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TOPIC On complete lattices L for which O(L) is continuous-A lattice theoretical characterisation of CS

REFERENCE

SCS Hofmann, Lawson 2-8-77
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For a complete lattice, O(L) will denote the Scott topology. The property " $O(L) \subseteq CL$ " is an apparently important lattice theoretical property for which we don't have a name yet. By Theorem 1.6 below it quasimeans that L with its Scott topology is a locally/compact (sober) space (in view of what was done in 2-8-77). Theorem 1.6 also says that O(L) will always satisfy condition ((0)) and thus have a CL-closed, hence compact T_2 spectrum Spec O(L) which, moreover, is order anti-isomorphic to L itself. This will be utilized in order to show that for meet continuous complete lattices L we have $L \subseteq CS$ iff $O(L) \subseteq CL$, and $L \subseteq CL$ iff both $O(L) \subseteq CL$ and SE O(L) has enough coprimes.

1. Some facts in general topology

1.1 PROPOSITION. Let X and Y be $(T_{_{\scriptsize{O}}})$ spaces and T a topology on X xY such# that

Wyler are continuous for all $(x',y') \in X \times Y$.

If O(Y) is a continuous lattice (i.e. Y is a CL-space [quasi locally compact]) then T is the product topology.

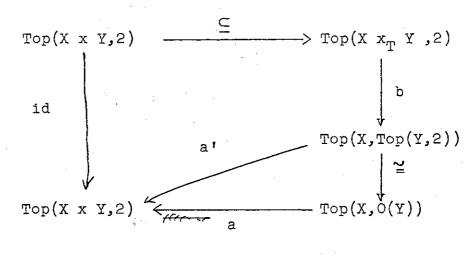
Proof. 1) For each $f \in \text{Top}(X, x) \cap O(Y)$, where O(Y) carries the Scott topology, we define a function $x \notin X$ a(f): $X \times Y \longrightarrow 2$.

by $a(f) = \{ \begin{array}{ll} \text{for } y \in f(x) \\ \text{o for } y \notin f(x) \end{array} \} \text{ We claim that } a(f) \text{ is continuous.} \\ \text{relative to the product topology.} \\ \text{Suppose now that } a(f)(x,y) \in I. \text{ Since O(Y)} \in \underline{CL}, \text{ there is a } V \in O(Y) \\ \text{with } y \in V \notin \langle \langle f(x) \rangle. \text{ Since now } f(x) \in \widehat{T} V \notin A, \text{ and since } f \text{ is } \\ \text{continuous and } \widehat{V} \text{ open in O(Y)}, \text{ there is an open neighborhood } U \text{ of } x \\ \text{such that } f(U) \subseteq \widehat{T} V. \text{ If we now take } (u,v) \in U \times V, \text{ then } v \in V \subseteq f(u) \\ \text{whence } a(f)(u,v) \notin A. \text{ Thus} \\ \end{array}$

- (1) $a(f): X \times Y \longrightarrow 2$ is continuous when $X \times Y$ has the product topology, i.e. $a(f) \in Top(X \times Y, 2)$.

 Thus $a: Top(X,O(Y)) \longrightarrow Top(X \times Y, 2)$ is a well-defined function.
- ii) Let us take $F \in \text{Top}(X_{\overline{x}} \times_{T} Y, 2)$; define $b(F): X \rightarrow 2^{Y}$ by b(F)(x)(y) = F(x,y). Now $F(x,y) = (F \circ s_{1x})(y)$. Since s_{1x} is continuous, $b(F)(x) \in \text{Top}(Y,2)$. Since the function $x \longmapsto b(F)(x)(y)$ equals $F \circ s_{2y}$ and s_{2y} is continuous, $b(F): X \longrightarrow \text{Top}(Y,2)$ is continuous if we consider on Top(Y,2) the topology of pointwise convergence (2 having the Scott topology). Thus

b (2) b(F) \subseteq Top(X,Top(Y,2) and b: Top(X x_T Y,2) \longrightarrow Top(X,Top(Y,2)) is a well-defined function.



$$a!(f)(x,y) = f(x)(y).$$

This shows that $Top(X \times Y)2) = Top(X \times_T Y,2)$, i.e. $O(X \times Y) = O(X \times_T Y) = T.[]$

1.2.COROLLARY. The product of two CL px -spaces is a CL-space. Proof. We proved in 1.1 that $O(X \times Y) \stackrel{\sim}{=} Top(X,O(Y))$ if $O(Y) \subseteq CL$. By Isbell's Theorem on function spaces $O(X) \subseteq CL$ implies that $Top(X,O(Y)) \subseteq CL$ if $O(L) \subseteq CL$.

