

2.1

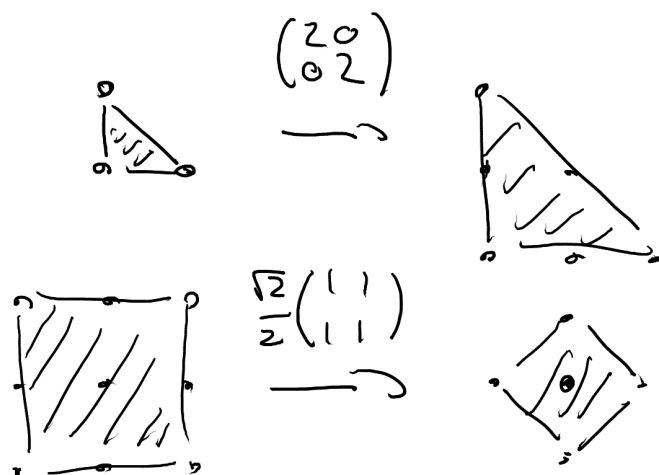
→ want to classify polygons according to this

But first need to clarify equivalence!

Recall from linear geometry:

$\varphi: \mathbb{R}^d \rightarrow \mathbb{R}^d$ is an automorphism if φ is
linear and bijective

equivalently: $\varphi: x \mapsto Ax + t$ for
 $A \in GL_d(\mathbb{R})$



does neither preserve $\#lp$ nor volume

(and restricting to $O_d(\mathbb{R})$)

still does not preserve $\#lp$)

→ linear (orthogonal) automorphisms are not useful.

instead: consider mass

$\varphi: \mathbb{R}^d \rightarrow \mathbb{R}^d$ linear and bijective

equiv: $\varphi: x \mapsto Ax + t$ for

$$A \in GL_d(\mathbb{Z}) = \{A \in GL_d(\mathbb{R}) \mid A, A^{-1} \in \mathbb{Z}^{d \times d}\}$$

$\varphi: x \mapsto Ax + t$, $A \in GL_d(\mathbb{Z})$, $t \in \mathbb{Z}^d$
is an affine unimodular transformation

Prop / Ex: $|\det A| = 1$

$$A \in GL_d(\mathbb{Z}) \text{ iff } Ax \in \mathbb{Z}^d \Leftrightarrow x \in \mathbb{Z}^d \quad \forall x \in \mathbb{Z}^d$$

Def P, Q lattice polytopes

Then P and Q are isomorphic (unimodularly equivalent) if

$Q = \varphi(P)$ for an affine unimodular transformation

Note / Ex $\varphi, \varphi^{-1}: \mathbb{Z}^d \rightarrow \mathbb{Z}^d$ are bijections

$$\varphi^{-1}: x \mapsto A^{-1}(x - t)$$

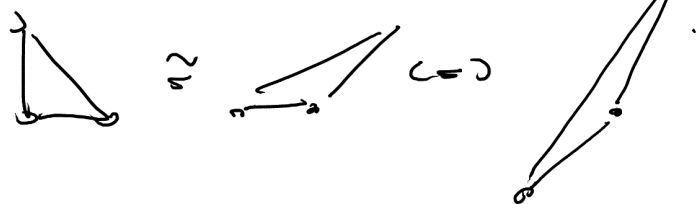
$$P \cap \mathbb{Z}^d \xrightleftharpoons[\varphi^{-1}]{\varphi} Q \cap \mathbb{Z}^d$$

Prop: isomorphic lattice polytopes have the same number of interior (boundary) lattice pts and the same volume

proof: for the volume note $|\det A| = 1$

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may look uninteresting:



we mostly consider lattice polytopes up to unimodular equiv.

→ angles and Euclidean distances are not preserved by unimodular transformations

Def lattice length of segment $e = \text{conv}(p, q)$: $\text{length}(e) = |e \cap \mathbb{Z}^d| - 1$
→ preserved!

