

# Independence Results at the Successors of Singular Cardinals

Mirna Džamonja

School of Mathematics, University of East Anglia  
Associée IHPST, Université Paris1

May, 2016

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Ordinals, Singular and regular cardinals

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .
- (3) If  $\kappa$  is a cardinal ,  
 $\text{cf}(\kappa) = \min\{\alpha : \text{there is a cofinal } f : \alpha \rightarrow \kappa\}$  (*cofinality*).

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .
- (3) If  $\kappa$  is a cardinal ,  
 $\text{cf}(\kappa) = \min\{\alpha : \text{there is a cofinal } f : \alpha \rightarrow \kappa\}$  (*cofinality*).
- (4) A cardinal  $\kappa$  is *regular* iff  $\text{cf}(\kappa) = \kappa$ . Otherwise,  
 $\text{cf}(\kappa) < \kappa$  and the cardinal is *singular*.

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .
- (3) If  $\kappa$  is a cardinal ,  
 $\text{cf}(\kappa) = \min\{\alpha : \text{there is a cofinal } f : \alpha \rightarrow \kappa\}$  (*cofinality*).
- (4) A cardinal  $\kappa$  is *regular* iff  $\text{cf}(\kappa) = \kappa$ . Otherwise,  
 $\text{cf}(\kappa) < \kappa$  and the cardinal is *singular*.
- (5) For a cardinal  $\lambda$ ,  $\lambda^+$  is the next larger cardinal (always exists).

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .
- (3) If  $\kappa$  is a cardinal ,  
 $\text{cf}(\kappa) = \min\{\alpha : \text{there is a cofinal } f : \alpha \rightarrow \kappa\}$  (*cofinality*).
- (4) A cardinal  $\kappa$  is *regular* iff  $\text{cf}(\kappa) = \kappa$ . Otherwise,  
 $\text{cf}(\kappa) < \kappa$  and the cardinal is *singular*.
- (5) For a cardinal  $\lambda$ ,  $\lambda^+$  is the next larger cardinal (always exists).
- (6)  $\kappa$  is a *successor cardinal* if  $\kappa = \lambda^+$  for some  $\lambda$ .

# Ordinals, Singular and regular cardinals

## Definition

- (0) An *ordinal* is a transitive set well ordered by  $\in$ .
- (1) A *cardinal* is an ordinal which is not bijective with any smaller ordinal (infinite if not specified otherwise).
- (2) A function  $f : \alpha \rightarrow \beta$  is *cofinal* if  $\sup(\text{rng}(f)) = \beta$ .
- (3) If  $\kappa$  is a cardinal ,  
 $\text{cf}(\kappa) = \min\{\alpha : \text{there is a cofinal } f : \alpha \rightarrow \kappa\}$  (*cofinality*).
- (4) A cardinal  $\kappa$  is *regular* iff  $\text{cf}(\kappa) = \kappa$ . Otherwise,  
 $\text{cf}(\kappa) < \kappa$  and the cardinal is *singular*.
- (5) For a cardinal  $\lambda$ ,  $\lambda^+$  is the next larger cardinal (always exists).
- (6)  $\kappa$  is a *successor cardinal* if  $\kappa = \lambda^+$  for some  $\lambda$ .  
Otherwise, it is a *limit* cardinal.



# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.
- $\aleph_\omega$  is a singular limit cardinal.

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.
- $\aleph_\omega$  is a singular limit cardinal.
- the existence of regular limit cardinals  $> \aleph_0$  cannot be proved in ZFC.

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.
- $\aleph_\omega$  is a singular limit cardinal.
- the existence of regular limit cardinals  $> \aleph_0$  cannot be proved in ZFC. (These are “large cardinals”).

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.
- $\aleph_\omega$  is a singular limit cardinal.
- the existence of regular limit cardinals  $> \aleph_0$  cannot be proved in ZFC. (These are “large cardinals”).

ZFC are our standard axioms for set theory.

# Examples and facts

- $\omega = \aleph_0$  is a regular limit cardinal.
- every successor cardinal is regular.
- $\aleph_\omega$  is a singular limit cardinal.
- the existence of regular limit cardinals  $> \aleph_0$  cannot be proved in ZFC. (These are “large cardinals”).

ZFC are our standard axioms for set theory. They are written in the first order logic.

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars



# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ .

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# (G)CH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

GCH: For every  $\kappa$ , we have  $\kappa^+ = 2^\kappa$ .

