

# Towards $\Pi_2$ -cut-introduction

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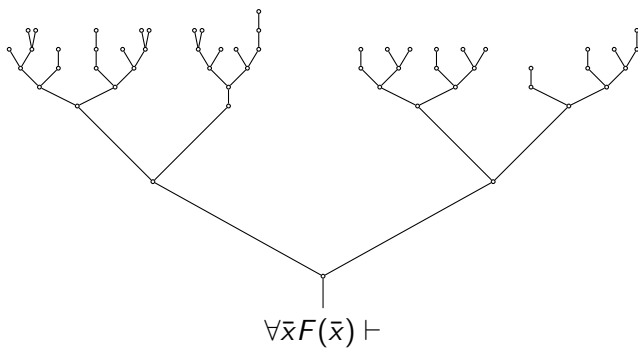
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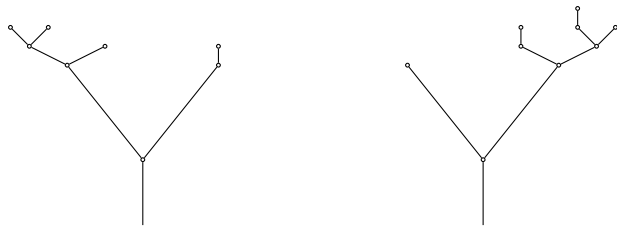
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## Complex proof tree



## Simple proof tree



$$\frac{\forall \bar{x} F(\bar{x}) \vdash C \quad C, \forall \bar{x} F(\bar{x}) \vdash}{\forall \bar{x} F(\bar{x}) \vdash}$$

## A brief description of the sequent calculus

$$\forall x.P(r, fx), \forall x.Q(r, gx) \vdash \exists x, y, z.P(x, y) \wedge Q(x, z)$$

$$(\forall x.P(r, fx) \wedge \forall x.Q(r, gx)) \rightarrow \exists x, y, z.Q(x, fy) \wedge P(fy, z)$$

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$$\frac{}{\forall x.P(r, fx), \forall x.Q(r, gx) \vdash \exists x, y, z.P(x, y) \wedge Q(x, z)}$$



## A brief description of the sequent calculus

$$\frac{\frac{P(r, fc), Q(r, gc) \vdash P(r, fc) \quad P(r, fc), Q(r, gc) \vdash Q(r, gc)}{P(r, fc), Q(r, gc) \vdash P(r, fc) \wedge Q(r, gc)}}{\vdots}}{\forall x.P(r, fx), \forall x.Q(r, gx) \vdash \exists x, y, z.P(x, y) \wedge Q(x, z)}$$

Subformula property

Active formula

# The cut rule in sequent calculus

$$\text{cut} \frac{\Delta \vdash \Gamma, A \quad A, \Delta \vdash \Gamma}{\Delta \vdash \Gamma}$$

- The cut rule represents the use of a lemma (Therefore we can call cut-introduction “lemma generation”).



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- It does not fulfill the subformula property.

# The cut rule in sequent calculus

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- The cut rule represents the use of a lemma (Therefore we can call cut-introduction “lemma generation”).
- It does not fulfill the subformula property.
- It is admissible.

# The extended Herbrand sequent for a $\Pi_1$ -cut-formula

$\Pi_1$ -case:

$\forall x.F(x)$  where  $F$  is quantifier free.

The extended Herbrand sequent for a  $\Pi_1$ -cut-formula $\Pi_1$ -case:

$$\frac{\Delta \vdash \Gamma, \forall x.F(x) \quad \forall x.F(x), \Delta \vdash \Gamma}{\Delta \vdash \Gamma}$$

The extended Herbrand sequent for a  $\Pi_1$ -cut-formula $\Pi_1$ -case:

$$\frac{\frac{\Delta' \vdash \Gamma', F(\alpha)}{\Delta \vdash \Gamma, \forall x.F(x)} \quad \frac{F(t_1), \dots, F(t_p), \Delta'' \vdash \Gamma''}{\forall x.F(x), \Delta \vdash \Gamma}}{\Delta \vdash \Gamma}$$

where  $\Delta', \Gamma', \Delta''$ , and  $\Delta''$  are quantifier-free.