Proof. Apply the proposition 1.1 with the T being the Scott topology on K x L; the hypotheses of 1.1 are fulfilled. []

1.4.COROLLARY. If L is a complete lattice with $O(L) \subseteq \underline{CL}$, then $v : L \times L \longrightarrow L$ is jointly continuous,

Proof. The binary sup operation clearly preserves arbitrary sups, hence is Scott continuous. The assertion then follows from 1.3.[]

(MISLOVE)

1.5.%OROXXARXX LEMMA/ Let L be a complete lattice such that

v: L X L ---> L is continuous. Then

- (1) For two quasicompact Scott saturated sets Q_1 and Q_2 the intersection $Q_1 \cap Q_2$ is quasicompact .
- (2) $U \subseteq O(L)$ is prime iff U = L or $U = L \setminus \sqrt{x}$ for $x = max (L \setminus U)$.
- Proof. (1) Saturation relative to the Scott topology means being upwards closed. Then $Q_1 \cap Q_2 = Q_1 \vee Q_2$; thus the assertion follows fro, the continuity of \vee .
- (2) Clearly all L\ \sqrt{x} are prime. Now suppose that U\ ‡ L is prime. We must show that $x = \max (L \setminus U)$ exists. Since L\ † U is Scott closed this is the case if L\ U is a lattice ideal, i.e. is up-directed If that were not the case, then we would find a,b \ ‡ U with a v b \ ‡ U. By the continuity of v we then had open neighborhoods A and B of a,b respectively such that A\(\beta\) B = A v B \ ‡ U, and since a \(^{\ddagger} A,b \ ‡ B and thus A,B \ ‡ U, this/contradict\(^{\frac{1}{3}} the primeness of U\)

We have proved the following Theorem

1.6. THEOREM. Let L be a complete lattice such that O(L) is a continuous lattice. Then Spec O(L) is closed in O(L) is the CL -topology (hence is compact Hausdorff in this topology) and the function $x \mapsto L \xrightarrow{} x: L \longrightarrow Spec O(L)$ is an orderanti-isomorphism.

Proof. The first assertion follows from Mislove's Lemma 1.5, part 1, (which applies because of 1.4) and \mathbf{x} from Theorem 1.25 of Hofmann and Lawson SCS 2-8-77.

Remark. Under the hypotheses of 1.6 we have induced a compact Hausdorff topology on L white which has a closed graph.

Warning: One should not mix up the map in Theorem 1.6 with the lattice isomorphism $x \mapsto h L \setminus \uparrow x$: L $\longrightarrow \text{Signatural}(\bar{n}n)$ O(Spec L) introduced and discussed for continuous L in SCS-2-8-77, see loc.cit 1.4.

Proposition 1.1 appears to be similar if not equivalent to theorem 2.10 in Isbell's "Meet continuous lattices" and some of the developments in his "Function spaces and adjoints".

We would like to see examples satisfying the hypotheses of 1.6 such that Spec O(L) is not sup-closed in O(L).

The following proposition gives additional information on the links between L and O(L).

- 1.7.PROPOSITION. Let L be a mampaux complete lattice. Then the following statements are equivalent:
 - (1) L is meet continuous.
 - (2) O(L) is join continuous.
 - (3) O(L) is join Brouwerien.
 - (4) The lattice $\mathbf{x}(L)$ of Scott closed sets is now meet continuous.

- 2. More on the spectrum of distributive continuous lattices.
- 2.1.PROPOSITION. Let L be a complete lattice. Then the following statements are equivalent:
 - (1) Spec L is closed under arbitrary sups and down directed infs.
- (2) Spec L is closed under arbitrary sups.
- (3) The inclusion map i: Spec L \longrightarrow L has a left adjoint π : L \longrightarrow Spec L , $\pi(x) = \sup_{\text{Spec L}} (\frac{1}{2}x \cap \text{Spec L})$.
- (4) For each $x \in L$ there is a unique largest prime $p \neq 1$ such that $p \leq x$.

further that in case every element is inf of primes Remark. Note that (2) implies that $0 = \sup \emptyset \subseteq \operatorname{Spec\ L}.\operatorname{Note/that}(4)$ implies that $L \setminus \{1\}$ has a maximum,i.e. that 1 is "attached". Proof. Since down directed infs of primes are primes, (1) <=> (2).