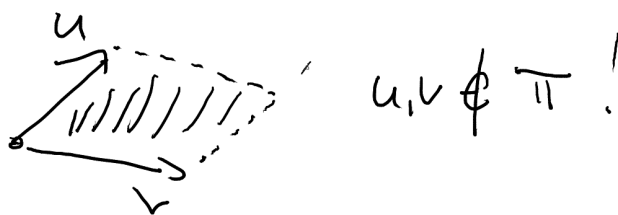
Pick's Theorem

P lattice polygon $|P \cap \mathbb{Z}^2| = 3$
Then $\text{vol } P = \frac{1}{2}$ (and $P \cong \text{conv}(0, e_1, e_2)$)

proof: we can assume that $P = \text{conv}(0, u, v)$

consider $\pi := \{ \lambda u + \mu v \mid 0 \leq \lambda, \mu < 1 \}$

half-open parallelogram



$$\text{vol } \pi = |\det(u, v)| = 2 \text{ vol } P$$

claim $\Pi \cap \mathbb{Z}^2 = \{0\}$

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Suppose $\omega = \lambda u + \mu v \in \Pi \cap \mathbb{Z}^2$ with $0 \leq \lambda, \mu < 1$

Two cases:

$$\lambda + \mu \leq 1 \Rightarrow \omega \in P \Rightarrow \omega = 0$$

$$1 < \lambda + \mu < 2$$

$$\text{Then } (u+v) - \omega = (1-\lambda)u + (1-\mu)v$$

$$\text{and } 0 < (1-\lambda) + (1-\mu) = 2 - (\lambda + \mu) < 1$$

and $(u+v) - \omega \in P \setminus \{0\}$, a contradiction

Hence: Any lattice pt in $\mathbb{Z}^2$ can be write as an integer linear combination of u, v :

$$\mathbb{Z}^2 \ni \omega = \lambda u + \mu v = \underbrace{\lfloor \lambda \rfloor u + \lfloor \mu \rfloor v}_{\in \Pi, \text{ so } = 0} + \underbrace{\{\lambda\}u + \{\mu\}v}_{\substack{\in \Pi \\ \mathbb{R} \cap \mathbb{R}}}$$

In particular:

$$\begin{aligned} e_1 &= \lambda_1 u + \mu_1 v \\ e_2 &= \lambda_2 u + \mu_2 v \end{aligned} \quad \text{for } \lambda_i, \mu_i \in \mathbb{Z}$$

$$\text{i.e. } \begin{pmatrix} u_1 & v_1 \\ u_2 & v_2 \end{pmatrix} \begin{pmatrix} \lambda_1 & \lambda_2 \\ \mu_1 & \mu_2 \end{pmatrix} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

$$\Rightarrow \det(u, v) \cdot \frac{1}{\det(u, v)} \in \mathbb{Z} \Rightarrow |\det(u, v)| = 1$$

$$\Rightarrow \text{vol } P = \frac{1}{2} \text{ and } P \cong \text{conv}(0, e_1, e_2)$$

□

Actually, we proved more:

- $\pi + (\lambda u + \mu v)$ for $\lambda, \mu \in \mathbb{Z}$ tile $\mathbb{R}^2$
- any $x \in \mathbb{R}^2$ is a sum of an integer linear combination of u, v and a pt of π
- if $\pi \cap \mathbb{Z}^2 = \{0\}$, then u, v generate $\mathbb{Z}^2$

Def A basis u, v of $\mathbb{R}^2$ as a vector space is a fattice basis of $\mathbb{Z}^2$ if

$$\mathbb{Z}^2 = \{ \lambda u + \mu v \mid \lambda, \mu \in \mathbb{Z} \}$$

Work/Ex: Pick's Thm is false in higher dimensions:

$$R(m) := \text{conv}(0, e_1, e_2, e_1 + e_2 + m e_3)$$

$$\text{Then } |R_m \cap \mathbb{Z}^3| = 4$$

$$\text{but } \text{vol } R_m = \frac{1}{3} \frac{1}{2} m = \frac{m}{6}$$

$$\text{so } R_m \neq R_{m'} \text{ for } m \neq m' \quad !$$

We have established that there is, up to isomorphism, exactly one fattice triangle of minimal volume $\frac{1}{2}$

