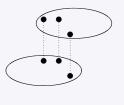
# **Amalgamation, Groupoids, Symmetries in Finite Structures**

Martin Otto TU Darmstadt Leeds 11/2015

# **Global Finite Realisations** of Local Specifications

# examples of local views & specifications

- exploded view of a hypergraph
- coordinate charts for manifolds



- decomposition and synthesis of graphs, hypergraphs, ...
- implicit specifications of (macro-)bisimulation types  $p \rightarrow \Diamond q$

 $\varphi_1$ 

 $\varphi_2$ 

• i.e., guarded extension properties  $\forall x (\theta(x) \rightarrow \exists y \theta'(xy))$ 

local

global

manifolds

atlas of local maps with changes of coordinates

distinguished substructures with overlap specifications

hyperedges with overlap specifications

partial isomorphisms

with composition in overlaps

relational structures

hypergraphs

automorphism groups

local specifications?

global realisations?

# the role of groupoids/inverse semigroups

two 'equivalent' algebraic formats for composition structure of partial bijections:

- with partial composition (as a total operation)
  → inverse semigroups
- with exact composition (as a partial operation)
  → groupoids

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- with exact composition (as a partial operation)
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groups capture global symmetries

groupoids capture local/partial symmetries

# the role of hypergraphs

**hypergraph:**  $\mathcal{A} = (\mathcal{A}, \mathcal{S})$  with sets  $\begin{cases} \mathcal{A} \text{ of vertices} \\ \mathcal{S} \subseteq \mathcal{P}(\mathcal{A}) \text{ of hyperedges} \end{cases}$ 

examples:

- combinatorial patterns of structural decompositions
- hypergraphs of guarded subsets of relational structures

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#### intersection graph of $\mathcal{A}$ :

$$\mathrm{I}(\mathcal{A}) := (S, E)$$
 where  $E = \{(s, s') \colon s \neq s', s \cap s' \neq \emptyset\}$ 

records pairwise overlaps between hyperedges  $s \in \boldsymbol{S}$ 

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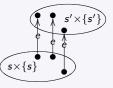
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#### exploded view of ${\mathcal A}$ based on ${\rm I}({\mathcal A})$

the disjoint union of the hyperedges  $s \in S$  with partial bijections  $\rho_e$  for  $e = (s, s') \in E$ 



 $\rightsquigarrow$  format of local overlap specifications

(I) specification & realisation of overlap patterns

(II) reduced products with groupoids (core results)

(III) from local to global symmetries

(IV) further applications

# (I) abstract specification & realisation

incidence pattern  $I = (S, (E[s, s'])_{s,s' \in S})$ 

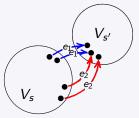
multi-graph with vertices  $s \in S$  (sorts) directed edges  $e \in E[s,s']$  from s to s' with  $e^{-1} \in E[s',s]$ 

fixed bisimulation type for pairwise overlaps

# I-graph $H = (V, (V_s)_{s \in S}, (\rho_e)_{e \in E})$

vertex set V partitioned into sorts  $V_s$  for  $s \in S$  $\rho_e$  a partial<sup>\*</sup> bijection between  $V_s$  and  $V_{s'}$  for  $e \in E[s, s']$ 

• an exploded view of the desired pairwise overlaps



## realisation:

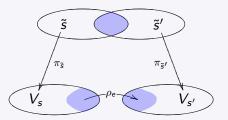
a realisation of  $H = (V, (V_s), (\rho_e))$  is a hypergraph  $\mathcal{A} = (A, \tilde{S})$  with projection  $\pi : \tilde{S} \longrightarrow S$ and an atlas of bijections  $\pi_{\tilde{s}} : \tilde{s} \rightarrow V_{\pi(\tilde{s})}$  for  $\tilde{s} \in \tilde{S}$  s.t.

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• all specified overlaps are realised:

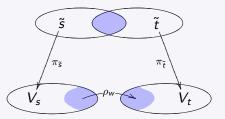
for  $e \in E[s, s']$ ,  $\rho_e$  is realised at every  $\tilde{s} \in \pi^{-1}(s)$ by an actual overlap with some  $\tilde{s}' \in \pi^{-1}(s')$ 



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- no further, incidental overlaps occur: all actual overlaps of I(A) are induced by compositions ρ<sub>w</sub> of partial bijections ρ<sub>e</sub> in H



## realisations vs. exploded views

the exploded view of hypergraph  $\mathcal{A} = (\mathcal{A}, \mathcal{S})$ is an I-graph  $H(\mathcal{A})$  w.r.t.  $I(\mathcal{A}) = (\mathcal{S}, \mathcal{E})$ 

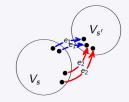
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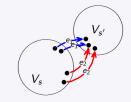


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#### idea: try local unfolding in products of H with ...?

