# Bisimulation and Logics for Knowledge and Information 

Martin Otto, Padova, 2023

## bisimulation <br> - the quintessential back\&forth

model theory, not just in classical settings

## logics accessing information <br> - in structural representations

with relevant semantics "up to what?"
(I) basics: bisimulation and back\&forth games

- bisimulation as modal Ehrenfeucht-Fraïssé
- bisimulation as the mother of back\&forth
- model theory of modal logics
(II) survey: variations, generalisations \& challenges
- bisimilar coverings for graphs and hypergraphs
- classically beyond FO to MSO
- essentially modal variations within FO
- non-classical modal steps beyond FO: team semantic \& inquisitive scenarios, modal common knowledge


## part I: bisimulation as quintessential back\&forth

on graph-like structures
Kripke structures (possible worlds/accessibility),
transition systems (states/transitions),
game graphs (positions/moves)
capture informational/behavioural/positional equivalence that may not be respected in concrete structural representation (!)
core idea: dynamic back\&forth probing of possibilities
$\longrightarrow$ exploration of what is meant to be represented in these structures \& eliminating overhead in concrete structural representations
two distinct model-theoretic traditions:
(1) semantic evaluation games (model checking games): game protocol to test satisfaction relation:
given structure $\mathcal{A}$ and formula $\varphi \in \mathrm{L}$ determine whether $\mathcal{A} \models \varphi$
(2) comparison, equivalence games (back\&forth games): game protocol to test L-equivalence/similarity:
given structures $\mathcal{A}$ and $\mathcal{B}$
determine to which extent $\mathcal{A} \equiv \mathrm{L} \mathcal{B}$
with bisimulation notions we focus on the second kind (2) but key results link it to the first kind (1) and there is a systematic connection!

## bisimulation game \& bisimulation relations

the game: two players: I (challenger), II (defender)
play over two Kripke structures $\begin{gathered}\text { or transition systems }\end{gathered}\left\{\begin{array}{l}\mathcal{A}=\left(A, \boldsymbol{R}^{\mathcal{A}}, \boldsymbol{P}^{\mathcal{A}}\right) \\ \mathcal{B}=\left(B, \boldsymbol{R}^{\mathcal{B}}, \boldsymbol{P}^{\mathcal{B}}\right)\end{array}\right.$
positions: pairs $(a, b)$, correspondences between pebbled worlds
single round, challenge/response:
I shifts pebble in $\mathcal{A}$ or $\mathcal{B}$ along $R$-edge II must do likewise on opposite side effect: $(a, b) \rightsquigarrow\left(a^{\prime}, b^{\prime}\right)$


II loses in position $(a, b)$ unless $\boldsymbol{P}^{\mathcal{A}} \upharpoonright a \simeq \boldsymbol{P}^{\mathcal{B}} \upharpoonright \boldsymbol{b}$ (atom equivalence) either player loses when stuck
winning regions for II define bisimulation equivalences:
$\mathcal{A}, a \sim^{\ell} \mathcal{B}, b$
II has a winning strategy for $\ell$ rounds from $(a, b)$
$\mathcal{A}, a \sim \mathcal{B}, b$
II has a winning strategy for infinite game from $(a, b)$
intermediate limit $\sim^{\omega}:=\left(\sim^{\ell}\right.$ for all $\left.\ell \in \mathbb{N}\right)$
winning strategies in relational formalisation:

$$
\sim^{\ell}:\left(Z_{m} \subseteq A \times B\right)_{m \leqslant \ell}
$$

$$
\sim^{\omega}:\left(Z_{m} \subseteq A \times B\right)_{m \in \mathbb{N}} \quad \text { stratified b\&f systems, or }
$$

$$
\sim: Z \subseteq A \times B \quad \text { single bisimulation relation }
$$

## bisimulation game \& bisimulation relations

a single bisimulation relation $Z \subseteq A \times B$ for $\sim$
with characteristic b\&f requirements
(back) for $(a, b) \in Z$ and $\left(b, b^{\prime}\right) \in R^{\mathcal{B}}$ there is $a^{\prime} \in A$ s.t. $\left(a, a^{\prime}\right) \in R^{\mathcal{A}}$ and $\left(a^{\prime}, b^{\prime}\right) \in Z$
(forth) for $(a, b) \in Z$ and $\left(a, a^{\prime}\right) \in R^{\mathcal{A}}$ there is $b^{\prime} \in B$ s.t. $\left(b, b^{\prime}\right) \in R^{\mathcal{B}}$ and $\left(a^{\prime}, b^{\prime}\right) \in Z$
witnesses winning strategy for II in infinite game from any $(a, b) \in Z$