Pick's Formula:

P lattice polygon, i interior lattice pts
 b boundary — — —
 a volume

Then
$$a = i + \frac{b}{2} - 1$$

proof: Ex

what about dilates of polygons

$$kP := \{kx \mid x \in P\}$$

clearly
$$\begin{aligned} a(k) &:= \text{vol}(kP) = k^2 a \\ b(k) &= kb \end{aligned}$$

$$\begin{aligned} \Rightarrow i(k) &= a(k) - \frac{b(k)}{2} + 1 \\ &= ak^2 - \frac{b}{2}k + 1, \text{ a poly of deg 2!} \end{aligned}$$

maybe more natural to look at all lattice pts:

$$\begin{aligned} l(k) &:= i(k) + b(k) = a(k) + \frac{b(k)}{2} + 1 \\ &= ak^2 + \frac{b}{2}k + 1, \text{ a poly of deg 2!} \\ &= i(-k) \quad \text{reciprocity} \end{aligned}$$

$\rightarrow$ Ehrhart's Theorem

Are these restrictions on i, b as a ?

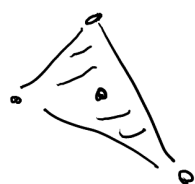
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$i = 0$

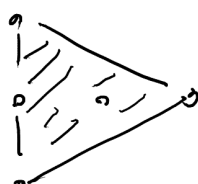


b, a can be arbitrarily large

$i = 1$



$a = 3/2$



$a = 2$



$a = 5/2$



$a = 4$

Can we get $a > 4$ for $i = 1$?

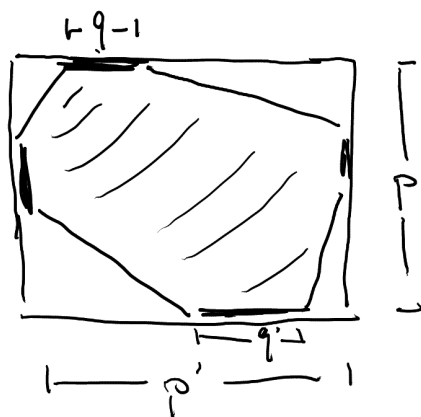
Scott's Theorem P lattice polytope with $i \geq 1$ interior lattice pts
Then either

• $P \cong 3\Delta_2$, so $a = 9/2, i = 1, b = 9$ or

• $a \leq 2(i+1) \Rightarrow b \leq a+4$

proof

place P into rectangle $R = [0, p'] \times [0, p]$
with p as small as possible (mod. lattice transformations)

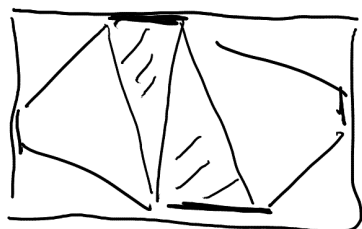


Then $2 \leq p \leq p'$
Let q, q' be the intersection
with top / bottom edge.

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At most $2(p-1)$ boundary lattice pts
 are not on q, q'

$$\Rightarrow b \leq (q+1) + (q'+1) + 2(p-1) = q+q'+2p \quad (*)$$



$$a \geq \frac{b}{2} \quad (P.O.) \quad (**)$$

$$a \geq \frac{1}{2} p (q+q') \quad (+++)$$

$(*)$, $(+++)$

$$2b - 2a \leq 2(q+q'+2p) - p(q+q') = (q+q'-4)(2-p) + 8 \quad (+)$$

with strict ineq. if P has a vertex not on q, q'

case distinction

(I) $p = q+q' = 3$: use $(+)$, gives special case

(II) $p = 2$ as $q+q' \geq 4$: use $(+)$

(III) $p = 3$ and $q+q' \leq 2$: use $(+)$, $(+++)$

(IV) $p \geq 4$ and $q+q' \leq 3$: requires some more work.