# (II) reduced products with groupoids

**I-groupoid:**  $\mathbb{G} = (G, (G_{st})_{s,t\in S}, \cdot, (1_s)_{s\in S})$  with associative compositions  $G_{st} \times G_{tu} \to G_{su}$ , neutral elements  $1_s \in G_{ss}$ , inverses, ... designated generators  $(g_e)_{e\in E}$ 

• I-groupoids come with Cayley graphs that are I-graphs

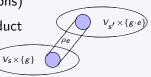
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reduced products as candidate realisations:

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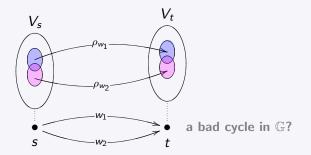
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# when is this a realisation of H?

# obstructions to simple realisations

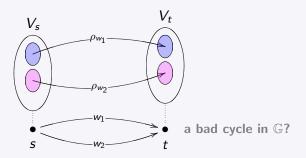
H may fail to be *coherent* (as seen before):
 a lack of path-independence in H, with conflicting identifications collapsing individual V<sub>s</sub>



can be overcome by relatively simple pre-processing

## obstructions to simple realisations

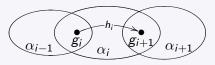
• H and G may fail to be *confluent* in the product: causing incidental overlaps (with potential conflicts at the relational level)



#### $\rightsquigarrow$ need substantial acyclicity conditions on $\mathbb G$

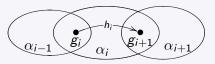
# an appropriate notion of acyclicity

 not just short cycles in the Cayley graph of G, but short cycles of cosets gG[α] generated by subsets α ⊆ E

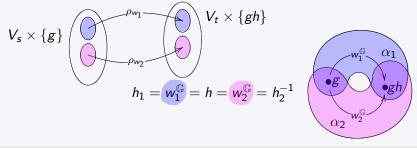


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• in particular, need to avoid certain coset cycles of length 2



# any degree of acyclicity in finite groupoids

theorem (O\_13)

for every N  $\in$  N and incidence pattern I = (S, E) there are finite I-groupoids  $\mathbb{G}$  without coset cycles of length up to N

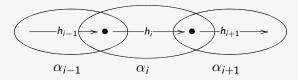
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construction by inductive interleaving:

- groupoidal action on I-graphs
- use of amalgamation chains of  $I[\alpha]$ -graphs (local unfoldings) to eliminate short cycles



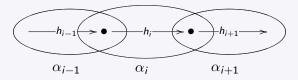
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cf. constructions of acyclic Cayley graphs (Alon, Biggs) here lifted to more intricate adaptation for coset cycles

# any degree of acyclicity in symmetric realisations

# theorem (O\_13)

for any overlap specification H (an I-graph), obtain realisations  $H\otimes \mathbb{G}$  (as reduced products with finite I-groupoids  $\mathbb{G})$  that

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symmetric realisations

#### corollary

every finite hypergraph admits, for  $\mathsf{N}\in\mathbb{N},$  finite coverings that

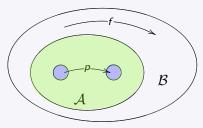
- are N-acyclic in the sense that every induced sub-hypergraph on up to N vertices is acyclic (tree decomposable)
- possess a fibre-transitive automorphism group that lifts all symmetries of the given hypergraph

# (III) from local to global symmetries

extension property for partial automorphisms (EPPA): how to extend local symmetries to global symmetries

## theorem (Herwig 98, extending Hrushovski 92 for graphs)

every finite relational structure  $\mathcal{A}$  admits a finite extension  $\mathcal{B} \supseteq \mathcal{A}$ s.t. every partial isomorphism in  $\mathcal{A}$  lifts to a full automorphism of  $\mathcal{B}$ 



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theorem (Herwig–Lascar 00)

same, as a *finite model property* over any class C defined by finitely many forbidden homomorphisms

if  $\mathcal{A} \in \mathcal{C}_{\mathrm{fin}}$  has any EPPA extension in  $\mathcal{C}$  then it also has a finite one in  $\mathcal{C}_{\mathrm{fin}}$ 

## a "naive" idea towards EPPA for single p

for a single partial isomorphism p of  $\mathcal{A} = (A, R)$  find

a free infinite EPPA extension as a reduced product  $(\mathcal{A} \times \mathbb{Z})/\approx$ where  $\approx$  is induced by the partial bijections

$$\begin{array}{ccc} \rho_p^{i,i-1} \colon \mathcal{A} \times \{i\} & \longrightarrow & \mathcal{A} \times \{i-1\} \\ (a,i) & \longmapsto & (p(a),i-1) \end{array}$$

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#### groupoidal realisations can do the trick for several p (!)