## pebble games for FO and $\mathrm{FO}_{\infty}$

$\mathbf{I}$ and II over relational structures $\mathcal{A}=\left(A, \boldsymbol{R}^{\mathcal{A}}\right)$ and $\mathcal{B}=\left(B, \boldsymbol{R}^{\mathcal{B}}\right)$ positions: local isomorphisms $p: \boldsymbol{a} \mapsto \boldsymbol{b}, p: \mathcal{A} \upharpoonright \boldsymbol{a} \simeq \mathcal{B} \upharpoonright \boldsymbol{b}$
single round: challenge/response for extension by one new pebble pair

$$
(p: \boldsymbol{a} \mapsto \boldsymbol{b}) \rightsquigarrow\left(p^{\prime}: \boldsymbol{a} a^{\prime} \mapsto \boldsymbol{b} b^{\prime}\right)
$$

winning regions:
$\mathbf{b} \& \mathbf{f}$ equivalences $\quad \begin{cases}\mathcal{A}, \boldsymbol{a} \simeq^{\ell} \mathcal{B}, \boldsymbol{b} & \ell \text { rounds } \\ \mathcal{A}, \boldsymbol{a} \simeq^{\infty} \mathcal{B}, \boldsymbol{b} & \text { infinite game }\end{cases}$
$\simeq{ }^{\infty}$ classically known as partial isomorphy, intermediate level $\simeq^{\omega}$ as finite isomorphy

## Ehrenfeucht-Fraïssé

linking game equivalence to equivalence w.r.t. FO and $\mathrm{FO}_{\infty}$

## Ehrenfeucht-Fraïssé/Karp thms

$\mathcal{A}, \boldsymbol{a} \simeq^{\ell} \mathcal{B}, \boldsymbol{b} \quad \Leftrightarrow \mathcal{A}, \boldsymbol{a} \equiv \equiv_{\mathrm{FO}}^{\ell} \mathcal{B}, \boldsymbol{b}^{*} \quad$ FO-equiv. to qfr-depth $\ell$ $\mathcal{A}, \boldsymbol{a} \simeq{ }^{\infty} \mathcal{B}, \boldsymbol{b} \quad \Leftrightarrow \mathcal{A}, \boldsymbol{a} \equiv_{\mathrm{FO}}^{\infty} \mathcal{B}, \boldsymbol{b} \quad \mathrm{FO}_{\infty}$-equiv.

* for finite relational vocabularies where $\simeq^{\ell}$ has finite index


## proof ingredients:

$$
\text { - }\left(Z_{m}:=\left\{(p: \boldsymbol{a} \mapsto \boldsymbol{b}): \mathcal{A}, \boldsymbol{a} \equiv_{\mathrm{FO}}^{m} \mathcal{B}, \boldsymbol{b}\right\}\right)_{m \in \mathbb{N}}
$$

satisfies stratified b\&f conditions

- I wins according to $\mathcal{A}, \boldsymbol{a} \not \equiv_{\mathrm{FO}}^{m} \mathcal{B}, \boldsymbol{b} \rightsquigarrow \mathcal{A}, \boldsymbol{a} a^{\prime} \not \equiv_{\mathrm{FO}}^{m-1} \mathcal{B}, \boldsymbol{b} b^{\prime}$
- equivalence classes $[\mathcal{A}, \mathbf{a}] / \simeq^{m}$ are FO-definable at qfr-depth $m$
recall: the bigger picture w.r.t. games \& logic
(1) semantic evaluation game (model checking game):
checking $\mathcal{A}, \boldsymbol{a} \models \varphi$
in dialogue game between verifier \& refuter
(2) equivalence game (back\&forth game): checking whether $(\mathcal{A}, \boldsymbol{a} \models \varphi \Leftrightarrow \mathcal{B}, \boldsymbol{b} \models \varphi)$ for all $\varphi \in \mathrm{L}_{\ell}$ in back\&forth game
for many logics like guarded fragment GF, $k$-variable fragments $\mathrm{FO}^{k}$,
can typically relate levels $\equiv_{\mathrm{L}}^{\ell}$ of L-equivalence in (2)
to $\sim^{\ell}$ between the game graphs of the L-evaluation game (1)