(2) $\langle = \rangle$ (3) is a consequence of the theory of Galois connections, see e.g. ATLAS 1.7-1.8.

Clearly (3)=>(4) with $p = \pi(x)$. Conversely, (4) shows that max Spec L ($\frac{1}{3}x \cap Spec$ L) exists yielding the desired left adjoint for the inclusion map.[]

One could call the function π the <u>prime picker</u>. When followed by the inclusion, the prime picker is a kernel operator on L with image Spec L.

2.2. LEMMA. (LAWSON). Let $L \subseteq \underline{CL}$. Then xthexferience is join Brouwerien, then L is a topological lattice (relative to the Lawson topology) Proof. Suppose $x = \lim_{j \to \infty} x_j$ and $y = \lim_{k \to \infty} y_k$. Then

[since L is join Brouwerien] = (sup inf x_j) v(sup inf y_k) $j! \quad j \ge j! \qquad k! \quad k \ge k!$

=(lim x_i) v(lim y_k) [since $L \subseteq CL$] = x v y.

Since this argument applies to every subnet of x_j ,resp. y_k , we have shown x v y = lim x_j v y_k since we operate in a $\underline{\text{CL}}$ -object.

- 2.3.LEMMA (LAWSON). Let L be a compact semilattice. For a subset X let $I(X) = \{y \mid y = \inf X! \text{ for some } X! \subseteq X\}$ and $D(X) = \{y \mid y = \sup X! \text{ for some up-directed } X! \subseteq X\}$. Then DIDI(X) is the smallest closed subsemilattice containing X.
- For a proof one has to sharpen the argument given by Lawson in "Intrinsic Topologies..." for the plus-plus business in Theorem 13 and Corollary 14.
- join Brouwerien 2.4. PROPOSITION. Let L be a continuous/lattice satisfying the equivalent conditions of 2.1. Then in the induced Lawson topology, Spec L is a compact topological sup-semilattice.
- Proof. By 21 .1 , Spec L is closed under arbitrary sups and down-directed infs. By 2.2, L is a compact topological sup-semilattice and so by 2.3 , Spec L is a closed subsemilattice of the sup-semilattic L.[]
- 2.5. NOTATION. Under the hypotheses of 2.4. we denote the compact topological sup-semilattice on Spec L with the compact Hwasdorff topology induced by the Lawson topology Spec op L.

- 2.6. REMARK. Let L,L' be lattices g: L->L' left adjoint to R M:L->L'. If Consider
 - (1) m preserves primes.
 - (2) $\frac{R}{d}$ is a lattice morphism.
 - Then (2) => $\langle 1 \rangle$, and if every element is an inf of primes in L, then both conditions are equivalent.
 - Proof. Let a,b \subseteq L' and p \subseteq Spe PRIME L. Then $F(p) \ge ab$ is equivalent to $p \ge R(ab)$.
 - If (2) then $p \ge R(ab) = R(a)R(b)$ implies $p \ge R(a)$ or $p \ge R(b)$, and thus $F(p) \ge a$ or $F(p) \ge b$. Thus F(b) is prime,i.e. (2) holds. If (2), then F(p) is a prime and $F(p) \ge ab$ implies $F(p) \ge a$ or $F(p) \ge b$ i.e. $p \in \Re(a) \cup \Re(b)$, and since p is prime this is equivalent to $p \in \Re(a)R(b)$. Thus $p \in \Re(ab)$ and $p \in \Re(a)R(b)$ are equivalent properties, and if every element in L is the inf of primes, R(ab) = R(a)R(b) follows.
 - 2.7.DEFINITION. Let \underline{H} be the category whose objects are complete lattices L satisfying the following conditions:
 - (i) L \subseteq <u>CL</u> . (ii) L is join Brouwerien (i.e. distributive and join continuous).
 - (iii) L satisfies the equivalent conditions of 2.1.

The morphisms of \underline{H} are functions $f:L\longrightarrow L^{\bullet}$ satisfying the following conditions:

- (1) $f \in CL$. ($\dot{x}\dot{x}$ 2) f is a lattice morphism.
 - (3) f preserves primes.