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

GCH: For every  $\kappa$ , we have  $\kappa^+ = 2^\kappa$ .

CH=the Continuum Hypothesis is the instance of GCH referring to  $\kappa = \aleph_0$  and it can be stated equivalently as:

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

GCH: For every  $\kappa$ , we have  $\kappa^+ = 2^\kappa$ .

CH=the Continuum Hypothesis is the instance of GCH referring to  $\kappa = \aleph_0$  and it can be stated equivalently as: every infinite subset of  $\mathbb{R}$  is bijective either with  $\mathbb{R}$  or with  $\mathbb{N}$ .

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

GCH: For every  $\kappa$ , we have  $\kappa^+ = 2^\kappa$ .

CH=the Continuum Hypothesis is the instance of GCH referring to  $\kappa = \aleph_0$  and it can be stated equivalently as: every infinite subset of  $\mathbb{R}$  is bijective either with  $\mathbb{R}$  or with  $\mathbb{N}$ .

It turns out that neither CH nor its negation can be proved by the axioms of ZFC.

# (G)CH

Two operations on infinite cardinals  $\kappa$  were introduced by Cantor:  $\kappa^+$  and  $2^\kappa = |\mathcal{P}(\kappa)|$ . He proved that  $\kappa^+ \leq 2^\kappa$ .

GCH: For every  $\kappa$ , we have  $\kappa^+ = 2^\kappa$ .

CH=the Continuum Hypothesis is the instance of GCH referring to  $\kappa = \aleph_0$  and it can be stated equivalently as: every infinite subset of  $\mathbb{R}$  is bijective either with  $\mathbb{R}$  or with  $\mathbb{N}$ .

It turns out that neither CH nor its negation can be proved by the axioms of ZFC. CH is independent, and so is GCH.

# Some logical arguments

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars



# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete,*

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

## Theorem

*(Gödel) If ZFC is consistent, then it cannot prove its own consistency.*

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

## Theorem

*(Gödel) If ZFC is consistent, then it cannot prove its own consistency.*

## Theorem

*(Gödel) (1) If there exists a (strong) limit regular cardinal then ZFC is consistent.*

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

## Theorem

*(Gödel) If ZFC is consistent, then it cannot prove its own consistency.*

## Theorem

*(Gödel) (1) If there exists a (strong) limit regular cardinal then ZFC is consistent.*

*(2) If ZFC is consistent, so is ZFC + CH.*

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

## Theorem

*(Gödel) If ZFC is consistent, then it cannot prove its own consistency.*

## Theorem

*(Gödel) (1) If there exists a (strong) limit regular cardinal then ZFC is consistent.*

*(2) If ZFC is consistent, so is ZFC + CH.*

Here, a strong limit cardinal is a limit cardinal  $\kappa$  such that for all  $\theta < \kappa$ , also  $2^\theta < \kappa$ .

# Some logical arguments

## Theorem

*(Gödel) The first order logic is sound and complete, that is, every provable statement has a model and vice versa.*

Having a model is called *consistent*.

## Theorem

*(Gödel) If ZFC is consistent, then it cannot prove its own consistency.*

## Theorem

*(Gödel) (1) If there exists a (strong) limit regular cardinal then ZFC is consistent.*

*(2) If ZFC is consistent, so is ZFC + CH.*

Here, a strong limit cardinal is a limit cardinal  $\kappa$  such that for all  $\theta < \kappa$ , also  $2^\theta < \kappa$ .



# Independence of CH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Independence of CH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

## Theorem

*(Cohen 1963) If ZFC is consistent, so is ZFC + the negation of CH.*

# Independence of CH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

## Theorem

*(Cohen 1963) If ZFC is consistent, so is ZFC + the negation of CH.*

This theorem introduced a method, *forcing*,

# Independence of CH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

## Theorem

(Cohen 1963) *If ZFC is consistent, so is ZFC + the negation of CH.*

This theorem introduced a method, *forcing*, which can be used to extend models of ZFC to bigger models of ZFC,

# Independence of CH

## Theorem

(Cohen 1963) *If ZFC is consistent, so is ZFC + the negation of CH.*

This theorem introduced a method, *forcing*, which can be used to extend models of ZFC to bigger models of ZFC, by adding to the first model a *filter* in a certain partial order and closing off under *evaluations of names*.