## back to bisimulation \& basic modal logic ML

## on graph-like structures

with binary accessibility relations $\boldsymbol{R}=\left(R_{1}, \ldots\right) \quad \rightsquigarrow$ modalities $\diamond_{i} / \square_{i}$
and unary predicates $\boldsymbol{P}=\left(P_{1}, \ldots\right) \quad \rightsquigarrow$ basic propositions $p_{i}$
atomic formulae: $\perp, \top$ and $p_{i}$ booleans connectives: $\wedge, \vee, \neg$ modal quantification:

$$
\begin{aligned}
& \diamond_{i} \equiv \exists y\left(R_{i} x y \wedge \varphi(y)\right) \\
& \square_{i} \varphi \equiv \forall y\left(R_{i} x y \rightarrow \varphi(y)\right)
\end{aligned}
$$ relativised FO quantification

## observation

- 0-bisimulation condition $\sim^{0}$ matches atomic equiv. $\equiv_{\mathrm{ML}}^{0}$
- bisimulation b\&f matches modal quantification pattern
modal Ehrenfeucht-Fraïssé/Karp thms

$$
\begin{array}{llll}
\mathcal{A}, a \sim^{\ell} \mathcal{B}, b & \Leftrightarrow \mathcal{A}, a \equiv_{\mathrm{ML}}^{\ell} \mathcal{B}, b^{*} & \text { ML-equiv. to depth } \ell \\
\mathcal{A}, a \sim^{\infty} \mathcal{B}, b & \Leftrightarrow \mathcal{A}, a \equiv_{\mathrm{ML}}^{\infty} \mathcal{B}, b & \mathrm{ML}_{\infty^{-} \text {-equiv. }}
\end{array}
$$

in full analogy with classical picture:

$$
\begin{array}{lll}
\mathcal{A}, \boldsymbol{a} \simeq^{\ell} \mathcal{B}, \boldsymbol{b} & \Leftrightarrow \mathcal{A}, \boldsymbol{a} \equiv_{\mathrm{FO}}^{\ell} \mathcal{B}, \boldsymbol{b}^{*} & \text { FO-equiv. to qfr-depth } \ell \\
\mathcal{A}, \boldsymbol{a} \simeq \infty \mathcal{B}, \boldsymbol{b} & \Leftrightarrow \mathcal{A}, \boldsymbol{a} \equiv_{\mathrm{FO}}^{\infty} \mathcal{B}, \boldsymbol{b} & \mathrm{FO}_{\infty} \text {-equiv. }
\end{array}
$$

## corollary

- the semantics of $\mathrm{ML} \subseteq \mathrm{ML}_{\infty}$ is invariant under bisimulation
- the semantics of ML-formulae of depth $\ell$ is invariant under $\sim^{\ell}$


## variations \& the quintessential nature of bisimulation

- bisimulation in game graphs for other logics
states: admissible assignments transitions: quantification patterns
"all Ehrenfeucht-Fraïssé games are bisimulation games"
close to original (basic modal) bisimulation:
- two-way and global bisimulation $\approx$
with extended challenge/response options
(backward moves \& jumps) for corresponding modalities


## qualitatively different:

## - guarded bisimulation

from graphs to hypergraphs, with moves respecting overlaps

## guarded bisimulation: ... hypergraph of visible patches

as an example of the systematic variability
and relationship between games (1) \& (2)
access to (singleton) worlds propositional information modalities in ML

$$
\forall y\left(R_{i} x y \rightarrow \varphi(y)\right)
$$

moves along accessibility edges
$\leftrightarrow \rightarrow$ access to guarded patches u $\rightarrow$ local isomorphgism type $\leftrightarrow$ guarded quantification in GF $\forall \boldsymbol{y}(\alpha(\boldsymbol{y}) \rightarrow \varphi(\boldsymbol{y}))$
«n moves between patches that respect overlaps


## bisimulation - modal Ehrenfeucht-Fraïssé

typical example of a bisimulation issue and its FO counterpart:
when does $\equiv_{\text {ML }}\left(\sim^{\omega}\right)$ coincide with full bisimulation $\sim$ ? when does $\equiv_{\mathrm{FO}}\left(\simeq^{\omega}\right)$ coincide with partial isomorphy $\simeq \infty$ ?