Martin Otto 2015 (I) specification&realisation (II) groupoids (III) local/global symmetries (IV) applications 17/22

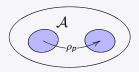
## new proof of full Herwig–Lascar EPPA

through groupoidal realisations of an overlap specification for  $\mathcal{A} = (\mathcal{A}, \mathcal{R})$  and  $\mathcal{P} \subseteq \operatorname{Part}(\mathcal{A}, \mathcal{A})$ 

(i) **the incidence pattern I(**A, **P**): multigraph on singleton vertex with a loop  $e_p \in E$  for each  $p \in P$ 



(ii) the overlap specification  $H(\mathcal{A}, P)$ :  $I(\mathcal{A}, P)$ -graph  $H(\mathcal{A}, P) = (\mathcal{A}, (\rho_p)_{p \in P})$ needs to be made coherent!



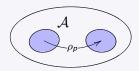
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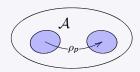
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(iv) N-acyclic EPPA extensions are N-free:
 admit N-local homomorphisms into every (finite or infinite)
 EPPA extension due to their N-local tree-decomposability

# (IV) applications in algorithmic model theory

• characterisation theorems (fmt) for guarded logics and relatives

using finite coverings of controlled acyclicity

• finite model properties & finite controllability for guarded logics and constraints

using finite coverings of controlled acyclicity and/or Herwig–Lascar extension properties

```
 \begin{array}{rl} & \longrightarrow & \mathbf{O}_{(LICS10\&JACM13)} \\ & & \mathbf{O}_{(APAL13)} \\ & & \mathbf{B}\acute{a} \emph{r}\acute{a} \emph{n} \emph{y} - \mathbf{Gottlob} - \mathbf{O}_{(LICS10\&LMCS14)} \\ & & \mathbf{B}\acute{a} \emph{r}\acute{a} \emph{n} \emph{y} - \mathbf{ten} \ \mathbf{Cate} - \mathbf{O}_{(VLDB12)} \\ & & \mathbf{O}_{(LICS13\&arXiv14/15)} \end{array}
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# characterisation theorems (fmt)

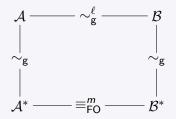
# theorem (O\_10)

 $\mathsf{GF} \equiv \mathsf{FO}/{\sim_{\mathsf{g}}} \ \ \, \text{and} \ \ \, \mathsf{GF} \equiv_{\mathsf{fin}} \mathsf{FO}/{\sim_{\mathsf{g}}}$ 

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$$\mathsf{GF} \equiv \mathsf{FO}/{\sim_\mathsf{g}} \quad \text{and} \quad \mathsf{GF} \equiv_\mathsf{fin} \, \mathsf{FO}/{\sim_\mathsf{g}}$$

**idea:** show that  $\sim_{g}$ -invariance of  $\varphi \in FO^{m}$  implies  $\sim_{g}^{\ell}$ -invariance for some  $\ell = \ell(m)$  such that over suitable locally sufficiently acyclic (finite) structures,  $\sim_{g}^{\ell}$  refines  $\equiv_{FO}^{m}$ 



# finite model properties & finite controllability

## theorem (Bárány–Gottlob–O\_10/Rosati06)

finite controllability for union of conjunctive queries Q w.r.t. constraint  $\alpha \in \mathsf{GF}$ :

$$\alpha \models Q \quad \Leftrightarrow \quad \alpha \models_{\mathsf{fin}} Q \qquad (\dagger)$$

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idea 1: detour via "treeification"  $Q^* \in \mathsf{GF}$  of Q for which

$$Q^* \models Q$$
 and  $Q \models_{\mathsf{acyc}} Q^*$ ;

use locally sufficiently acyclic (finite) unfoldings to show that also

$$\alpha \models_{\mathsf{fin}} Q \Rightarrow \alpha \models_{\mathsf{fin}} Q^*$$

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idea 2: view (†) as a fmp for  $\alpha \in GF$  within  $C = Mod(\neg Q)$ , which follows from Herwig–Lascar EPPA (!)

- a generic construction of highly acyclic finite groupoids
- a universal & generic route to the synthesis of finite realisations (and coverings) in reduced products
- symmetry and acyclicity of realisations supports extensions of local to global symmetry
- further applications in finite model theory

→ Finite Groupoids, Finite Coverings
 & Symmetries in Finite Structures (arXiv 2015 (v4))