# Singulars are different

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

**Singular Cardinals**

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

**Singular Cardinals**

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:



# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

- 1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .
- 2 (König Lemma) For every  $\kappa$  we have  $\text{cf}(2^\kappa) > \kappa$ .

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

- 1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .
  - 2 (König Lemma) For every  $\kappa$  we have  $\text{cf}(2^\kappa) > \kappa$ .
- modulo the König Lemma and monotonicity, it is consistent to have  $2^\kappa$  for  $\kappa$  regular to be whatever we want (Easton 1960s)

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

- 1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .
  - 2 (König Lemma) For every  $\kappa$  we have  $\text{cf}(2^\kappa) > \kappa$ .
- modulo the König Lemma and monotonicity, it is consistent to have  $2^\kappa$  for  $\kappa$  regular to be whatever we want (Easton 1960s)
  - but if  $\aleph_\omega$  is a strong limit then  $2^{\aleph_\omega} < \aleph_{\omega_4}$  (Shelah 1980s).

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

- 1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .
  - 2 (König Lemma) For every  $\kappa$  we have  $\text{cf}(2^\kappa) > \kappa$ .
- modulo the König Lemma and monotonicity, it is consistent to have  $2^\kappa$  for  $\kappa$  regular to be whatever we want (Easton 1960s)
  - but if  $\aleph_\omega$  is a strong limit then  $2^{\aleph_\omega} < \aleph_{\omega_4}$  (Shelah 1980s).

So the singular cardinals are somewhat immune to forcing .

# Singulars are different

A well known difference between singular and regular cardinals is to do with cardinal arithmetic:

Here are two basic properties of cardinal arithmetic:

- 1 (Monotonicity) If  $\kappa \leq \lambda$  then  $2^\kappa \leq 2^\lambda$ .
  - 2 (König Lemma) For every  $\kappa$  we have  $\text{cf}(2^\kappa) > \kappa$ .
- modulo the König Lemma and monotonicity, it is consistent to have  $2^\kappa$  for  $\kappa$  regular to be whatever we want (Easton 1960s)
  - but if  $\aleph_\omega$  is a strong limit then  $2^{\aleph_\omega} < \aleph_{\omega_4}$  (Shelah 1980s).

So the singular cardinals are somewhat immune to forcing. The singular behaviour of singulars also influences their successors.

# Singular cardinal hypothesis, SCH

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

**Singular Cardinals**

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars



# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ .

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies:

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

## Theorem

*(Jensen) If there are no large enough cardinals*

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

## Theorem

*(Jensen) If there are no large enough cardinals (specifically,  $0^\sharp$  does not exist),*

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

## Theorem

*(Jensen) If there are no large enough cardinals (specifically,  $0^\sharp$  does not exist), then SCH holds.*



# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

## Theorem

*(Jensen) If there are no large enough cardinals (specifically,  $0^\sharp$  does not exist), then SCH holds.*

So, if one wants to play around with powers of singular cardinals then one must involve large cardinals!

# Singular cardinal hypothesis, SCH

Shelah's theorem came at the end of a long investigation which started by:

## Theorem

*(Silver) A singular cardinal of uncountable cofinality cannot be the first to fail GCH.*

SCH: for every singular  $\kappa$ , if  $2^{\text{cf}(\kappa)} < \kappa$  then  $\kappa^{\text{cf}(\kappa)} = \kappa^+$ . It implies: if  $\kappa$  is a singular strong limit cardinal, then  $2^\kappa = \kappa^+$  and also that no singular cardinal can be the first to fail GCH.

## Theorem

*(Jensen) If there are no large enough cardinals (specifically,  $0^\sharp$  does not exist), then SCH holds.*

So, if one wants to play around with powers of singular cardinals then one must involve large cardinals! The ordinary forcing does not use large cardinals.

# Some independence

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

**Singular Cardinals**

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Some independence

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

Some independence still exists:

# Some independence

Some independence still exists:

## Theorem

*(Magidor) Assuming the consistency of rather large cardinals, it is consistent that  $\aleph_\omega$  be the first cardinal to fail GCH.*

# Forcing details

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal:

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars



# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

Cohen's example: start with a (countable) model  $M$  of (enough of) ZFC and add a subset of  $\omega$  which is different from any subset of  $\omega$  which is in  $M$ .

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

Cohen's example: start with a (countable) model  $M$  of (enough of) ZFC and add a subset of  $\omega$  which is different from any subset of  $\omega$  which is in  $M$ . Think of the new subset in terms of its characteristic function  $f : \omega \rightarrow 2$ .