By Lemma 2.6, condition (3) is equivalent to the following

(3') The right adjoint r:L'—>L is a lattice morphism,

- and by ATLAS (1) can be rephrased as follows:
 - (1') f has a right adjoint r which respects the << relation.
- 2.8.PROPOSITION. There is a well defined functor $Spec^{op}:\underline{H}\longrightarrow \underline{CS}$ which associates with an \underline{H} -morphism $f:L\longrightarrow L'$ the restriction and corestriction $f|Spec\;L:\;Spec^{op}\;L\longrightarrow Spec^{op}\;L'$. Proof: Clear.
- 2.9. PROPOSITION: If L is a complete lattice then O(L) and $\widehat{O}(L)$ will both denote the lattice of Scott opne sets, and if $f:L\longrightarrow >L'$ is a Scott continuous function, then $O(f):O(L')\longrightarrow O(L)$ is given by $O(f)(U)=f^{-1}(U)$, and $\widehat{O}(f):\widehat{O}(L)\longrightarrow >\widehat{O}(L')$ is its left adjoint.
- 2.10 LEMMA. Let £ S \subseteq CS . Then O(S) \subseteq H , and U \triangleleft V in O(S) iff \overline{U} CV. Proof. If S \subseteq CS , then S is meet continuous, and so O(S) is join Brouwerien by 1.7. Since S is compact Hausdorff, the lattice of all open sets of S is continuous, and O(S) is a complete sublattice thereof, hence is continuous, and U \triangleleft V is tantamount to \overline{U} CV in O(S) since this equivalence holds in the lattice of all open sets of S. Now Theorem 1.6 applies and shows that condition 2.1.1 is satisfied by O(L).

2.11. LEMMA. Let $f: S \longrightarrow S'$ be in CS. Then O(f) is a lattice morphism respecting the relation <<, and its x left adjoint $\widehat{O}(f)$ is given by $\widehat{O}(f)(U) = S' \setminus f(S \setminus U)$ and preserves finite sups. Proof. Clearly O(f) is always a lattice morphism preserving arbitrary sups. If U << V in O(S'), then $\overline{U} \subseteq V$ by 2.10 and so $O(f)(U)^- = f^{-1}(U)^- \subseteq f^{-1}(\overline{U}) \subseteq f^{-1}(V) = O(f)(V)$, thus O(f) respects the << relation. In order to identify the left adjoint of O(f) we take an arabitrary $V \subseteq O(S')$ and $U \subseteq O(S)$ and note that $O(f)(V) = f^{-1}(V) \subseteq U$ iff $f^{-1}(V) \cap (S \setminus U) = \emptyset$ iff $V \cap f(S \setminus U) = \emptyset$ indeed $\widehat{O}(f)(U) = S' \setminus f(S \setminus U)$. If A, B are closed semilattice ideals, then f(AB) = SF(AB) = SF(A)f(B) = Sf(A)Sf(B) = f(A)f(B), and thus $\widehat{O}(f)$ will preserve finite sups.[]

Now 2.10 and 2.11 yield

and $x \longmapsto$ Spec op L \ \(\hat{x}: L \ldots 0 \) Spec op L).in H. Proof. The first assertion follows from x Theorem 1.6, and the second from Hofmann-Lawson SCS 2-8-77 ,14 and $x \in \mathbb{R}$ the following Lemma

2.14.LEMMA. For L \subseteq \underline{H} , the hull kernel topology on Spec L is the Scott topology of Spec $^{\mathrm{op}}$ L.

Proof The hull kernel open sets (Spec L) \uparrow x are clearly Scott open. Now let U be Scott open in Spec op L. Then U is an Mp open upper set in Spec op L mm and A = (Spec L) U is a closed lower set in Spec op L. Thus A is compact in the CL -topology of L. Let $x = \inf$ A. We claim that $A = \uparrow x \cap Spec$ A: Let $p \in \uparrow x \cap Spec$ I then THE LEMMA implies $p \in \uparrow$ A \cap Spec L = A. The other inclusion is trivial. Now $U = \{pec L\}$ $\uparrow x$ and thus U is a hull-kernel open set.[]

We are now ready for the principal theorem.

2.15 .MAIN THEOREM. The categories \underline{CS} and \underline{H} are equivalent under the pair of inverse functors

Spec
$$^{op}: \underline{H} \longrightarrow \underline{CS}$$
 and $0: \underline{CS} \longrightarrow \underline{H}.$

The proof follows from the previsous discussion. It certainly serves a useful purpose to isolated what this means for the objects:

- 2.16.THEOREM. Let L be a complete lattice and \mathcal{L} = O(L). Then
- (I) L is meet continuous iff $\mathscr L$ is join Brouwerien, and
- (II) L carries a(unique)compact Hausdorff topology making it into a compact topological semilattice iff $\mathcal L$ is join Brouwerien and continuous.