## Hennessy-Milner thm (the modal answer)

over suitably saturated models, $\sim^{\omega}\left(\equiv_{\text {ML }}\right)$ coincides with $\sim\left(\equiv_{\text {ML }}^{\infty}\right)$

- finitely branching
- modally or $\omega$-saturated ( $\omega$-saturation is good also for $\simeq^{\omega} / \simeq^{\infty}$ )
- recursively saturated pairs (also good for $\simeq^{\omega} / \simeq^{\infty}$ )
crucial in classical model-theoretic arguments for modal logics
thesis: information-theoretically, Kripke structures are meant to represent bisimulation types
just as transition systems stand for possible system behaviours


## modal model theory $=$ bisimulation invariant model theory

here briefly look at:

- tree unfoldings
- tree model property \& finite model property
- expressive completeness (classical and fmt)


## tree unfoldings (cf. game trees)

tree unfolding: unfolding $\mathcal{A}$ into $\mathcal{A}_{a}^{*}$
based on the set of labelled directed paths $\sigma$ rooted at a in $\mathcal{A}$ with natural projection to endpoints as a homomorphism

$$
\begin{aligned}
\pi: \mathcal{A}_{a}^{*} & \longrightarrow \mathcal{A} \\
\sigma & \longmapsto \pi(\sigma)
\end{aligned}
$$

that induces a bisimulation $\mathcal{A}_{a}^{*}, a \sim \mathcal{A}, a$
$\pi: \mathcal{A}_{a}^{*} \longrightarrow \mathcal{A}$ is an example of a bisimilar covering:

- $\pi$ is a homomorphism: the forth-property
- $\pi$ has lifting property: the back-property
for its graph $\left\{(\sigma, \pi(\sigma)): \sigma \in A_{a}^{*}\right\}$ : a bisimulation relation
bisimilar unfoldings into tree structures preservation under bisimulation $\} \Rightarrow$ tree model property


## tree model property:

for all $\sim$-invariant logics $\mathrm{ML}, \ldots, \mathrm{L}_{\mu}, \ldots \mathrm{ML}_{\infty}$ : every satisfiable formula has a tree model
important: can employ good model-theoretic and algorithmic properties of trees, MSO on trees, tree automata, ... for robust decidability and complexity results for modal logics

## finite (tree) model property

for basic modal logic ML (and some close relatives) even get finite tree models, hence the

## finite model property:

every satisfiable formula of ML has a finite (tree) model
ad-hoc method: for $\varphi \in \mathrm{ML}$ of depth $\ell$, truncate tree model at depth $\ell$ and prune $\sim^{\ell}$-equivalent siblings (preserving $\sim^{\ell}$ ) (finite index)
more generic method: passage to $\sim^{\ell}$-quotient of any model yields a finite model (usually not a tree model)
generalises to some extensions
but not, in this simple form, e.g. to GF ( $\rightarrow$ Grädel, 1999)
... relative to FO, consider
FO $/ \sim:=\left\{\begin{array}{l}\text { the classes of } \sim \text {-invariant FO-properties of } \\ \text { (just finite, or all) ptd Kripke structures }\end{array}\right.$

## remark:

semantic classes corresponding to undecidable conditions like ~-invariance are at the heart of classical 'preservation theorems', which really concern the quest for syntactic representation
in this case, the positive answer underpins the role of ML, twice:
FO/ $\sim$ ML classically, van Benthem (1983)
FO/ $\sim$ ML in fmt, Rosen (1997)
expressive completeness: $\mathrm{FO} / \sim \equiv \mathrm{ML}$
it suffices to show that for $\varphi(x) \in \mathrm{FO}$
$\sim$-invariance implies $\sim^{\ell}$-invariance for some finite level $\ell \in \mathbb{N}$
a non-classical compactness property (!)
then $\varphi \equiv \varphi^{\prime} \in \mathrm{ML}$ by Ehrenfeucht-Fraïssé:
ML-definability of $\sim^{\ell}$-classes \& finite index