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

Cohen's example: start with a (countable) model  $M$  of (enough of) ZFC and add a subset of  $\omega$  which is different from any subset of  $\omega$  which is in  $M$ . Think of the new subset in terms of its characteristic function  $f : \omega \rightarrow 2$ .

So we work with the partial order of finite functions from  $\omega \rightarrow 2$ , ordered by inclusion (call this  $\text{Add}(\omega, 2)$ ).

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

Cohen's example: start with a (countable) model  $M$  of (enough of) ZFC and add a subset of  $\omega$  which is different from any subset of  $\omega$  which is in  $M$ . Think of the new subset in terms of its characteristic function  $f : \omega \rightarrow 2$ .

So we work with the partial order of finite functions from  $\omega \rightarrow 2$ , ordered by inclusion (call this  $\text{Add}(\omega, 2)$ ). Since  $M$  is countable, we can enumerate all functions  $g : \omega \rightarrow 2$  that are in  $M$  as  $\{g_n : n < \omega\}$ .

# Forcing details

Recursive constructions construct an object as a union of approximations along some linearly ordered set, often an ordinal: the approximations get bigger and bigger. Those working in the theory of degrees of computability know some fancy inductive constructions of this type.

Forcing is a way to construct a new object by using a partially ordered set of approximations.

Cohen's example: start with a (countable) model  $M$  of (enough of) ZFC and add a subset of  $\omega$  which is different from any subset of  $\omega$  which is in  $M$ . Think of the new subset in terms of its characteristic function  $f : \omega \rightarrow 2$ .

So we work with the partial order of finite functions from  $\omega \rightarrow 2$ , ordered by inclusion (call this  $\text{Add}(\omega, 2)$ ). Since  $M$  is countable, we can enumerate all functions  $g : \omega \rightarrow 2$  that are in  $M$  as  $\{g_n : n < \omega\}$ .

# Forcing details II

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars



# Forcing details II

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

# Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

Suppose that  $G$  is a non-contradictory set of elements of  $\text{Add}(\omega, 2)$

# Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

Suppose that  $G$  is a non-contradictory set of elements of  $\text{Add}(\omega, 2)$  (so any two agree on their common domain), then  $\bigcup G$  is a function.

# Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

Suppose that  $G$  is a non-contradictory set of elements of  $\text{Add}(\omega, 2)$  (so any two agree on their common domain), then  $\bigcup G$  is a function. If moreover,  $G$  intersects every  $E_k$  then  $\bigcup G$  is a total function from  $\omega$  to 2.

# Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

Suppose that  $G$  is a non-contradictory set of elements of  $\text{Add}(\omega, 2)$  (so any two agree on their common domain), then  $\bigcup G$  is a function. If moreover,  $G$  intersects every  $E_k$  then  $\bigcup G$  is a total function from  $\omega$  to 2. If  $\bigcup G$  intersects every  $D_k$  then  $\bigcup G$  is different from every  $g_k$ , and hence  $\bigcup G \notin M$ .

## Forcing details II

Let

$$D_k = \{h \in \text{Add}(\omega, 2) : (\exists n \in \text{dom}(h)) : h(n) \neq g_k(n)\},$$

$$E_k = \{h \in \text{Add}(\omega, 2) : (k \in \text{dom}(h))\}.$$

Suppose that  $G$  is a non-contradictory set of elements of  $\text{Add}(\omega, 2)$  (so any two agree on their common domain), then  $\bigcup G$  is a function. If moreover,  $G$  intersects every  $E_k$  then  $\bigcup G$  is a total function from  $\omega$  to 2. If  $\bigcup G$  intersects every  $D_k$  then  $\bigcup G$  is different from every  $g_k$ , and hence  $\bigcup G \notin M$ . Cohen's argument for making  $2^{\aleph_0} = \omega_2$  uses a variation, the functions are from  $\omega_2 \times \omega$  to 2.

# The logic of Cohen's argument

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars



# The logic of Cohen's argument

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

The gist of Cohen's argument:

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars

# The logic of Cohen's argument

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

The gist of Cohen's argument:

- 1 (approx) There is a finite fragment  $\Delta$  of ZFC such that basic notions (notably the ordinals) are absolute between the models of  $\Delta$ .