# The extended Herbrand sequent for a $\Pi_1$ -cut-formula

$\Pi_1$ -case:

$$\frac{\Delta' \vdash \Gamma', F(\alpha)}{\quad} \quad \frac{F(t_1), \dots, F(t_p), \Delta'' \vdash \Gamma''}{\quad}$$

The extended Herbrand sequent for a  $\Pi_1$ -cut-formula $\Pi_1$ -case:

$$\frac{\frac{\Delta' \vdash \Gamma', F(\alpha)}{\Delta' \vdash \Gamma', F(\alpha)} \quad \frac{F(t_1), \dots, F(t_p), \Delta'' \vdash \Gamma''}{F(t_1) \wedge \dots \wedge F(t_p), \Delta'' \vdash \Gamma''}}{F(\alpha) \rightarrow F(t_1) \wedge \dots \wedge F(t_p), \Delta', \Delta'' \vdash \Gamma', \Gamma''}$$

# The extended Herbrand sequent for a $\Pi_1$ -cut-formula

$\Pi_1$ -case:

$$F(\alpha) \rightarrow F(t_1) \wedge \dots \wedge F(t_p), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

where  $\alpha$  does not occur in  $t_1, \dots, t_p$ .



# The extended Herbrand sequent for a $\Pi_2$ -cut-formula

$\Pi_2$ -case:

$\forall x \exists y. F(x, y)$  where  $F$  is quantifier free.

The extended Herbrand sequent for a  $\Pi_2$ -cut-formula $\Pi_2$ -case:

$$\frac{\frac{\Delta \vdash \Gamma, \exists y.F(\alpha, y)}{\Delta \vdash \Gamma, \forall x \exists y.F(x, y)} \quad \frac{\frac{F(t_1, \beta_1), \forall x \exists y F(x, y), \Delta \vdash \Gamma}{\exists y F(t_1, y), \forall x \exists y F(x, y), \Delta \vdash \Gamma}}{\forall x \exists y.F(x, y), \Delta \vdash \Gamma}}{\Delta \vdash \Gamma}$$

with  $FV(r_i) \subseteq \{\alpha\}$  for all  $i$  and  
 $FV(t_j) \subseteq \{\beta_1, \dots, \beta_{j-1}\}$  for  $j \geq 2$ .

The extended Herbrand sequent for a  $\Pi_2$ -cut-formula $\Pi_2$ -case:

$$\frac{\frac{\frac{\Delta' \vdash \Gamma', F(\alpha, r_1), \dots, F(\alpha, r_m)}{\Delta \vdash \Gamma, \exists y.F(\alpha, y)}}{\Delta \vdash \Gamma, \forall x \exists y.F(x, y)}}{\frac{\frac{F(t_1, \beta_1), \dots, F(t_p, \beta_p), \Delta'' \vdash \Gamma''}{F(t_1, \beta_1), \forall x \exists y F(x, y), \Delta \vdash \Gamma}}{\exists y F(t_1, y), \forall x \exists y F(x, y), \Delta \vdash \Gamma}}{\forall x \exists y.F(x, y), \Delta \vdash \Gamma}}{\Delta \vdash \Gamma}$$

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# The extended Herbrand sequent for a $\Pi_2$ -cut-formula

$\Pi_2$ -case:

$$\bigvee_{i=1}^m F(\alpha, r_i) \rightarrow \bigwedge_{j=1}^p F(t_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

where  $FV(r_i) \subseteq \{\alpha\}$  for all  $i$ ,

$FV(t_j) \subseteq \{\beta_1, \dots, \beta_{j-1}\}$  for  $j \geq 2$ , and  $FV(t_1) = \emptyset$ .

# Schematic $\Pi_2$ -grammar

A schematic  $\Pi_2$ -grammar  $G$  is a tuple  $\langle \tau, N, \Sigma, Pr \rangle$  where

- $\tau$  is the starting symbol.
- $N$  are the (rigid) non-terminals  $\{\tau, \alpha, \beta_1, \dots, \beta_p\}$ .

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- $N$  are the (rigid) non-terminals  $\{\tau, \alpha, \beta_1, \dots, \beta_p\}$ .
- $\text{Pr}$  are the production rules

$$\begin{aligned}
 & \{\alpha \rightarrow t_1 \mid, \dots, \mid t_p\} \cup \\
 & \{\beta_p \rightarrow r_1[\alpha \setminus t_p] \mid, \dots, \mid r_m[\alpha \setminus t_p]\} \cup \\
 & \quad \vdots \\
 & \{\beta_1 \rightarrow r_1[\alpha \setminus t_1] \mid, \dots, \mid r_m[\alpha \setminus t_1]\} \cup \\
 & \{\tau \rightarrow u_{1,\alpha}, \dots, \tau \rightarrow u_{k,\alpha}, \tau \rightarrow u_{1,\beta}, \dots, \tau \rightarrow u_{n,\beta}\}.
 \end{aligned}$$

Extended Herbrand-sequent

$$\bigvee_{i=1}^m F(\alpha, r_i) \rightarrow \bigwedge_{j=1}^p F(t_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