NB: two, a priori independent, readings: classical \& fmt

```
\(\sim\)-invariance \(\Rightarrow \sim^{\ell}\)-invariance for some \(\ell\)
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classical compactness argument with upgrading along $\equiv_{\mathrm{FO}}$-axis through Hennessy-Milner property for $\omega$-saturated structures

$\omega$-saturated extns
elegant and smooth, but no information regarding target $\ell$ and not an option for fmt version

## expressive completeness: a constructive approach

$$
\sim \text {-invariance } \Rightarrow \sim^{\ell} \text {-invariance for some } \ell
$$

upgrading along $\sim$-axis of $\sim^{\ell(q)}\left(\equiv_{\mathrm{ML}}^{\ell}\right)$ to $\simeq^{q}\left(\equiv_{\mathrm{FO}}^{q}\right)$ through $\sim$-preserving model transformations

$\hat{\mathcal{A}}, \hat{a}-\equiv_{\mathrm{FO}}^{q} \longrightarrow \hat{\mathcal{B}}, \hat{b} \quad$ bisimilar companions
more constructive, potentially suitable for fmt,
also yielding information regarding $\ell(\boldsymbol{q})$
a simple argument (good classically \& fmt)
using the locality of FO/ ~ \& Ehrenfeucht-Fraïssé

$$
\sim \text {-invariance } \Rightarrow \sim^{\ell} \text {-invariance for } \ell=2^{q}-1
$$

show that

$\Leftrightarrow \quad \nabla_{a \models \varphi}^{\mathcal{A} \upharpoonright N^{\ell}(a)}$
in $q$-round FO game on:


## a more generic constructive approach

upgrading in

requires (finite) model transformations $\mathcal{A} / \mathcal{B} \longmapsto \hat{\mathcal{A}} / \hat{\mathcal{B}}$ that are

- compatible with bisimulation:
ideally want $\approx$ coverings (for symmetry \& homogeneity)
- suitable to eliminate all obstacles for $\simeq^{q}\left(\equiv_{\text {FO }}^{q}\right)$ that are not controlled by any level of $\sim^{\ell}$ :
need to avoid short cycles \& small multiplicities


## part II: variations, generalisations \& challenges

in this part (survey style):

- technical variations: finite bisimilar coverings avoiding short cycles in graph \& hypergraph coverings in products with finite Cayley graphs for dealing with global and guarded bisimulation
- classically beyond FO to MSO: Janin-Walukiewicz and a big ? in finite model theory
- essentially modal variations, within \& beyond FO: team \& inqusitive semantics, common knowledge


## combinatorics of finite coverings

for local acyclicity in bisimilar coverings

of Kripke frames (=graphs):

in products with Cayley graphs of groups w/o short generator cycles

of guarded frames (=hypergraphs): in products with Cayley graphs of groups w/o short coset cycles much trickier - why?

and the construction of finite groups (better still: groupoids) that avoid certain patterns (equalities, relations) is a non-trivial algebraic-combinatorial challenge (with further applications)
theorem (Janin-Walukiewicz, 1996)
MSO/~ $\equiv \mathrm{L}_{\mu}$
modal $\mu$-calculus $\mathrm{L}_{\mu}$ is expressively complete for the class of all $\sim$-invariant MSO-definable properties of pointed Kripke structures
proof based on
(1) tree model property (for any ~-invariant phenomenon!)
(2) analysis of MSO model-checking by tree automata

OPEN: status in finite model theory
where neither (1) nor (2) applies, so that known finite coverings do not seem to help

## from MSO to GSO

## joint work with Achim Blumensath \& Erich Grädel

via analysis of game trees for guarded bisimulation, guarded tree unfoldings, and reduction to Janin-Walukiewicz get
$\rightsquigarrow \mathrm{GSO} / \sim_{g} \equiv \mu \mathrm{GF}$
over the class of all guarded structures
again: classical setting only!

- global finite coverings allow for local acyclicity (and finitely boosted branching) throughout

$$
\rightsquigarrow \quad \mathrm{FO} / \approx \equiv \mathrm{ML}[\forall,-]
$$


for classical \& fmt analogue of van Benthem-Rosen

- restrictions to several relevant classes of (finite) frames: reflexive, irreflexive, symmetric as you would expect
- quite different: bisimilar hypergraph coverings based on coset-acyclicity in Cayley graphs
$\rightsquigarrow F O / \sim_{g} \equiv G F$