- 3. Characterisation of continuous lattices through O(L).
- 3.1. PROPOSITION. Let X be a topological space and write $x \le y$ in X iff $x \in U$ implies $y \in Y$ for all $U \in O(X)$ (i.e. if $x \in \{y\}^-$). Then $U \in O(X)$ is coprime (i.e. join irreducible) iff U is down directed relative to <.

Proof. If U is not down directed, then for some u,v \subseteq U one has $\div \cap \div \cap U = \emptyset$ i.e. $(U \cap \div) \div \cap \$

We say that a lattice has enough coprimes iff every element is a sup of coprimes.

3.2.COROLLARY. Let L be a complete lattice and O(L) the Scott topology. Then O(L) has enough coprimes iff the Scott topology has a basis of open filters.

- 3.3. LEMMA (MISLOVE). Let L be a complete lattice. Then we have (1)=>(2)=>(3), where
- (1) $x \in O(L)$ has enough coprimes.
- (3) L is meet continuous.

Remark. We will see that (3) does not imply (1). We do not know whether (1) and (2) are equivalent.

Proof. (1) means that O(L) has a basis of open filters by 3.2. Since $L \setminus x \in O(L)$ for all $x \in L$ we have (1)=>(2). We now assume that (3) fails and show a contradiction (2): If not (3) then there must be an up-directed

there would be an open filter W and a v \subseteq V with $q \subseteq$ W \Rightarrow v; since q is a cluster point of V, then V is cofinally in W which cannot be the case if v \notin W for some v \subseteq V. Thus $q \subseteq \inf$ W \bigvee , whence \inf V $\subseteq |Q \subseteq U$. We now have shown that y \notin | sup{ inf V: V an open filter with x \subseteq V} and this is certainly \subseteq sup \bigvee x. Thus x = sup \bigvee x. \bigcap

3.5. COROLLARY. For any comparatete lattice L, the following conditions are equivalent:

(m I) L is algebraic

(II) (i) O(L) has enough coprimes(ii) O(L) is algebraic.

Proof. We observe the following easily proved facts: Fact 1: If a union $U_1 \cup \ldots \cup U_n$ of open filters is quasicompact and U_1 is not contained in the union $U_2 \cup \ldots \cup U_n$, then $U_1 = \uparrow k_1$ for some $k_1 \subseteq K(L)$.

If U has no minimal Proof.U=U₁\(U₂U...UU_n\) is down directed and quasicompact. XXXX [element, then it is) covered by the sets $L \setminus U$, $u \in U$. Hence $U \subseteq L \setminus U$ for some $u \in U$, which is patently false. Thus $U \subseteq \uparrow k_1$ with $k_1 = \min U$. Then $U_1 = \uparrow k_1$ since U_1 is a filter. Clearly $k_1 \in K(L)$.

Fact 2. If $k_1, \ldots, k_n \in K(L)$ and $U \in O(L)$ is maixmal w.r.t. not containing $k_1 \cup \ldots \cup k_n$, then $U = L \setminus k_m$ for some $m \in \{1, \ldots, n \}$. The proof of $(I) \Rightarrow (II)$ is clear. Conversely, if (II) holds, then by (i) and Fact 1, every quasicompact open set is of the form $k_1 \cup \ldots \cup k_n$ with $k_m \in K(L)$. Then by Fact 2, the ∞ mplete irreducibles of O(L) are precisely the $L \setminus k$ with $k \in K(L)$. Since Irr O(L) is order generating, every $L \setminus k$ is an inf of $L \setminus k$, whence $k \in S(L)$.

Let us summarize the results of 1.6,2.16 and 3.4 in the following statement:

- 3.6. THEOREM. Let L be a complete lattice such that O(L) is a continuou lattice. Then
- (A) Spec O(L) is closed and xxxx order anti-isomorphic to L.
- (B) $L \subseteq CS$ iff O(L) is join continuous.
- (C) L ⊂ CL iff Minimum main has enough coprimes.

Note that this shows that meet continuity is weaker than the existence of enough coprimes in O(L) (see 3.3.).