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# The logic of Cohen's argument

The gist of Cohen's argument:

- 1 (approx) There is a finite fragment  $\Delta$  of ZFC such that basic notions (notably the ordinals) are absolute between the models of  $\Delta$ .
- 2 Suppose that ZFC could prove CH, then the proof would involve a finite fragment  $\Gamma$  of ZFC, fix such a  $\Gamma$ .

# The logic of Cohen's argument

The gist of Cohen's argument:

- 1 (approx) There is a finite fragment  $\Delta$  of ZFC such that basic notions (notably the ordinals) are absolute between the models of  $\Delta$ .
- 2 Suppose that ZFC could prove CH, then the proof would involve a finite fragment  $\Gamma$  of ZFC, fix such a  $\Gamma$ .

Suppose that ZFC is consistent, so it has a model say  $V$ . We can find a countable model  $M$  of  $\Gamma \cup \Delta$  by using elementary submodels.

# The logic of Cohen's argument

The gist of Cohen's argument:

- 1 (approx) There is a finite fragment  $\Delta$  of ZFC such that basic notions (notably the ordinals) are absolute between the models of  $\Delta$ .
- 2 Suppose that ZFC could prove CH, then the proof would involve a finite fragment  $\Gamma$  of ZFC, fix such a  $\Gamma$ .

Suppose that ZFC is consistent, so it has a model say  $V$ . We can find a countable model  $M$  of  $\Gamma \cup \Delta$  by using elementary submodels.

We say that a subset  $D$  of a partial order  $P$  is *dense* if for every  $p \in P$  there is  $q \in D$  with  $p \leq q$ .

# The logic of Cohen's argument

The gist of Cohen's argument:

- 1 (approx) There is a finite fragment  $\Delta$  of ZFC such that basic notions (notably the ordinals) are absolute between the models of  $\Delta$ .
- 2 Suppose that ZFC could prove CH, then the proof would involve a finite fragment  $\Gamma$  of ZFC, fix such a  $\Gamma$ .

Suppose that ZFC is consistent, so it has a model say  $V$ . We can find a countable model  $M$  of  $\Gamma \cup \Delta$  by using elementary submodels.

We say that a subset  $D$  of a partial order  $P$  is *dense* if for every  $p \in P$  there is  $q \in D$  with  $p \leq q$ . Examples  $E_k, D_k$  above.

# Cohen's conclusion

## Theorem

*(Cohen) (1) There is a non-contradictory  $G$  (we say a filter) in  $Add(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ .*

# Cohen's conclusion

## Theorem

*(Cohen) (1) There is a non-contradictory  $G$  (we say a filter) in  $\text{Add}(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ . Note that  $G$  codes  $\omega_2$  many new functions from  $\omega$  to 2.*



# Cohen's conclusion

## Theorem

*(Cohen) (1) There is a non-contradictory  $G$  (we say a filter) in  $\text{Add}(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ . Note that  $G$  codes  $\omega_2$  many new functions from  $\omega$  to 2.*

*(2) There is a model  $M[G]$  of  $\Gamma \cup \Delta$  which is a superset of  $M$ , agrees on the ordinals and cardinals with  $M$  and contains  $G$  as an element.*

# Cohen's conclusion

## Theorem

*(Cohen) (1) There is a non-contradictory  $G$  (we say a filter) in  $\text{Add}(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ . Note that  $G$  codes  $\omega_2$  many new functions from  $\omega$  to 2.*

*(2) There is a model  $M[G]$  of  $\Gamma \cup \Delta$  which is a superset of  $M$ , agrees on the ordinals and cardinals with  $M$  and contains  $G$  as an element. Hence in  $M[G]$ ,  $2^{\aleph_0} \geq \omega_2$  (in fact  $2^{\aleph_0} = \omega_2$ ).*

# Cohen's conclusion

## Theorem

*(Cohen) (1) There is a non-contradictory  $G$  (we say a filter) in  $\text{Add}(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ . Note that  $G$  codes  $\omega_2$  many new functions from  $\omega$  to 2.*

*(2) There is a model  $M[G]$  of  $\Gamma \cup \Delta$  which is a superset of  $M$ , agrees on the ordinals and cardinals with  $M$  and contains  $G$  as an element. Hence in  $M[G]$ ,  $2^{\aleph_0} \geq \omega_2$  (in fact  $2^{\aleph_0} = \omega_2$ ).*

The cardinals between  $M$  and  $M[G]$  are preserved because of the property of the partial order used called *ccc*:

# Cohen's conclusion

## Theorem

(Cohen) (1) *There is a non-contradictory  $G$  (we say a filter) in  $\text{Add}(\omega_2 \times \omega, 2)$  which intersects all dense sets in  $M$ . Note that  $G$  codes  $\omega_2$  many new functions from  $\omega$  to 2.*

(2) *There is a model  $M[G]$  of  $\Gamma \cup \Delta$  which is a superset of  $M$ , agrees on the ordinals and cardinals with  $M$  and contains  $G$  as an element. Hence in  $M[G]$ ,  $2^{\aleph_0} \geq \omega_2$  (in fact  $2^{\aleph_0} = \omega_2$ ).*

The cardinals between  $M$  and  $M[G]$  are preserved because of the property of the partial order used called ccc: every antichain is countable.

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can:

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree,

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals"



# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing.

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing. In this model there are no Souslin trees, the Lebesgue measure is  $< \mathfrak{c}$ -additive and the continuum can take any reasonable regular value except for  $\aleph_1$ .

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing. In this model there are no Souslin trees, the Lebesgue measure is  $< \mathfrak{c}$ -additive and the continuum can take any reasonable regular value except for  $\aleph_1$ . Still some interesting questions about singular values.
- Other kinds of forcing axioms:

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing. In this model there are no Souslin trees, the Lebesgue measure is  $< \mathfrak{c}$ -additive and the continuum can take any reasonable regular value except for  $\aleph_1$ . Still some interesting questions about singular values.
- Other kinds of forcing axioms: PFA, SPFA, bounded PFA etc.

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing. In this model there are no Souslin trees, the Lebesgue measure is  $< \mathfrak{c}$ -additive and the continuum can take any reasonable regular value except for  $\aleph_1$ . Still some interesting questions about singular values.
- Other kinds of forcing axioms: PFA, SPFA, bounded PFA etc.

These developments give us a great understanding not only about the cardinal arithmetic but about combinatorics of certain regular cardinals.

# Some generalisations

- This can be generalised to any (regular) cardinal  $\kappa$  satisfying  $\kappa = \kappa^{<\kappa}$  and even to all of them at once (Easton)
- This can be generalised to other partial orders satisfying ccc or even weaker conditions, so we can: kill a Souslin tree, add all kinds of "reals" etc.
- With some care this can be done more than once in a row, *iteratively*, to obtain Martin's Axiom at  $\aleph_1$  which gives a model of set theory saturated for forcing. In this model there are no Souslin trees, the Lebesgue measure is  $< \mathfrak{c}$ -additive and the continuum can take any reasonable regular value except for  $\aleph_1$ . Still some interesting questions about singular values.
- Other kinds of forcing axioms: PFA, SPFA, bounded PFA etc.

These developments give us a great understanding not only about the cardinal arithmetic but about combinatorics of certain regular cardinals. Nothing about the singulars!

# Prikry forcing

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars



# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ .

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ .

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ . Order this by saying that  $(s, A) \leq (t, B)$  if  $s$  is an initial segment of  $t$ ,

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ . Order this by saying that  $(s, A) \leq (t, B)$  if  $s$  is an initial segment of  $t$ ,  $B \subseteq A$  and

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ . Order this by saying that  $(s, A) \leq (t, B)$  if  $s$  is an initial segment of  $t$ ,  $B \subseteq A$  and  $t \setminus s \subseteq A$ .

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ . Order this by saying that  $(s, A) \leq (t, B)$  if  $s$  is an initial segment of  $t$ ,  $B \subseteq A$  and  $t \setminus s \subseteq A$ .

Using similar density arguments as in the case of Cohen forcing, we can see that Prikry forcing adds an  $\omega$ -sequence cofinal in  $\kappa$ .

# Prikry forcing

One early discovery about singular cardinals is that we can use forcing to "singularise" a large cardinal. This was discovered by Prikry, who showed that if we start with a measurable cardinal, we can change its cofinality to  $\omega$ , as follows.

A cardinal is *measurable* if it has a rather special ultrafilter  $U$  on it, which is  $\kappa$ -complete and closed under diagonal intersections of length  $\kappa$ . Having such  $U$ , consider the set of pairs  $(s, A)$  such that  $s$  is a finite increasing sequence of ordinals  $< \kappa$  and  $A \in U$  with  $\min(A) > \max(s)$ . Order this by saying that  $(s, A) \leq (t, B)$  if  $s$  is an initial segment of  $t$ ,  $B \subseteq A$  and  $t \setminus s \subseteq A$ .