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- $\text{Pr}$  are the production rules

$$\begin{aligned} & \{ \alpha \rightarrow t_1 \mid , \dots, \mid t_p \} \cup \\ & \{ \beta_p \rightarrow r_1[\alpha \setminus t_p] \mid , \dots, \mid r_m[\alpha \setminus t_p] \} \cup \\ & \quad \vdots \\ & \{ \beta_1 \rightarrow r_1[\alpha \setminus t_1] \mid , \dots, \mid r_m[\alpha \setminus t_1] \} \cup \\ & \{ \tau \rightarrow u_{1,\alpha}, \dots, \tau \rightarrow u_{k,\alpha}, \tau \rightarrow u_{1,\beta}, \dots, \tau \rightarrow u_{n,\beta} \}. \end{aligned}$$

The grammar must be acyclic.

$$\tau > \alpha > \beta_p > \dots > \beta_1$$

The derivations must fulfil the rigidity condition.

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- we assume a provable sequent
- where the Herbrand termset can be represented by a grammar  $G$
- and search a cut-formula to prove the sequent via a cut rule.

We assume that the language of the grammar is a superset of the termset.

## The schematic extended Herbrand sequent

Let  $G$  be a schematic  $\Pi_2$ -grammar as before. The **schematic extended Herbrand sequent** is defined as

$$\bigvee_{i=1}^m X(\alpha, r_i) \rightarrow \bigwedge_{j=1}^p X(t_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

where  $X$  is a second-order variable.

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where  $X$  is a second-order variable.

We call  $\lambda x, y. S(x, y)$  a solution if

$$\bigvee_{i=1}^m S(\alpha, r_i) \rightarrow \bigwedge_{j=1}^p S(t_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

is a tautology.

## Results thus far obtained

- In contrast to  $\Pi_1$ -cut-introduction the inversion procedure is not complete for  $\Pi_2$ -cut-introduction.  
In the  $\Pi_2$ -case we will not find for each superset of the termset a cut-formula, while we will always find a cut-formula in the  $\Pi_1$ -case.

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In the  $\Pi_2$ -case we will not find for each superset of the termset a cut-formula, while we will always find a cut-formula in the  $\Pi_1$ -case.
- We have defined a large and relevant class of  $\Pi_2$ -cut-formulae  $A$  for which we can decide whether there is a proof with cut (the cut-formula only interact with the context).

# Results thus far obtained

Let

$$H(X) := \bigvee_{i=1}^p X(\alpha, t_i) \rightarrow \bigwedge_{j=1}^m X(r_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

be a schematic extended Herbrand sequent and  $S$  be a formula such that

$$H(S) := \bigvee_{i=1}^p S(\alpha, t_i) \rightarrow \bigwedge_{j=1}^m S(r_j, \beta_j), \Delta', \Delta'' \vdash \Gamma', \Gamma''$$

is a tautology. Assume a maximal **G3c**-derivation of  $H(S)$ . We say  $S$  is a balanced solution if in all axioms of  $H(S)$  at least one of the active formulas is not an ancestor of  $S$ .



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- We have defined a large and relevant class of  $\Pi_2$ -cut-formulae  $A$  for which we can decide whether there is a proof with cut (the cut-formula only interact with the context).
- We also provide a method to compute these cut-formulae ( $G^*$ -unifiability).

## Results thus far obtained

$\mathcal{G}^*$ -unifiability is a unification method to unify literals corresponding to a schematic  $\Pi_2$ -grammar  $\mathcal{G}$ . Assume a maximal **G3c**-derivation of the context  $\Delta', \Delta'' \vdash \Gamma', \Gamma''$  of a schematic extended Herbrand sequent and the non-tautological leaf

$$P(\alpha, t_1\alpha) \vdash P(r_2, \beta_2).$$

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$$P(\alpha, t_1\alpha) \vdash P(r_2, \beta_2).$$

Given that the schematic  $\Pi_2$ -grammar contains the production rules  $\alpha \rightarrow r_2$  and  $\beta_2 \rightarrow t_1r_2$  we can  $\mathcal{G}^*$ -unify  $P(\alpha, t_1\alpha)$  and  $P(r_2, \beta_2)$  to

$$P(x, y)$$

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- We have defined a large and relevant class of  $\Pi_2$ -cut-formulae  $A$  for which we can decide whether there is a proof with cut (the cut-formula only interact with the context).
- We also provide a method to compute these cut-formulae ( $G^*$ -unifiability).
- We get an exponential compression.

## Further research questions

- Implement the method.
- Prove the decidability of the solvability of  $\Pi_2$ -cut-introduction.
- We want to introduce many  $\Pi_2$ -cuts and  $\Pi_2$ -cuts with blocks of quantifiers.
- Investigate  $\Pi_n$ -cut-introduction with  $n \geq 3$ .