) X is generating.
- (4) \bar{X} is generating. (5) $a = \inf((\uparrow a)^0 \cap X)$ for all $a \in A(T)$.
- (6) \bar{X} is order generating.

If T is distributive, these conditions are equivalent to

~~(1)-(3)~~ (7) $IRR T \subseteq \bar{X}$.

If $T \in \underline{Z}$, (1)-(6) are equivalent to

(8) $IRR T \subseteq \bar{X}$. (9) $K(m): K(T) \longrightarrow \prod_j K(T_j)$ is an embedding.

If J is finite, (1)-(6) are equivalent to

(10) X is order generating.

Proof. ~~†~~ The equivalence of ~~†~~ (3), (4), (5), (6) and (under the appropriate hypotheses) of (7), (8) was shown in Section 1.

If J is finite, then $X = \bar{X}$, whence (10) \Leftrightarrow (6). The equivalence of (1) and (2) follows from ~~MM~~ ATLAS duality.

~~(2)~~ If we let $\tilde{X} \subseteq \coprod_j T_j$ be the union of the images of T_j in the coproduct, then $m(\tilde{X}) = X$. Now \tilde{X} is generating in the coproduct, hence X is generating in $im m$. Thus (1) \Leftrightarrow (3).

(1) \Leftrightarrow (9) by HMS DUALITY [resp. (2) \Leftrightarrow (10) by ATLAS].

GK have observed that $\bar{X} = \cup \{T_j: j \in \bar{J}\}$ where the set of all T_j , $j \in \bar{J}$ is the closure in $Sub(T)$ of all the set of all T_j , $j \in J$. Notice that the limit of a set of chains is a chain.

Up to this point, The investigation of GK and of JDL can be treated on the same background. In both cases one produces a closed generating set/ of $X = \cup_j T_j$ of a \underline{Z} CP-object T (in fact both more or less restrict their attention to \underline{Z}) which is small in some sense. GK do this by finding a smart distributive closed sublattice/ of $Sub(T)$, and by letting $\{T_j: j \in J\} = \overline{IRR D}$. JDL says: let us try to pick a small

family $\{T_j : j \in J\}$ of chains if we can. A cardinal measure for the smallest number of chains doing the trick is what he calls the spread. I modify his definition somewhat:

DEFINITION 8. Let $T \in CP$. The fix spread $SP(T)$ of T is the smallest cardinal a such that there is a family $T_j \in Sub(T)$ $j \in J$ of CHAINS T_j such that $card J = a$, and that the equivalent conditions of Lemma 7 are satisfied. \square

For \mathbb{Z} -objects this means ~~xxxx~~ (by Lemma 7, (8) and (10)) a collection of a chains that $Irr T$ is covered by the closure of the union of ~~xxxxxx~~_j, or equivalently, that the (discrete) character semilattice is a product of a subsemilattice of a /collection of chains of cardinality a , and that a is minimal w.r.t. this property. If $SP(T)$ is finite then under these circumstances (i.e. $T \in \mathbb{Z}$) $SP(T) = n$ means by Lemma 7, $(\frac{8}{\phi})$ that $Irr T$ is covered by n chains in $Sub(T)$ and that n is minimal w.r.t. this property. (This is more or less JDL's original definition.) From Lemma 7 we have immediately:

REMARK. 9. If the spread of a CP-object T is finite number n , then n is the smallest natural number such that T is a quotient of a product of n - chains in CP and also the smallest number such that T can be embedded into a product of n chains in CP^{op} .

PROPOSITION 10. If $f: S \rightarrow T$ in CP, then $SP(T) \leq SP(S)$.

Proof. Let ~~xxxxxxx~~ $X = \cup\{T_j : j \in J\}$, $T_j \in Sup S$ a chain with $card J$ minimal and X generating. Then $f(X) = \cup\{f(T_j) : j \in J\}$ is generating and $f(T_j) \in Sub T$ is a chain. Hence the assertion \square .

EXAMPLE 11. Let $T = \{0,1,2\}^2$, $S = T \setminus \{(1,2)\}$. Then $SP(T) = 2$ $SP(S) = 3$, $S \subseteq T$ and T is a product of chains. \square

One observes immediately that $SP(\prod_j S_j) \leq \sum_j SP(S_j)$ from Lemma 7. From my experience with dimensional capacity I venture to say that equality holds. A proof may be difficult (it was with dimensional capacity).

Section 3. Breadth and spread (JDL)

(Sounds like bread and butter.)

PROPOSITION 1. Let $T \in CL$. Then $br T \leq SP(T)$, if $SP(T)$ is finite.

Proof. ~~xxx~~ There is an epic $e: \coprod_{j \in J} C_j \rightarrow T$ for card $J =$

$SP(T)$ chains $C_j \in Sub(T)$. Now $br T \leq br \coprod_{j \in J} C_j = \text{XXXXXXXXXXXX}$

If J is finite, then $br \coprod_{j \in J} C_j = br \prod_{j \in J} C_j = \sum_{j \in J} br C_j = card J$

$= SP(T)$, since breadth is logarithmic. (i.e. $br \prod_{j \in J} = \sum_{j \in J} br$). \square

Let J be a set, and let $F(J)$ be the free object in \underline{Z} hence in

CP by Section 2 Prop. 5. We have $F(J) \cong c(\beta J)$ and $c(\beta J)$

contains the free discrete semilattice on the set βJ , hence

$br F(J) \geq card J = 2^{2^J}$, but $SP F(J) = J$. I suspect that

$br T \leq 2^{2^{SP T}}$ remains correct in general.

LEMMA 2. (JDL). Let $S \in \underline{S}$ and suppose that $P \subseteq Prime S$

consists of mutually incomparable elements (i.e. P is an

antichain). Let $F(P)$ be the free semilattice generated by

P (in \underline{S}). Then the canonical map $F(P) \rightarrow S$ is injective. In

particular, $card P \leq br S$.

Proof. We consider $F(P)$ as the \cup -semilattice of all finite

subsets of P . Let $X, Y \in F(P)$ and suppose $\nexists \lambda X = \lambda Y$. If $y \in Y$,

then $\lambda X \leq y$; since y is prime, there is an $x \in X$ with $x \leq y$.

Since P is an antichain, we have $y = x \in X$. Then $Y \subseteq X$.

By symmetry $X \subseteq Y$. \square

~~xxx~~ PROPOSITION (JDL). Let $T \in CL$ and $SP(T)$ finite.

If T is distributive, then $SP(T) = br T$.

Proof. (Indication: I do not quite understand Jimmie's proof.)

By Prop. 1, we must show $SP(T) \leq br T$. We know $IRR = PRIME$

hence $PRIME T \subseteq C_1 \cup \dots \cup C_n$ for n chains C_k , n minimal

(See Sect. 2 Lemma 7, δ condition (7)).

It appears to me that JDL concludes from this containment and

minimality, that n $PRIME T$ contains an antichain P of

n elements. If this is so, then Lemma 2 shows $n \leq br T$. \square