Using similar density arguments as in the case of Cohen forcing, we can see that Prikry forcing adds an  $\omega$ -sequence cofinal in  $\kappa$ . The point of the measure is to show that the cardinals are preserved.



# Magidor and Radin forcing, Gitik

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

**Forcing dos and  
don'ts**

Iterations and  
successors of  
singulars

# Magidor and Radin forcing, Gitik

Prikry forcing is very connected with the countable cofinality.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Magidor and Radin forcing, Gitik

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Prikry forcing is very connected with the countable cofinality.

A version of it for uncountable cofinality was discovered by Magidor and extended by Radin, today it is known as *Radin forcing*.

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Magidor and Radin forcing, Gitik

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Prikry forcing is very connected with the countable cofinality.

A version of it for uncountable cofinality was discovered by Magidor and extended by Radin, today it is known as *Radin forcing*.

Gitik studied the exact consistency strength,

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Magidor and Radin forcing, Gitik

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Prikry forcing is very connected with the countable cofinality.

A version of it for uncountable cofinality was discovered by Magidor and extended by Radin, today it is known as *Radin forcing*.

Gitik studied the exact consistency strength, which means which large cardinals are needed exactly, of the failure of SCH, and proved that it is the existence of a measurable cardinal with the Mitchell order  $\kappa^{++}$ .

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Magidor and Radin forcing, Gitik

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Prikry forcing is very connected with the countable cofinality.

A version of it for uncountable cofinality was discovered by Magidor and extended by Radin, today it is known as *Radin forcing*.

Gitik studied the exact consistency strength, which means which large cardinals are needed exactly, of the failure of SCH, and proved that it is the existence of a measurable cardinal with the Mitchell order  $\kappa^{++}$ .

Many of these developments are described in Gitik's article in the Handbook of set theory.

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense,

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars



# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Also, no methods we discussed thus far talk about *successors of singular cardinals*.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Also, no methods we discussed thus far talk about *successors of singular cardinals*.

In our work (various papers, joint with Cummings, Komjath, Magidor, Morgan and Shelah)

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Also, no methods we discussed thus far talk about *successors of singular cardinals*.

In our work (various papers, joint with Cummings, Komjath, Magidor, Morgan and Shelah) we have been interested in two issues

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Also, no methods we discussed thus far talk about *successors of singular cardinals*.

In our work (various papers, joint with Cummings, Komjath, Magidor, Morgan and Shelah) we have been interested in two issues

- 1 move the emphasis from the power set problem to include more combinatorial problems and

# Our work

One thing to notice is that Prikry forcing is not an iterable forcing in the classical sense, one cannot do it more than once as the victim cardinal is already singularised!

Also, no methods we discussed thus far talk about *successors of singular cardinals*.

In our work (various papers, joint with Cummings, Komjath, Magidor, Morgan and Shelah) we have been interested in two issues

- 1 move the emphasis from the power set problem to include more combinatorial problems and
- 2 develop forcing techniques that will allow us to deal with the successors of singular cardinals.

In a series of papers, available on my web page, we developed techniques to obtain results like:

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ ,*

In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ , satisfying  $2^\kappa = \kappa^{+53}$*



In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ , satisfying  $2^\kappa = \kappa^{+53}$  and such that there are exactly  $\kappa^{++}$  graphs on  $\kappa^+$  embedding every graph of size  $\kappa^+$ .*

In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ , satisfying  $2^\kappa = \kappa^{+53}$  and such that there are exactly  $\kappa^{++}$  graphs on  $\kappa^+$  embedding every graph of size  $\kappa^+$ .*

The method is to do an iteration on  $\kappa$  while it is still supercompact

In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ , satisfying  $2^\kappa = \kappa^{+53}$  and such that there are exactly  $\kappa^{++}$  graphs on  $\kappa^+$  embedding every graph of size  $\kappa^+$ .*

The method is to do an iteration on  $\kappa$  while it is still supercompact and build a special ultrafilter that will be used for singularising at the end

In a series of papers, available on my web page, we developed techniques to obtain results like:

## Theorem

*(Cummings, Dž. , Magidor, Morgan and Shelah, to appear in TAMS) Modulo the existence of a supercompact cardinal, it is consistent to have a model in which there is a singular cardinal  $\kappa$  of cofinality  $\aleph_{17}$ , satisfying  $2^\kappa = \kappa^{+53}$  and such that there are exactly  $\kappa^{++}$  graphs on  $\kappa^+$  embedding every graph of size  $\kappa^+$ .*

The method is to do an iteration on  $\kappa$  while it is still supercompact and build a special ultrafilter that will be used for singularising at the end in a way that once the cardinal is singularised the results of the iteration still hold.