(O_2003)

for classical \& fmt analogue of van Benthem-Rosen


## essentially modal variations within FO

- over rooted transitive frames (which defeat locality):
$\rightsquigarrow \mathrm{FO} / \sim \equiv \mathrm{ML}[*] \equiv \mathrm{MSO} / \sim$
over finite or wellfounded rooted transitive frames
(finite) Löb and Grzegorczyk frames also motivated by information \& proof theory
- through global finite coverings for multi-agent S5-frames: equivalence classes (information states) hyperedges with pre-processed simple overlaps

$$
\begin{aligned}
& \rightsquigarrow \mathrm{FO} / \sim \equiv \mathrm{ML} \\
& \\
& \quad \begin{array}{l}
\text { over ( finite) multi-agent epistemic S5 models }
\end{array}
\end{aligned}
$$


motivated by knowledge representation
treat sets $X$ of worlds in Kripke structures as information states arbitrary rather than relationally encoded subsets $X$

- bisimulation $\rightsquigarrow$ team bisimulation (element-wise match of sets)
- basic team ML (with team disjunction \& just nnf negation) is "flat" with standard translations $\forall x(x \in X \rightarrow \varphi(x))$, hence too weak to cover all ~-invariant team properties that are FO-definable in the form $\psi(X)\left(\mathrm{FO}^{\top}\right.$-definable)
- augmented by strict negation, get ML[non] with

$$
\rightsquigarrow \mathrm{FO}^{\top} / \sim \equiv \mathrm{ML}[\text { non }]
$$

full team-semantic analogue of van Benthem-Rosen with 'constructive' proof lifted to (scattered) teams

## non-classically beyond FO (2): inquisitive ML

joint work with Ivano
inquisitive Kripke frames give worlds access to sets of information states rather than sets of worlds $\rightsquigarrow$ one level up \& akin with team semantic concepts

- inquisitive modal logic InQML extends basic (team) ML and defines persistent state properties that are (obviously!) invariant under the inquisitive variant of bisimulation
- natural 2-sorted relational encodings of models give FO access to some MSO-features, and in this context

$$
\begin{aligned}
& \rightsquigarrow \mathrm{FO}^{\downarrow} / \sim \equiv \text { INQML } \\
& \\
& \text { over (finite) relational inquisitive models }
\end{aligned}
$$

full inquisitive analogue of van Benthem-Rosen over non-elementary classes of relational structures, on FO/MSO borderline esp. in the epistemic S 5 version (!)

## common knowledge logic ML[CK]:

multi-modal S5 with 'common knowledge' modalities
$\square_{\alpha}$ for sets $\alpha$ of agents
intuition: "among $\alpha$, everybody knows that everybody knows that everybody knows that ..." (ad infinitum)

- the new $\square_{\alpha}$ is the box modality for $\boldsymbol{R}_{\boldsymbol{\alpha}}=\mathbf{T C}\left(\bigcup_{i \in \alpha} \boldsymbol{R}_{\boldsymbol{i}}\right)$ beyond FO due to non-elementary nature of TC (!) but with the usual standard translation into FO over the richer non-elementary class of CK-frames with the new $R_{\alpha}$


## non-classically beyond FO (3): ML over CK-frames

ML[CK] is just ML over CK-frames:
S5-frames with induced equivalences $R_{\alpha}$
which really seem to defeat locality!
where once more Cayley helps a lot


- need tractable forms of local acyclicity, simultaneously at all levels $\alpha$ (at nested levels of granularity)
- using finite bisimilar coverings in products with Cayley graphs of finite groups w/o short coset cycles, can show:
$\rightsquigarrow \mathrm{FO} / \sim \equiv \mathrm{ML} \equiv \mathrm{ML}[\mathrm{CK}]$
over the class of all (finite) CK-models
full analogue of van Benthem-Rosen
in a very non-classical setting
- forms of bisimulation reflect what matters
(up to what?)
- bisimulation (generic E-F) as the back\&forth
(how similar?)
- variations on modal accessibility
(access to what?)
- semantic characterisations
(what up to what?)
- bisimilar coverings \& model transformations


I: bisimulation I: modal logic II: finite coverings II: beyond classical

(combinatorics!)

Padova, MO 2023


## some pointers

## my hobby horses

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