# Tree property

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Tree property

König's lemma says that every infinite finitely branching tree has an infinite branch.

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

# Tree property

König's lemma says that every infinite finitely branching tree has an infinite branch. We say  $\omega$  has the *tree property*. The analogue of this at  $\aleph_1$  is false, since Aronszajn constructed in ZFC a tree of height  $\omega_1$  with all levels countable, but with no branch of length  $\omega_1$ .

# Tree property

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

König's lemma says that every infinite finitely branching tree has an infinite branch. We say  $\omega$  has the *tree property*. The analogue of this at  $\aleph_1$  is false, since Aronszajn constructed in ZFC a tree of height  $\omega_1$  with all levels countable, but with no branch of length  $\omega_1$ . So  $\omega_1$  does not have the tree property.



# Tree property

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

König's lemma says that every infinite finitely branching tree has an infinite branch. We say  $\omega$  has the *tree property*. The analogue of this at  $\aleph_1$  is false, since Aronszajn constructed in ZFC a tree of height  $\omega_1$  with all levels countable, but with no branch of length  $\omega_1$ . So  $\omega_1$  does not have the tree property.

Which cardinals have or can have the tree property?

# Tree property

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

König's lemma says that every infinite finitely branching tree has an infinite branch. We say  $\omega$  has the *tree property*. The analogue of this at  $\aleph_1$  is false, since Aronszajn constructed in ZFC a tree of height  $\omega_1$  with all levels countable, but with no branch of length  $\omega_1$ . So  $\omega_1$  does not have the tree property.

Which cardinals have or can have the tree property? This is a complicated question which is still not entirely understood.

# Tree property

Independence  
Results at the  
Successors of  
Singular Cardinals

Mirna Džamonja

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

König's lemma says that every infinite finitely branching tree has an infinite branch. We say  $\omega$  has the *tree property*. The analogue of this at  $\aleph_1$  is false, since Aronszajn constructed in ZFC a tree of height  $\omega_1$  with all levels countable, but with no branch of length  $\omega_1$ . So  $\omega_1$  does not have the tree property.

Which cardinals have or can have the tree property? This is a complicated question which is still not entirely understood. Even for  $\aleph_2$  alone to have the tree property one needs a large cardinal (Mitchell and Silver).

## Theorem

*(Neeman) From large cardinals, it is consistent that there is a singular strong limit cardinal  $\kappa$  of cofinality  $\omega$  such that the Singular Cardinal Hypothesis fails at  $\kappa$  and the tree property holds at  $\kappa^+$ .*

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

## Theorem

*(Neeman) From large cardinals, it is consistent that there is a singular strong limit cardinal  $\kappa$  of cofinality  $\omega$  such that the Singular Cardinal Hypothesis fails at  $\kappa$  and the tree property holds at  $\kappa^+$ .*

This is interesting because it **cannot** be obtained by any Prikry-like forcing.

Introduction

Cardinal Arithmetic  
and Independence

Singular Cardinals

Forcing dos and  
don'ts

Iterations and  
successors of  
singulars

## Theorem

*(Neeman) From large cardinals, it is consistent that there is a singular strong limit cardinal  $\kappa$  of cofinality  $\omega$  such that the Singular Cardinal Hypothesis fails at  $\kappa$  and the tree property holds at  $\kappa^+$ .*

This is interesting because it **cannot** be obtained by any Prikry-like forcing. New ways of killing SCH are required.

## Theorem

*(Neeman) From large cardinals, it is consistent that there is a singular strong limit cardinal  $\kappa$  of cofinality  $\omega$  such that the Singular Cardinal Hypothesis fails at  $\kappa$  and the tree property holds at  $\kappa^+$ .*

This is interesting because it **cannot** be obtained by any Prikry-like forcing. New ways of killing SCH are required.

The work on the tree property was continued and generalised in many directions in a series of papers by Dima Sinapova, who obtained other cofinalities, several cardinalas at once etc.